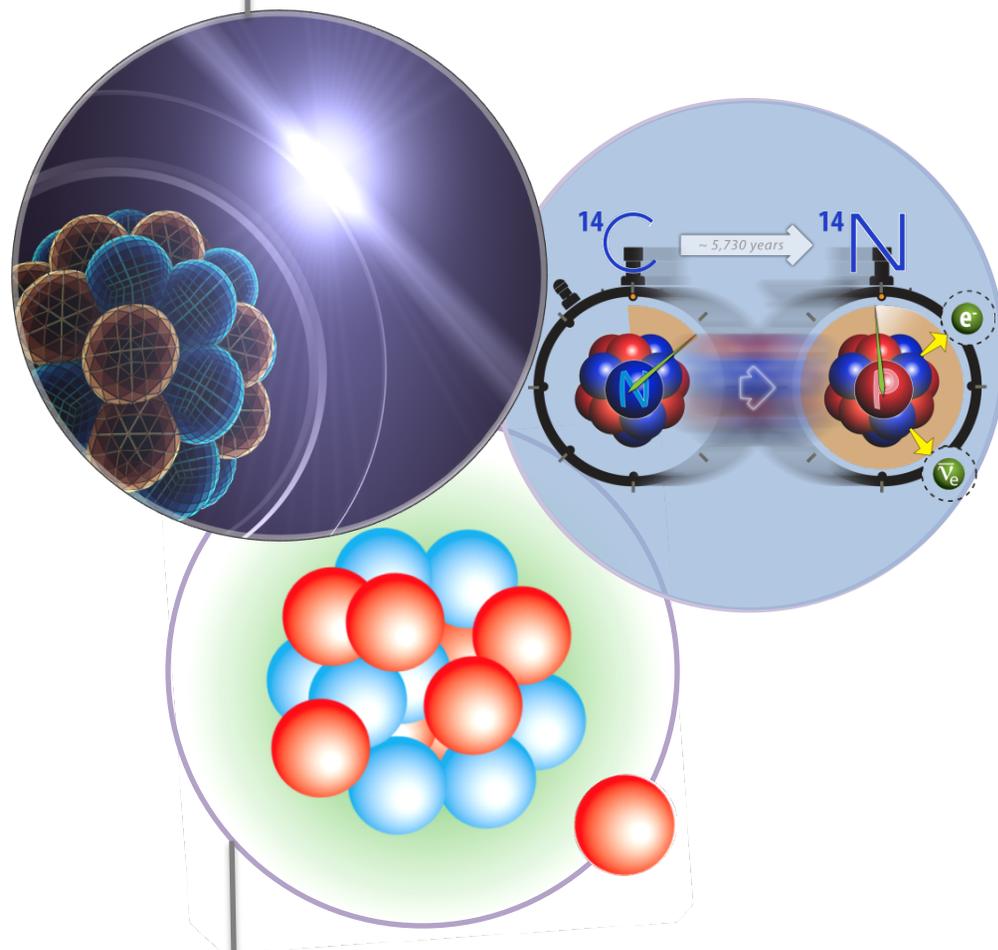


Nuclear structure and reactions from coupled-cluster theory

Gaute Hagen
Oak Ridge National Laboratory

INT, March 29th, 2017



Collaborators

@ ORNL / UTK: G. R. Jansen, **T. Morris**, T. Papenbrock, **M. Schuster**, **Z. H. Sun**

@ MSU: W. Nazarewicz, F. Nunes, **J. Rotureau**

@ Chalmers: **B. Carlsson**, A. Ekström, C. Forssén

@ Hebrew U: N. Barnea, D. Gazit

@ MSU/ U Oslo: M. Hjorth-Jensen

@ Trento: G. Orlandini

@ TRIUMF: S. Bacca, J. Holt, **M. Miorelli**, P. Navratil, **S. R. Stroberg**

@ TU Darmstadt: **C. Drischler**, **C. Stumpf**, K. Hebeler, R. Roth, A. Schwenk, **J. Simonis**

@ LLNL: **K. Wendt**

Outline

- Optical potentials from coupled-cluster theory
- The neutron skin and dipole polarizability of ^{48}Ca and ^{68}Ni
- Structure of ^{78}Ni
- Structure and decay of ^{100}Sn

Overlap with key topics of the workshop:

- **Integrated structure and reaction *ab initio* modeling: Addressing the challenge of heavier nuclei, continuum, and multiple reaction channels.**

Ab initio approaches carry predictive power, which is critical for studying short-lived isotopes inaccessible by experiment, but are currently limited to light nuclei. Following the development of *ab initio* structure models that exhibit a better scaling, the program will discuss and guide the development of *ab initio* reaction theory for medium-mass nuclei.

- **Effective interactions in reaction calculations.**

Effective interactions (optical potentials) have to enter reaction descriptions when possible reaction channels are eliminated from explicit consideration. The program will address the challenge of developing effective interactions that have predictive power in the regime of exotic nuclei, where data is scarce, and seek strategies for anchoring these interaction in *ab initio*, microscopic, or effective theories.

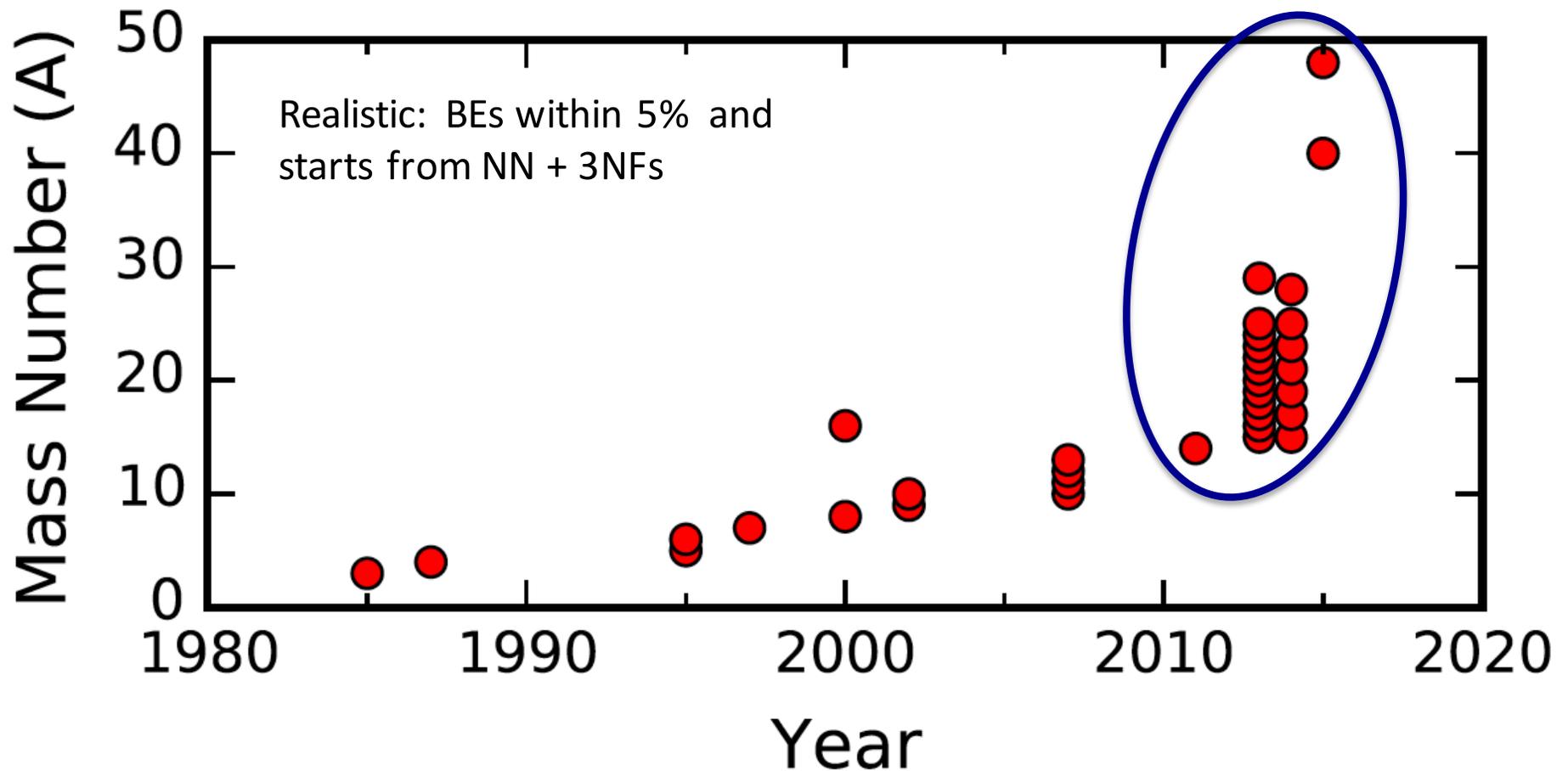
- **Improving structure inputs to reaction approaches.**

To achieve more reliable predictions for unstable nuclei, it is important that *ab initio* theories inform/replace current microscopic models for medium-mass systems, while microscopic methods need to replace the phenomenology used for heavier systems. The program will review recent developments in nuclear structure theory and identify avenues for integrating improved structure information into new and existing reaction theories and codes.

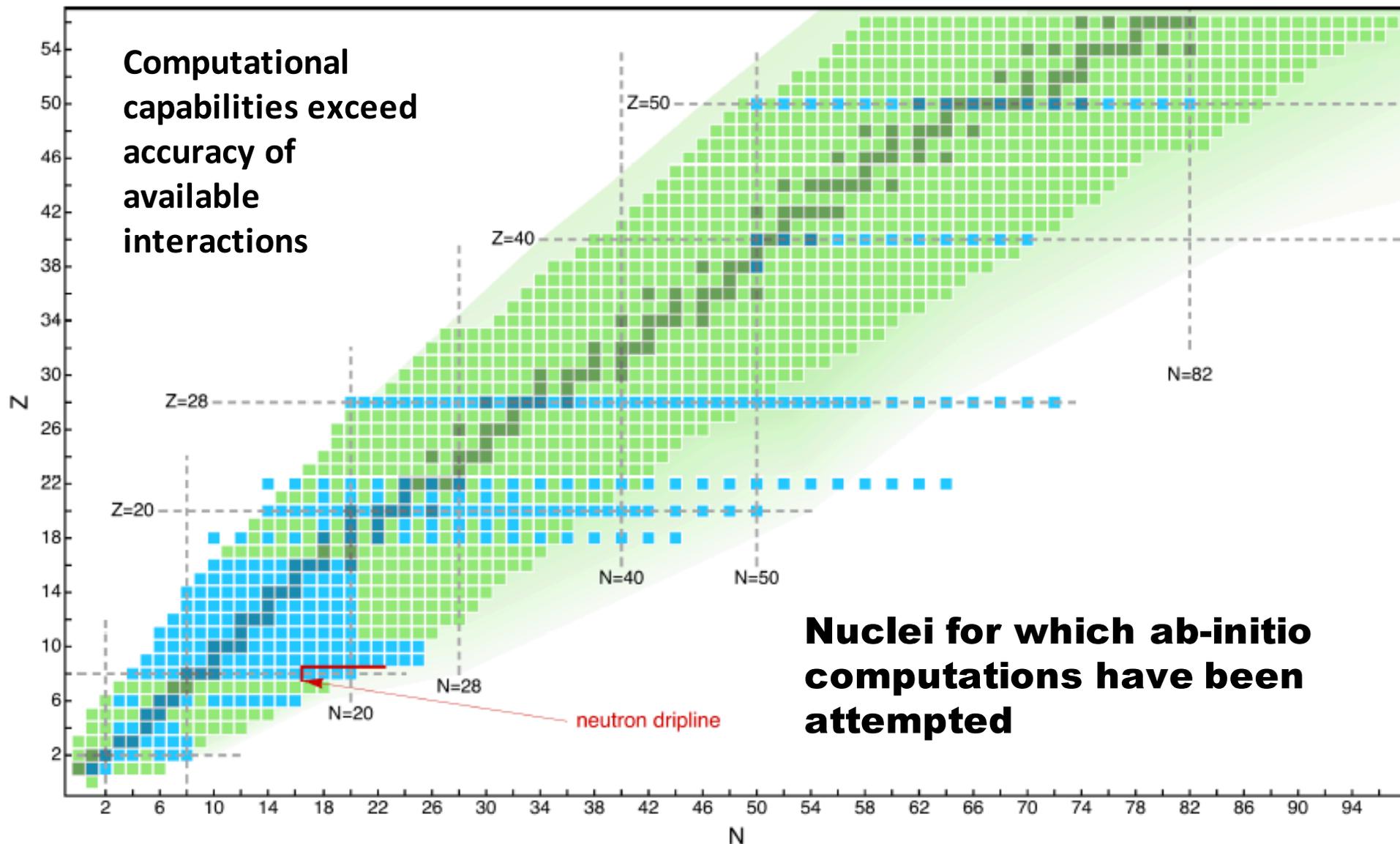
Trend in realistic ab-initio calculations

Explosion of many-body methods (Coupled clusters, Green's function Monte Carlo, In-Medium SRG, Lattice EFT, MCSM, No-Core Shell Model, Self-Consistent Green's Function, UMOA, ...)

Application of ideas from EFT and renormalization group ($V_{\text{low-}k}$, Similarity Renormalization Group, ...)



Reach of ab-initio computations of nuclei



H. Hergert *et al*, Physics Reports 621, 165-222 (2016)

Coupled-cluster method (CCSD approximation)

Ansatz:

$$|\Psi\rangle = e^T |\Phi\rangle$$

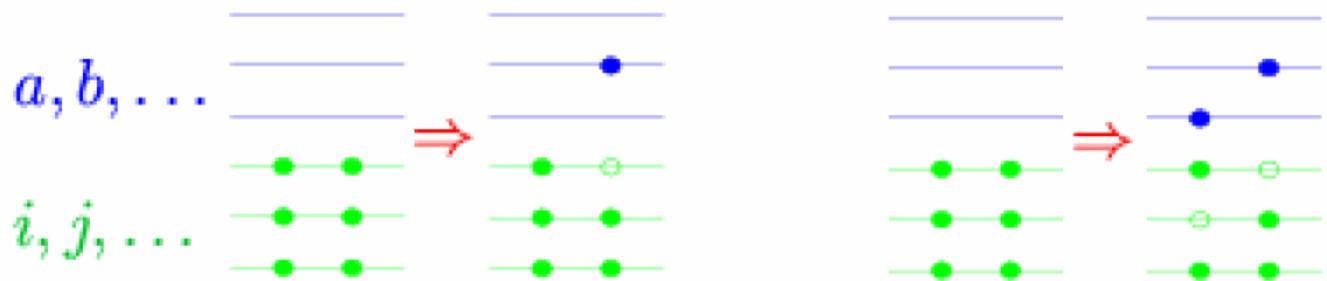
$$T = T_1 + T_2 + \dots$$

$$T_1 = \sum_{ia} t_i^a a_a^\dagger a_i$$

$$T_2 = \sum_{ijab} t_{ij}^{ab} a_a^\dagger a_b^\dagger a_j a_i$$

- ☺ Scales gently (polynomial) with increasing problem size $\mathcal{O}(u^4)$.
- ☺ Truncation is the only approximation.
- ☺ Size extensive (error scales with A)
- ☹ Most efficient for closed (sub-)shell nuclei

Correlations are *exponentiated* 1p-1h and 2p-2h excitations. Part of np-nh excitations included!



Coupled cluster equations

$$E = \langle \Phi | \bar{H} | \Phi \rangle$$

$$0 = \langle \Phi_i^a | \bar{H} | \Phi \rangle$$

$$0 = \langle \Phi_{ij}^{ab} | \bar{H} | \Phi \rangle$$

Alternative view: CCSD generates similarity transformed Hamiltonian with no 1p-1h and no 2p-2h excitations.

$$\bar{H} \equiv e^{-T} H e^T = (H e^T)_c = \left(H + H T_1 + H T_2 + \frac{1}{2} H T_1^2 + \dots \right)_c$$

Coupled-cluster method (CCSD approximation)

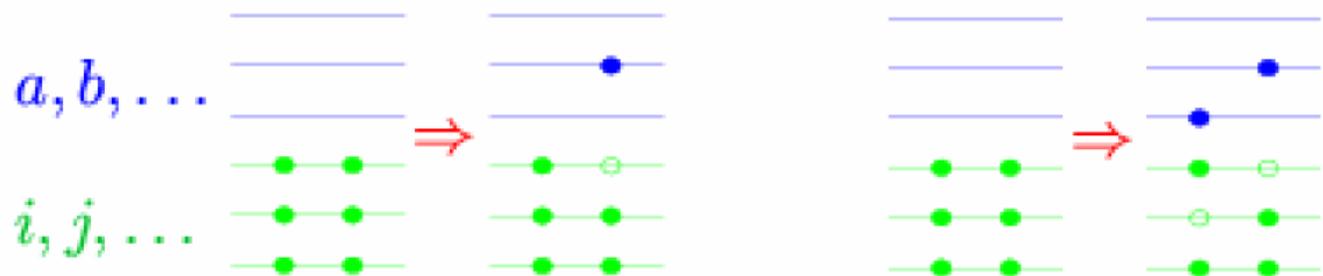
$$\begin{aligned}
 B_1 &= T_1 \\
 B_2 &= T_2 + \frac{1}{2} T_1^2 \\
 B_3 &= T_3 + T_2 T_1 + \frac{1}{6} T_1^3 \\
 B_4 &= T_4 + T_3 T_1 + \frac{1}{2} T_2^2 + \frac{1}{2} T_2 T_1^2 + \frac{1}{24} T_1^4 \\
 &\dots
 \end{aligned}$$

CCSD
CCSDT

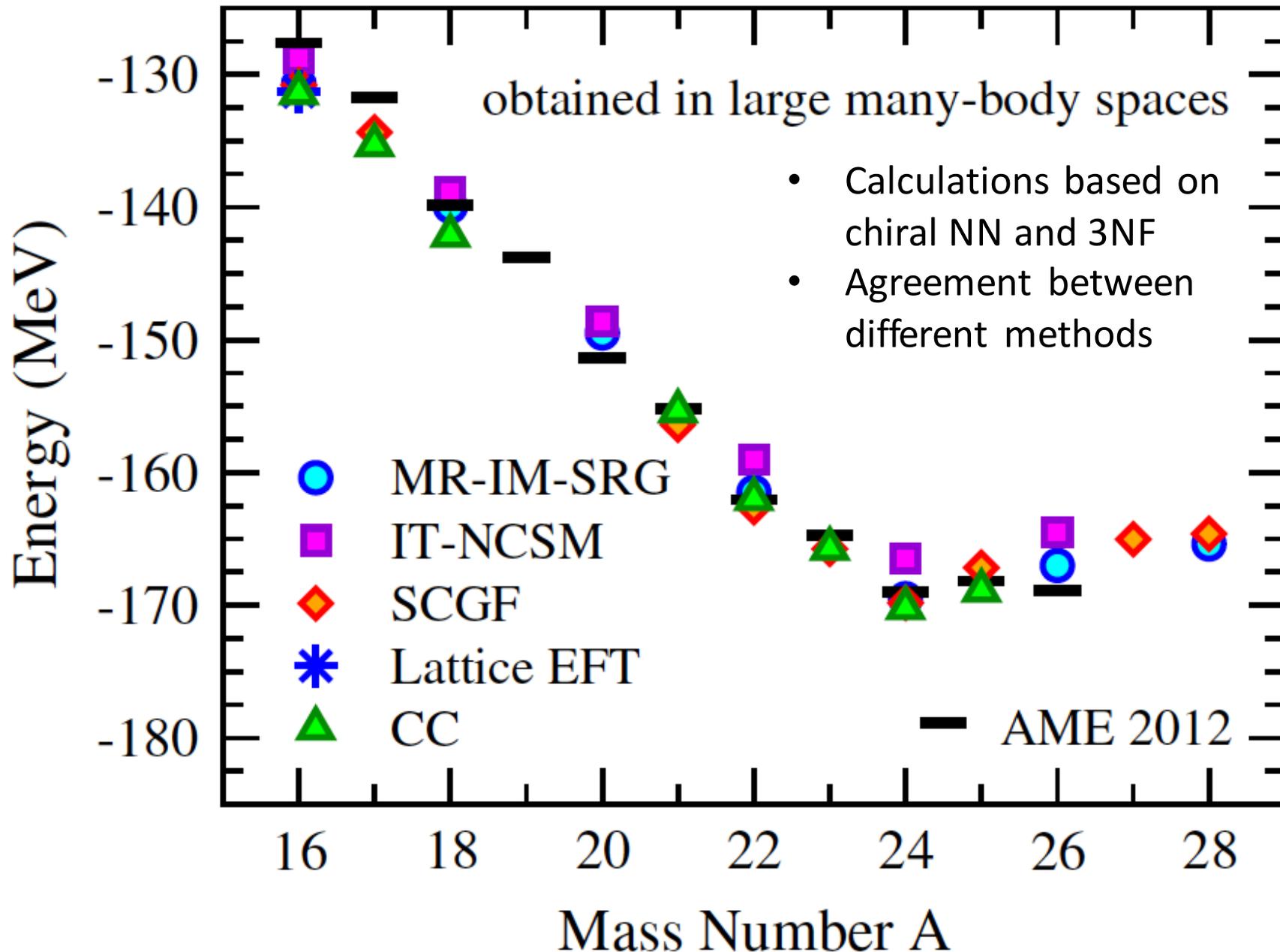
Disconnected quadruples
Connected quadruples

- CCSD captures most of the 3p3h and 4p4h excitations (scales as $n_o^2 n_u^4$)
- In order to describe α -cluster states need to include full quadruples (CCSDTQ) (scales $n_o^4 n_u^6$)

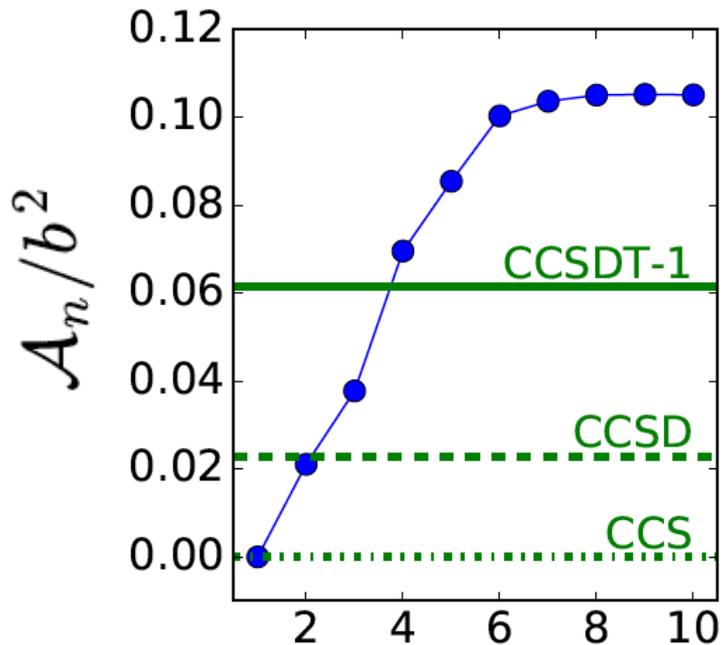
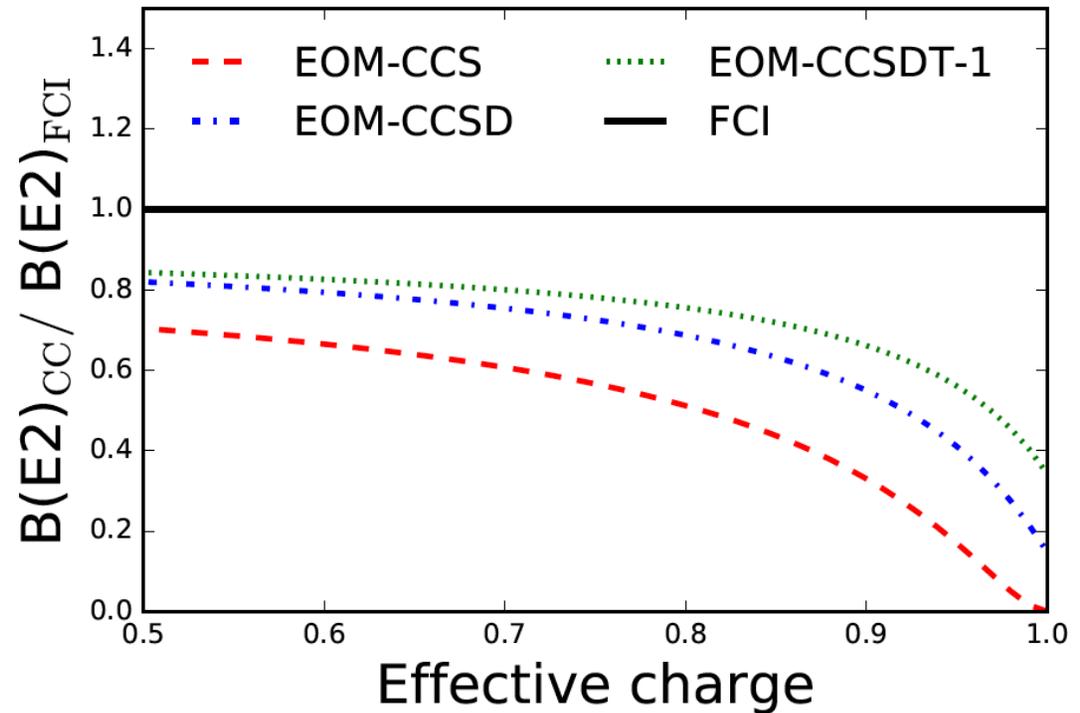
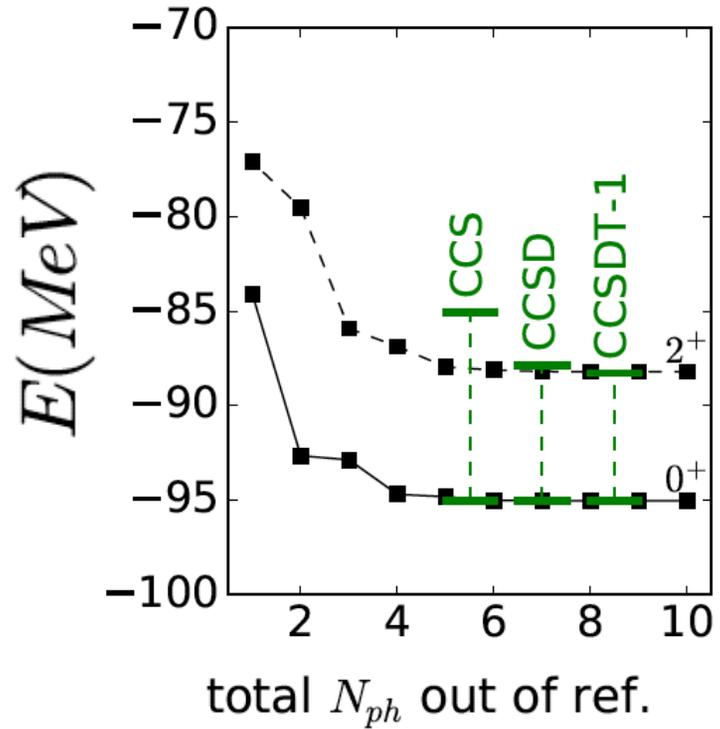
Correlations are *exponentiated* 1p-1h and 2p-2h excitations. Part of np-nh excitations included!



Success: BEs of oxygen chain

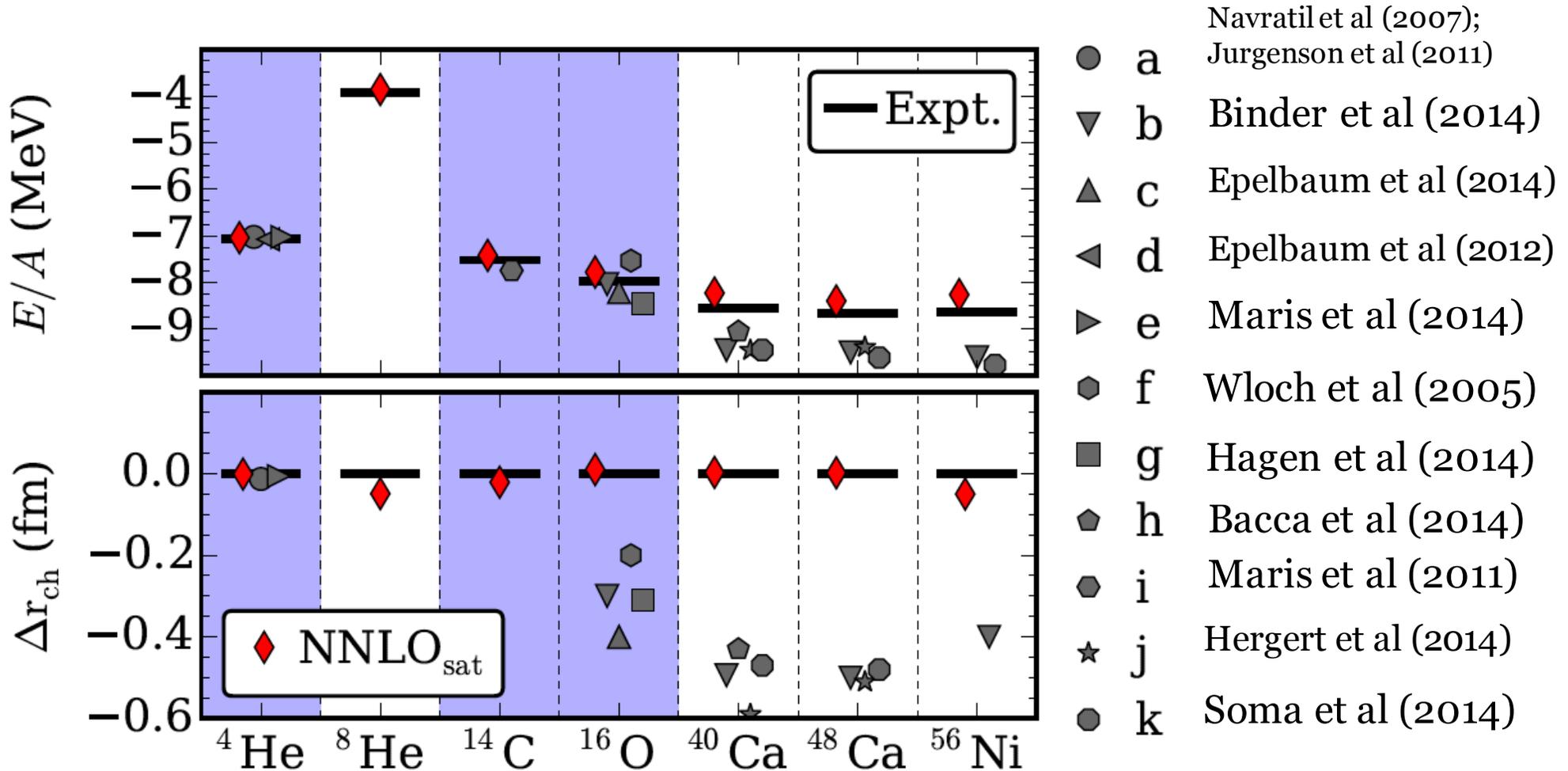


Challenge: Collectivity and B(E2)



- ^{14}C computed in FCI and CC with psd effective interaction
- As effective charge is varied from 0 to 1 CCSD fails
- Need excitations beyond 4p4h to describe B(E2) even if 2^+ energy is reproduced

Accurate nuclear binding energies and radii from a chiral interaction



Solution: Simultaneous optimization of NN and 3NFs

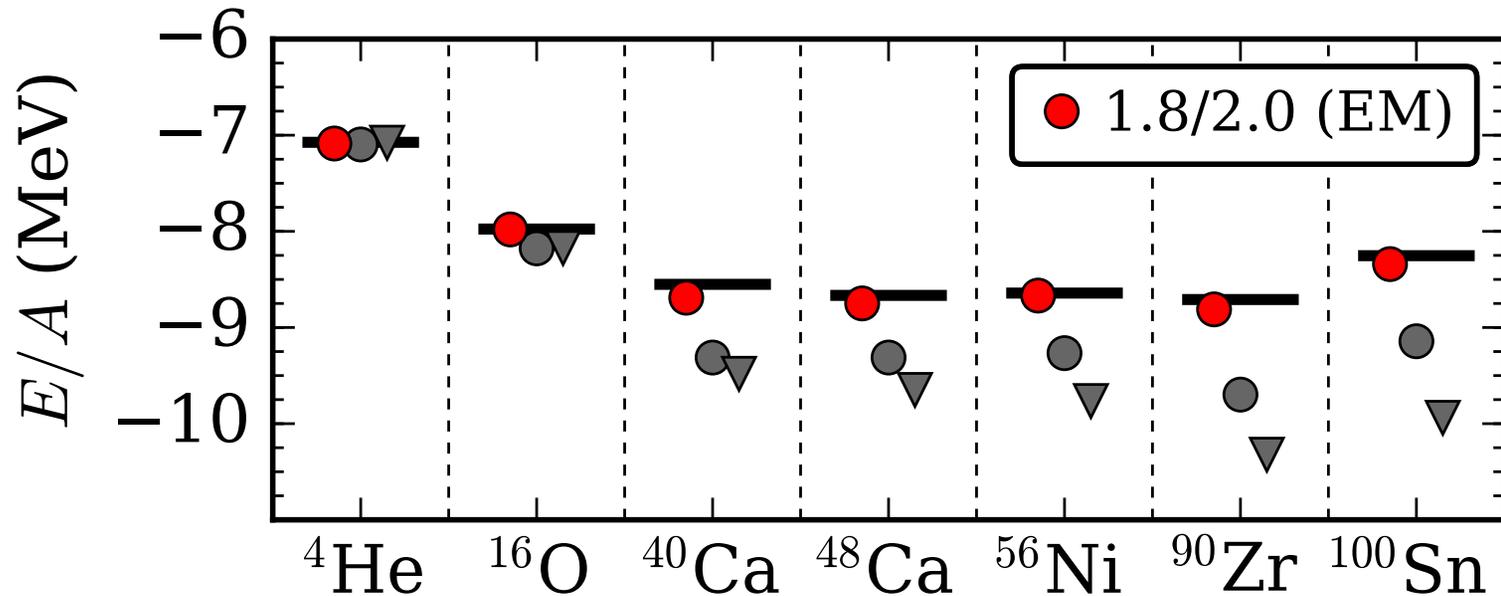
Include charge radii and binding energies of ${}^3\text{H}$, ${}^{3,4}\text{He}$, ${}^{14}\text{C}$, ${}^{16}\text{O}$ in the optimization (NNLO_{sat})

A. Ekström *et al*, Phys. Rev. C **91**, 051301(R) (2015).

G. Hagen *et al*, Phys. Scr. **91**, 063006 (2016).

Not new: GFMC with AV18 and Illinois-7 are fit to 23 levels in nuclei with $A < 10$

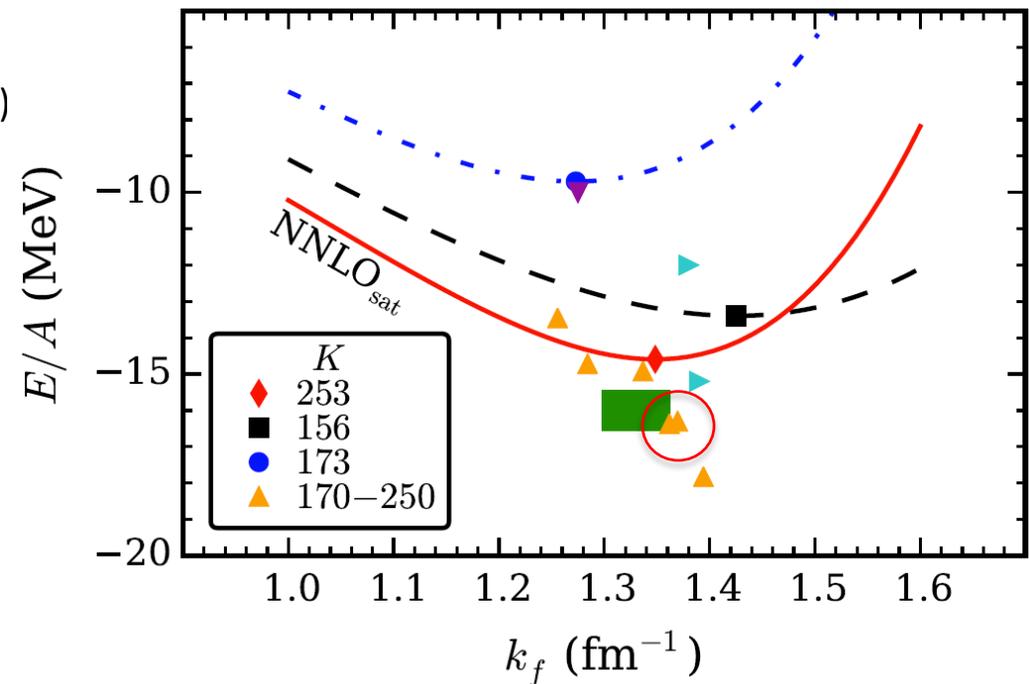
Accurate BEs from light \rightarrow heavy \rightarrow infinite matter from a chiral interaction



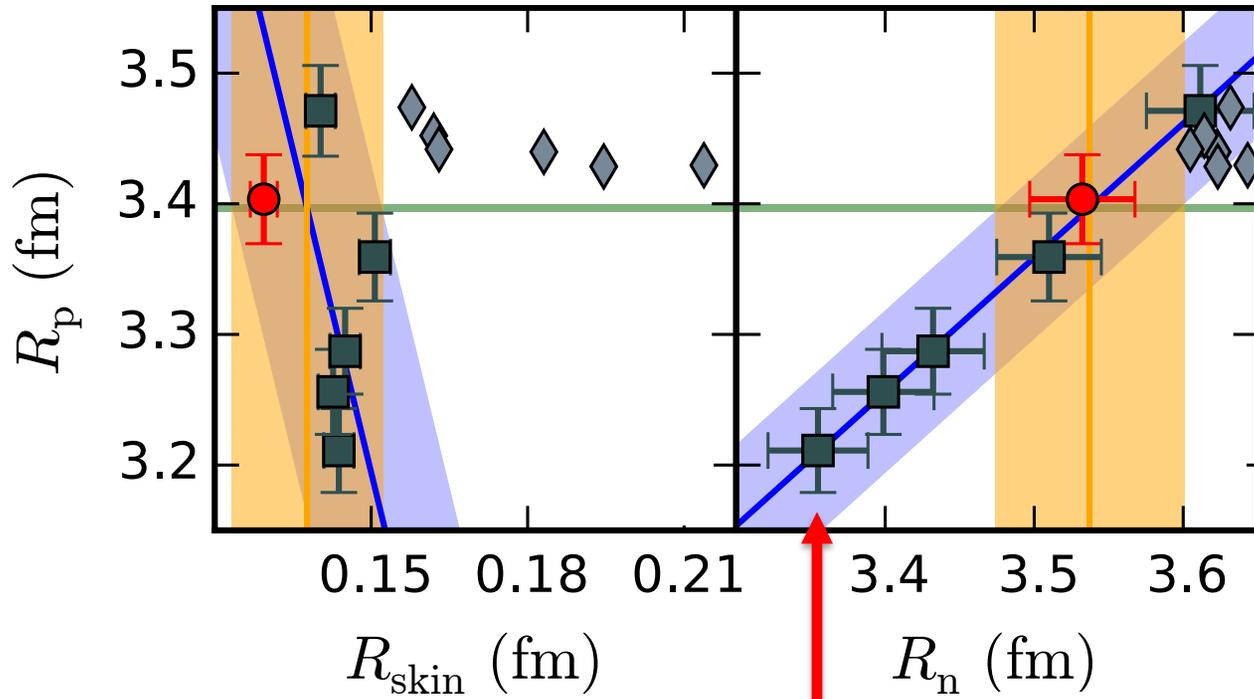
1.8/2.0 (EM) from K. Hebeler *et al* PRC (2011)

The other chiral NN + 3NFs are from Binder et al, PLB (2014)

- Accurate binding energies up to mass 100 from a chiral NN + 3NF
- Fit to nucleon-nucleon scattering and BEs and radii of $A=3,4$ nuclei
- Reproduces saturation point in nuclear matter within uncertainties
- Deficiencies: Radii are less accurate



Neutron radius and skin of ^{48}Ca



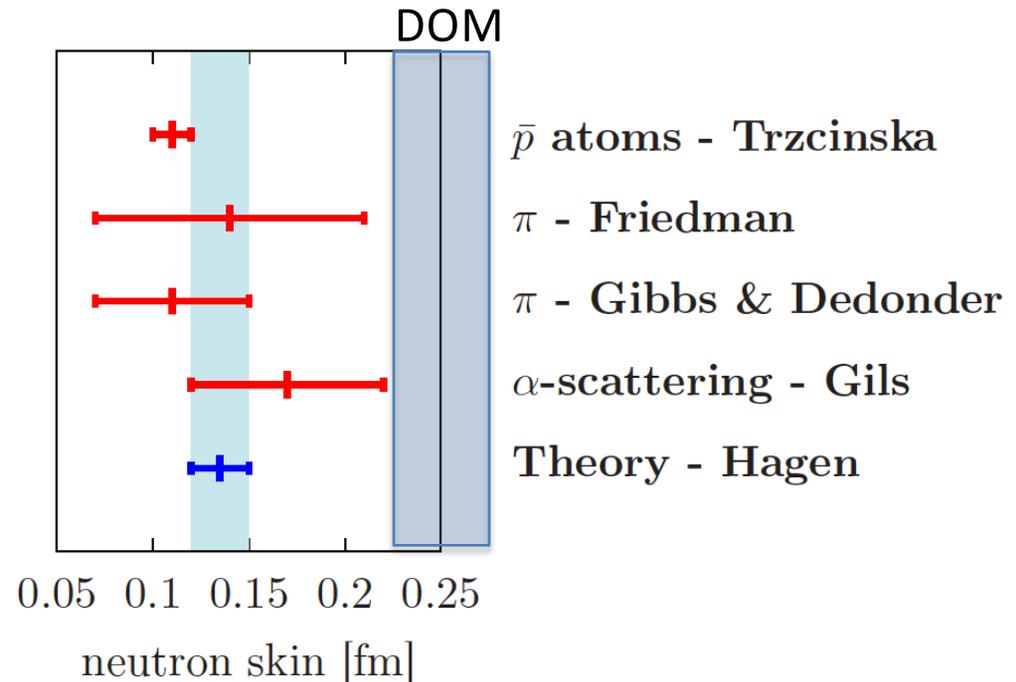
G. Hagen *et al*, Nature Physics **12**, 186–190 (2016)

Uncertainty estimates from family of chiral interactions: K. Hebeler *et al* PRC (2011)

DFT:
SkM*, SkP, Sly4, SV-min, UNEDF0, and UNEDF1

- Neutron skin significantly smaller than in DFT
- Neutron skin almost independent of the employed Hamiltonian
- Our predictions for ^{48}Ca are consistent with existing data

1.8/2.0 (EM)



Optical potentials from coupled-cluster theory

J. Rotureau et al, Phys. Rev. C 95, 024315 (2017)

Coupled-cluster
Green's function:

$$G^{CC}(\alpha, \beta, E) \equiv \langle \Phi_{0,L} | \bar{a}_\alpha \frac{1}{E - (\bar{H} - E_{gs}^A) + i\eta} \bar{a}_\beta^\dagger | \Phi_0 \rangle + \langle \Phi_{0,L} | \bar{a}_\beta^\dagger \frac{1}{E - (E_{gs}^A - \bar{H}) - i\eta} \bar{a}_\alpha | \Phi_0 \rangle$$

Solve for $A \pm 1$
systems with
PA/PR-EOMCCSD
truncated at 2p1h
and 1p2h

$$\left[E - (\bar{H} - E_{gs}^A) \right] |\Psi_{R,\beta}^{A+1}(E)\rangle = \bar{a}_\beta^\dagger |\Phi_{0,R}\rangle$$

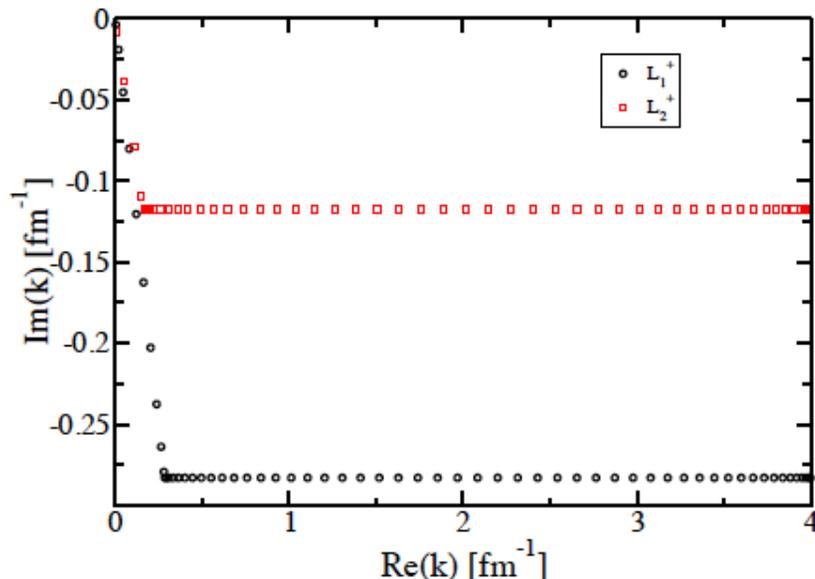
$$\left[E - (E_{gs}^A - \bar{H}) \right] |\Psi_{R,\alpha}^{A-1}(E)\rangle = \bar{a}_\alpha |\Phi_{0,R}\rangle$$

The coupled-cluster Green's function can then be written:

$$G(\alpha, \beta, E) = \langle \phi_{0,L} | \bar{a}_\alpha | \Psi_{R,\beta}^{A+1}(E) \rangle + \langle \phi_{0,L} | \bar{a}_\beta^\dagger | \Psi_{R,\alpha}^{A-1}(E) \rangle$$

See also talk by Andrea Idini, and C. Barbieri and B. K Jennings Phys.Rev. C72 (2005) 014613

Optical potentials from coupled-cluster theory



Using a Berggren basis allows stable results for $\eta \rightarrow 0$

$$\sum_i |u_i\rangle \langle \tilde{u}_i| + \int_{L^+} dk |u(k)\rangle \langle u(\tilde{k})| = \hat{1}$$

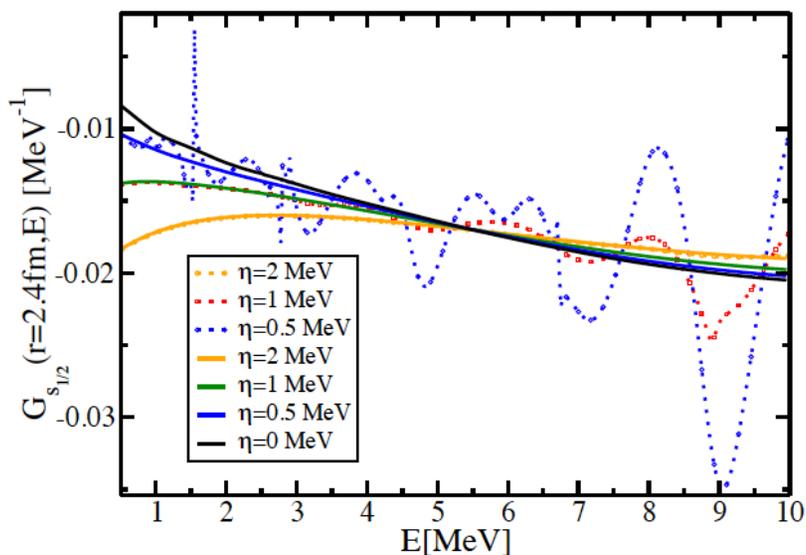
See also Hagen 04, Kruppa 07, Carbonell 2014, Papadimitriou 2015 for few-body applications

Inverting the Dyson equation we obtain the self-energy:

$$\Sigma^*(E) = [G^{(0)}(E)]^{-1} - G^{-1}(E)$$

Scattering phase shifts are obtained by solving the equation:

$$-\frac{\hbar^2}{2\mu} \nabla^2 \xi(\mathbf{r}) + \int d\mathbf{r}' \Sigma'(\mathbf{r}, \mathbf{r}', E^+) \xi(\mathbf{r}') = E^+ \xi(\mathbf{r})$$

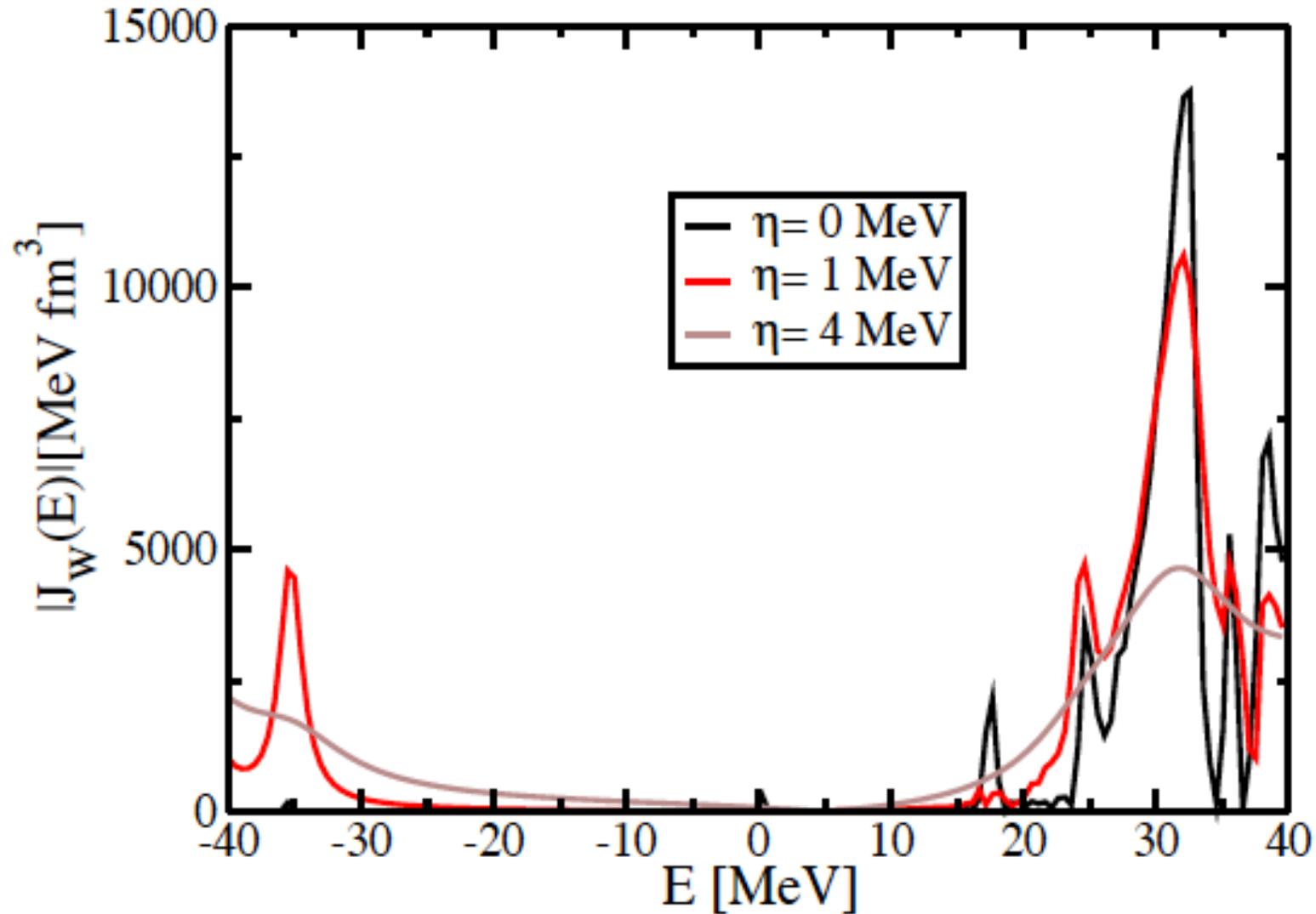


Imaginary part of the neutron s-wave Green's function

Optical potentials from coupled-cluster theory

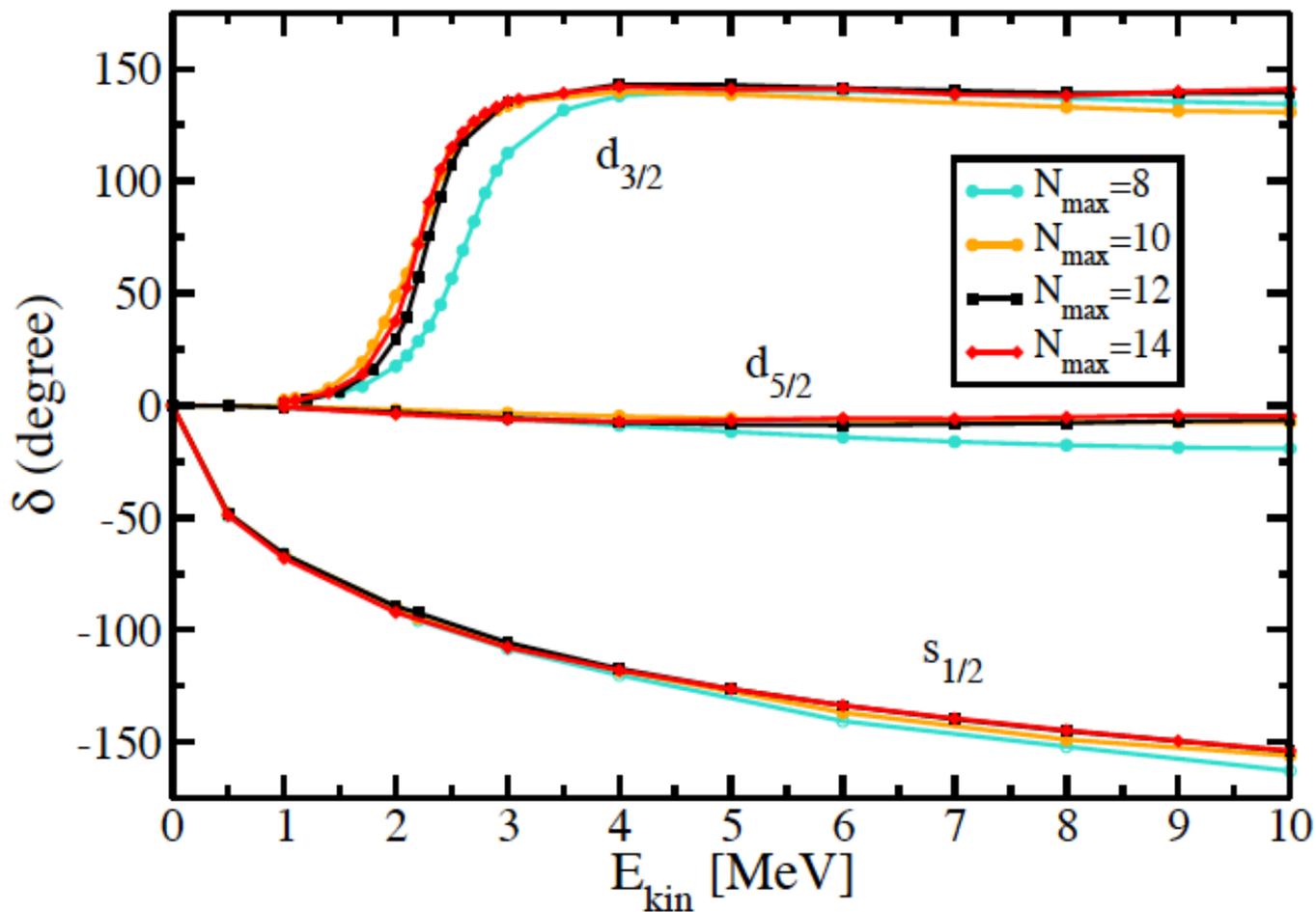
J. Rotureau et al, Phys. Rev. C 95, 024315 (2017)

Neutron s-wave imaginary volume integral



Neutron elastic scattering on ^{16}O with NNLO_{opt}

J. Rotureau et al, Phys. Rev. C 95, 024315 (2017)

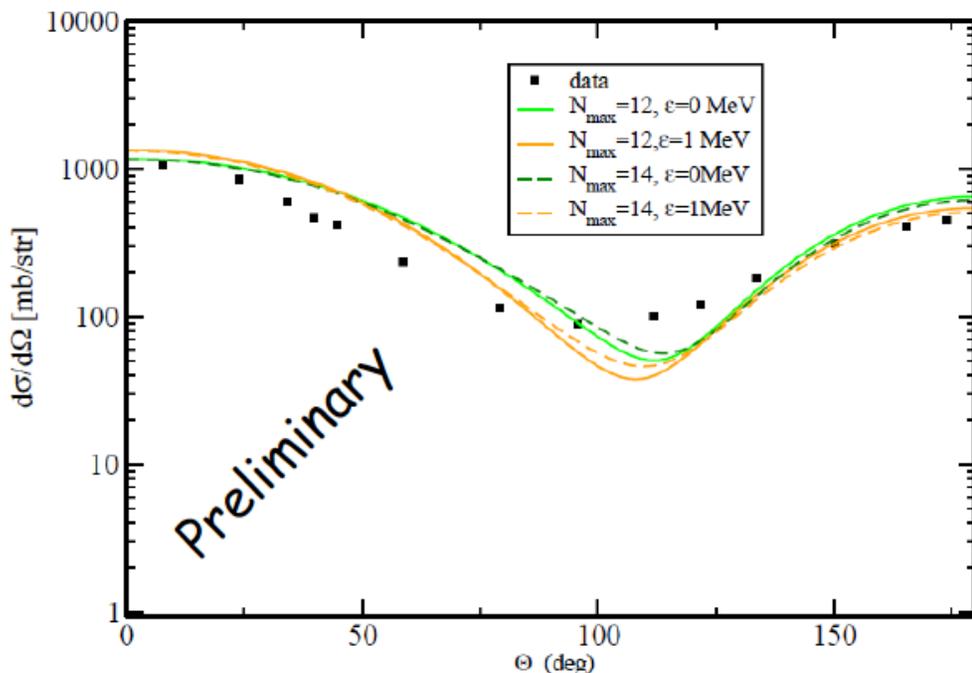


Consistent results between computed phase shifts and resonances computed directly in the Berggren basis via PA-EOMCCSD

N_{max}	$E(5/2^+)$	$E(1/2^+)$	$E(3/2^+)$
8	-4.35	-2.62	2.68-i0.32
10	-4.49	-2.73	2.24-i0.25
12	-4.56	-2.76	2.34-i0.21
14	-4.57	-2.80	2.26-i0.12

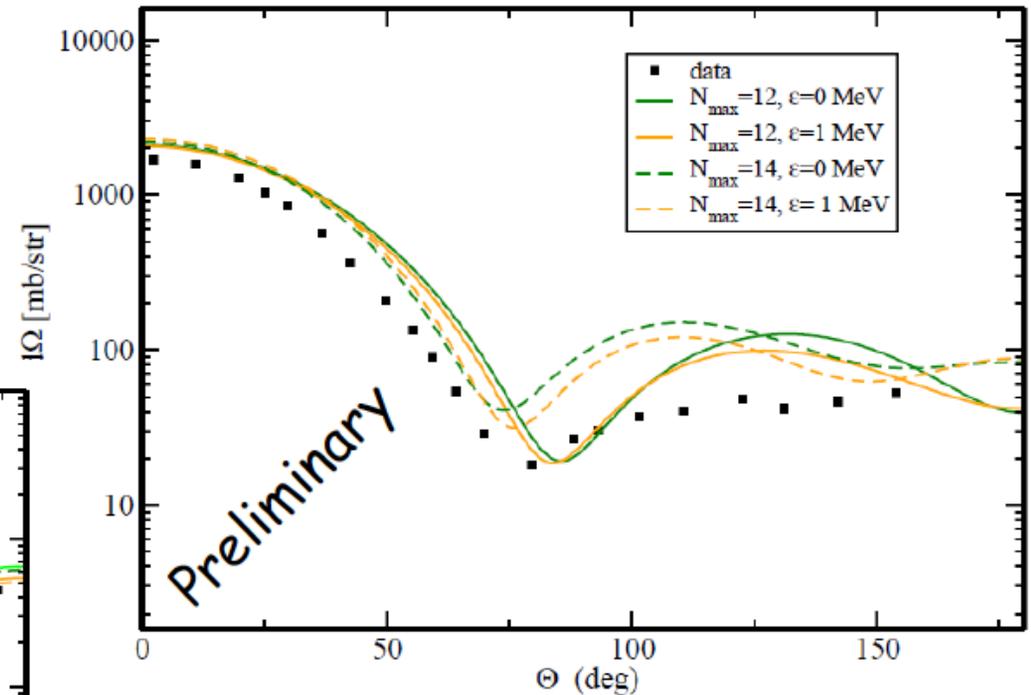
Neutron elastic scattering on ^{40}Ca

- Diffraction minima in good agreement with data
- Cross section overestimated due to lack of absorption (e.g. 0^+ state in ^{40}Ca too high)
- Using a Berggren basis allows for stable results as $\varepsilon \rightarrow 0$.

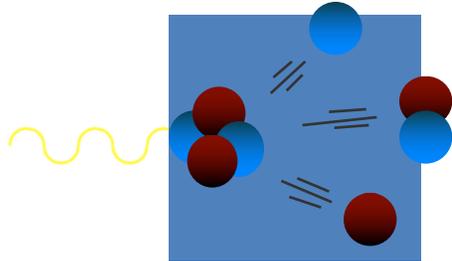


$^{40}\text{Ca}(n,n)^{40}\text{Ca}$, $E_{\text{lab}} = 2.1$ MeV

$^{40}\text{Ca}(n,n)^{40}\text{Ca}$, $E_{\text{lab}} = 5.3$ MeV



Response function from coupled-cluster theory



Cross section is related to the Response Function in the continuum

$$S(\omega) = \sum_f |\langle \psi_f | \hat{O} | \psi_0 \rangle|^2 \delta(E_f - E_0 - \omega)$$

Cannot be calculated beyond 3-body break-up even for A=4

Solution: Lorentz Integral Transform method
(Efros, Leidemann, Orlandini, Barnea, Bacca)

Efros *et al.*, J. Phys. G: Nucl. Part. Phys. 34 (2007)

$$\mathcal{L}(\sigma, \Gamma) = \int d\omega \frac{S(\omega)}{(\omega - \sigma)^2 + \Gamma^2} = \langle \tilde{\Psi} | \tilde{\Psi} \rangle$$

$$(H - E_0 - \sigma + i\Gamma) |\tilde{\Psi}\rangle = O |\Psi_0\rangle$$

Bound-state-like object. Need bound state technique to calculate it



Inclusive electron scattering and the Coulomb sum rule

The CSR is the total integrated strength of inelastic longitudinal response function

$$CSR(q) = \int d\omega R_L^{in}(\omega, \mathbf{q}) / G_p^2(Q^2)$$

$$R_L^{in}(\omega, \mathbf{q}) = \sum_f |\langle f | \rho(\mathbf{q}) | \mathbf{0} \rangle|^2 \delta(\omega - \mathbf{E}_f + \mathbf{E}_0)$$

Here $\rho(q)$ is the nuclear charge operator

Final state different from g.s. since we want the inelastic response

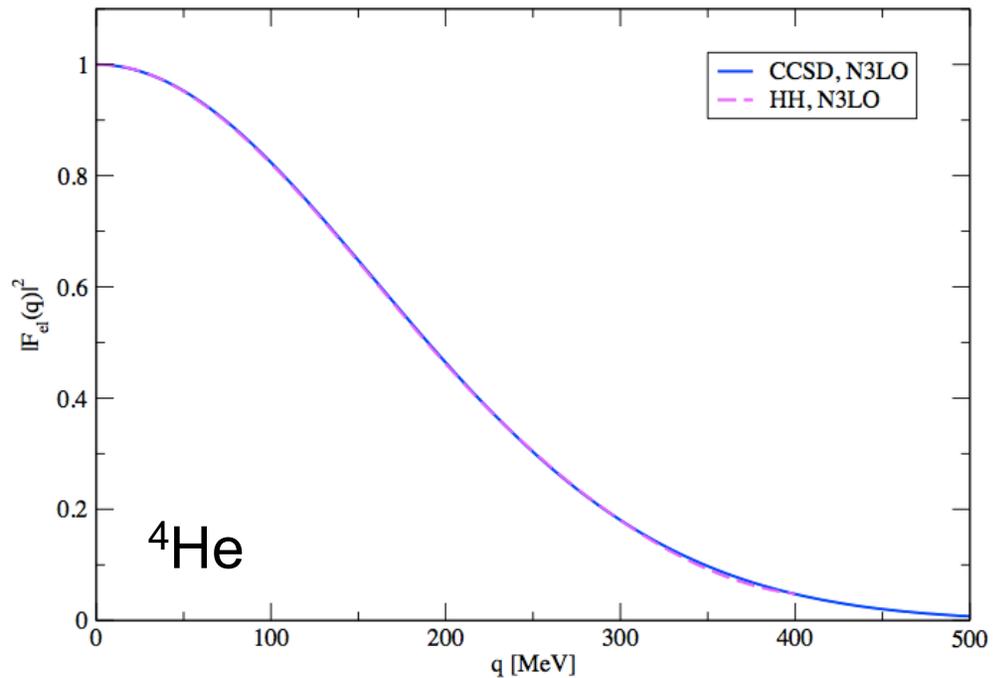
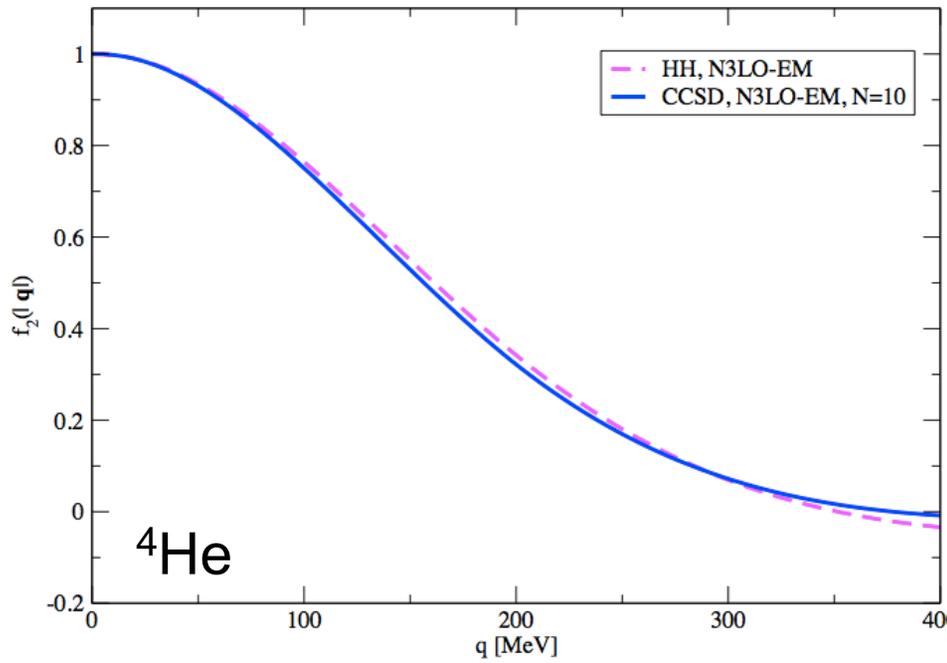
We approached the problem as we do for the calculation of the total strength of the dipole response function in PRL **111**, 122502 (2013).

Inclusive electron scattering and the Coulomb sum rule

$$\text{CSR}(q) = Z + \langle 0 | \sum_{i \neq j} e^{i\mathbf{q} \cdot (\mathbf{r}_i - \mathbf{r}_j)} | 0 \rangle - |F(\mathbf{q})|^2 Z^2$$

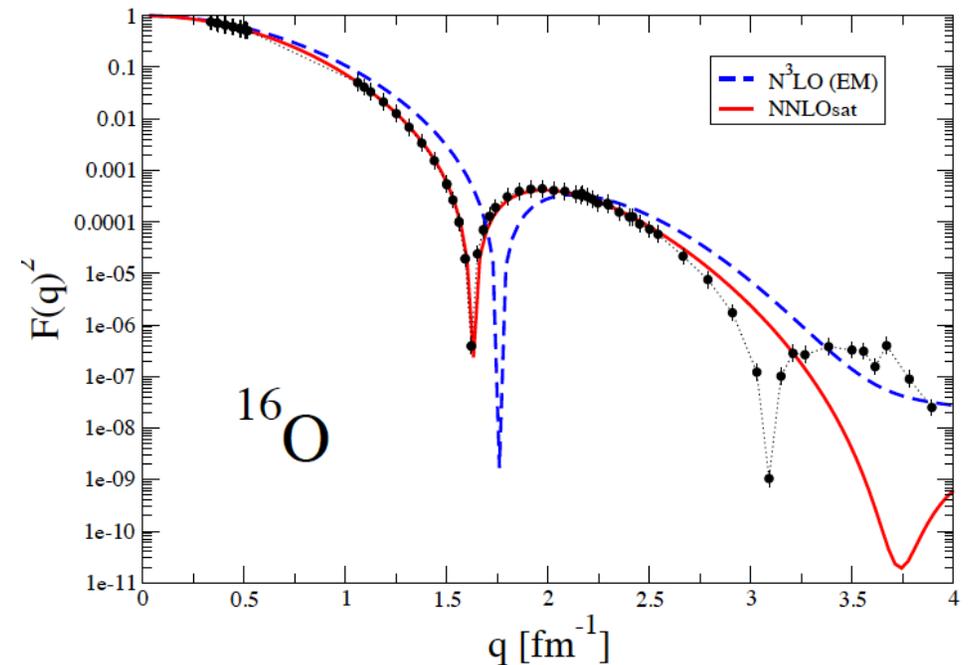
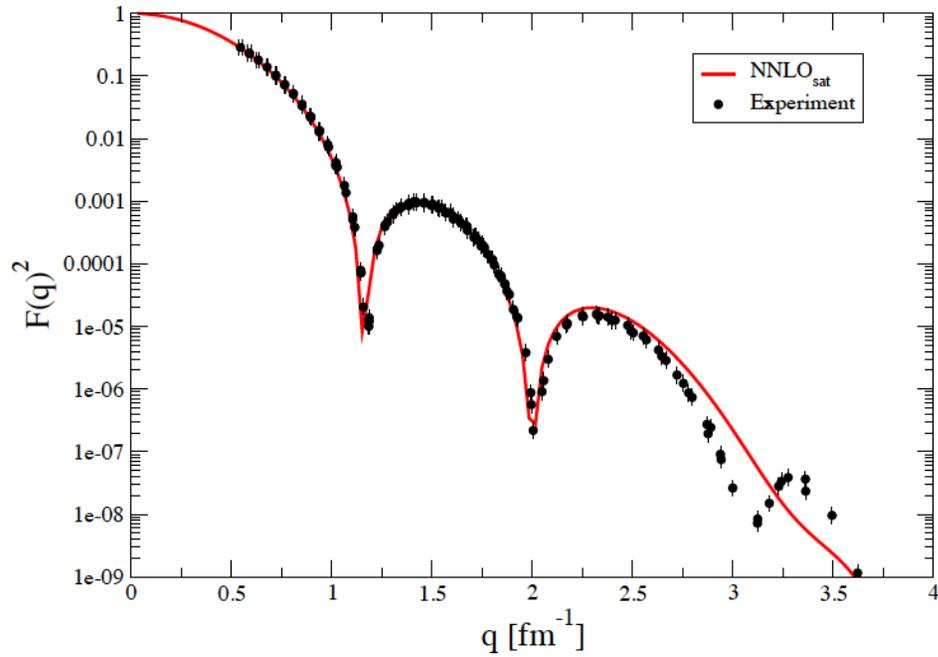
||
 $Z(Z - 1)f_2(|\mathbf{q}|)$

Benchmark with Exact Hyperspherical Harmonics for ^4He



Very nice agreement!

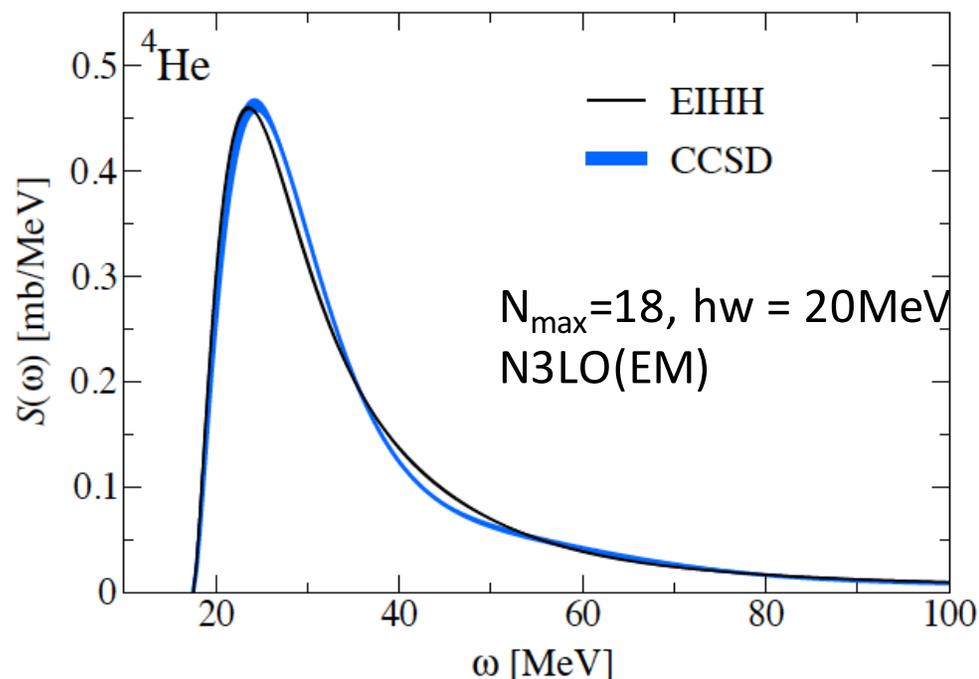
Comparison to data in ^{16}O and ^{40}Ca for elastic charge formfactor



Dipole response from coupled-cluster

S. Bacca, N. Barnea, G. Hagen, G. Orlandini, T. Papenbrock, PRL 111, 143402 (2013).

S. Bacca, N. Barnea, G. Hagen, M. Miorelli, G. Orlandini, T. Papenbrock, PRC 90, 064610 (2014)

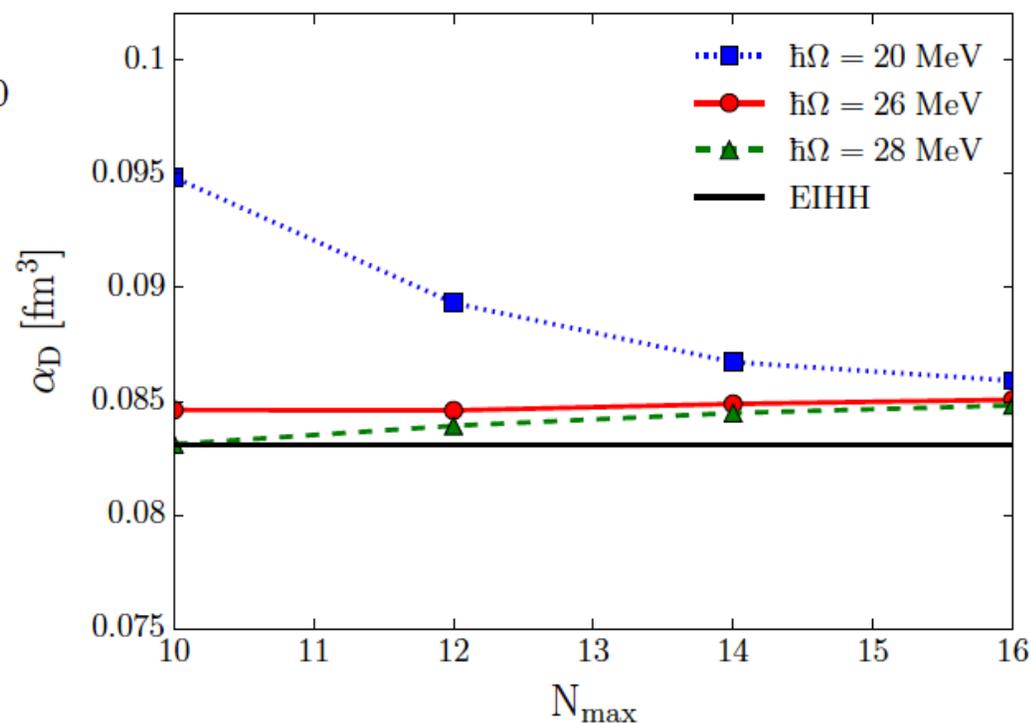


Lorentz Integral transform from coupled-cluster benchmarked with “exact” hyper-spherical harmonics for ^4He

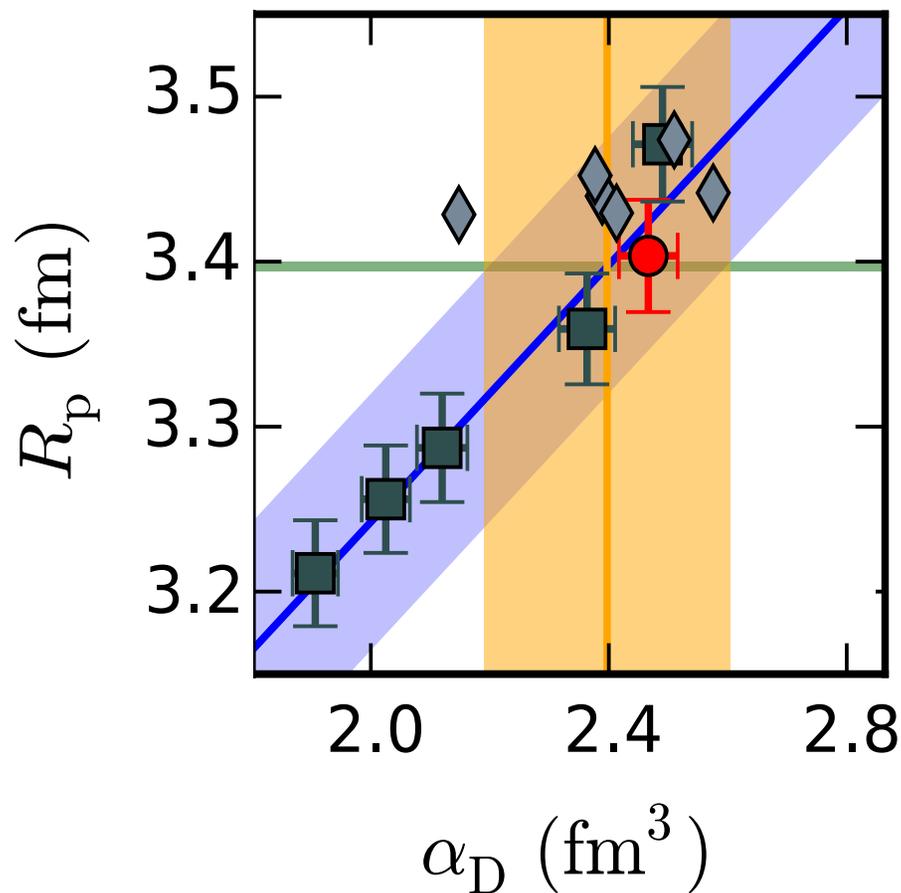
M. Miorelli *et al*, Phys. Rev. C 94, 034317 (2016)

Dipole polarizability in ^4He from CCSD within 1% of exact Hyper-spherical harmonics

$$\alpha_D = 2\alpha \int_{\omega_{\text{th}}}^{\infty} d\omega \frac{S(\omega)}{\omega}$$



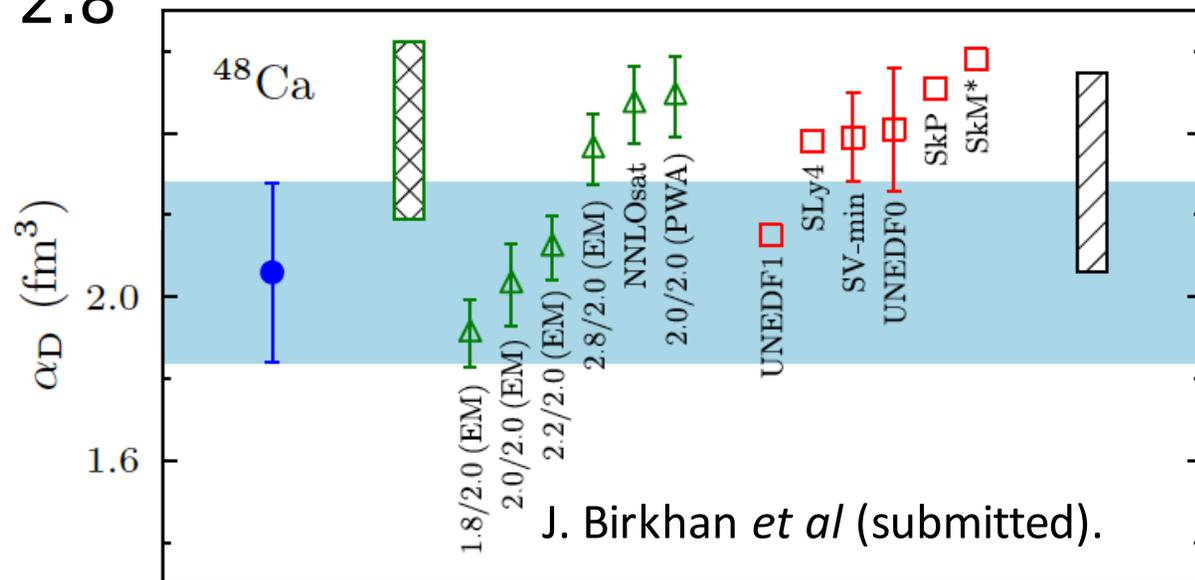
Dipole polarizability of ^{48}Ca



G. Hagen *et al*, Nature Physics
12, 186–190 (2016)

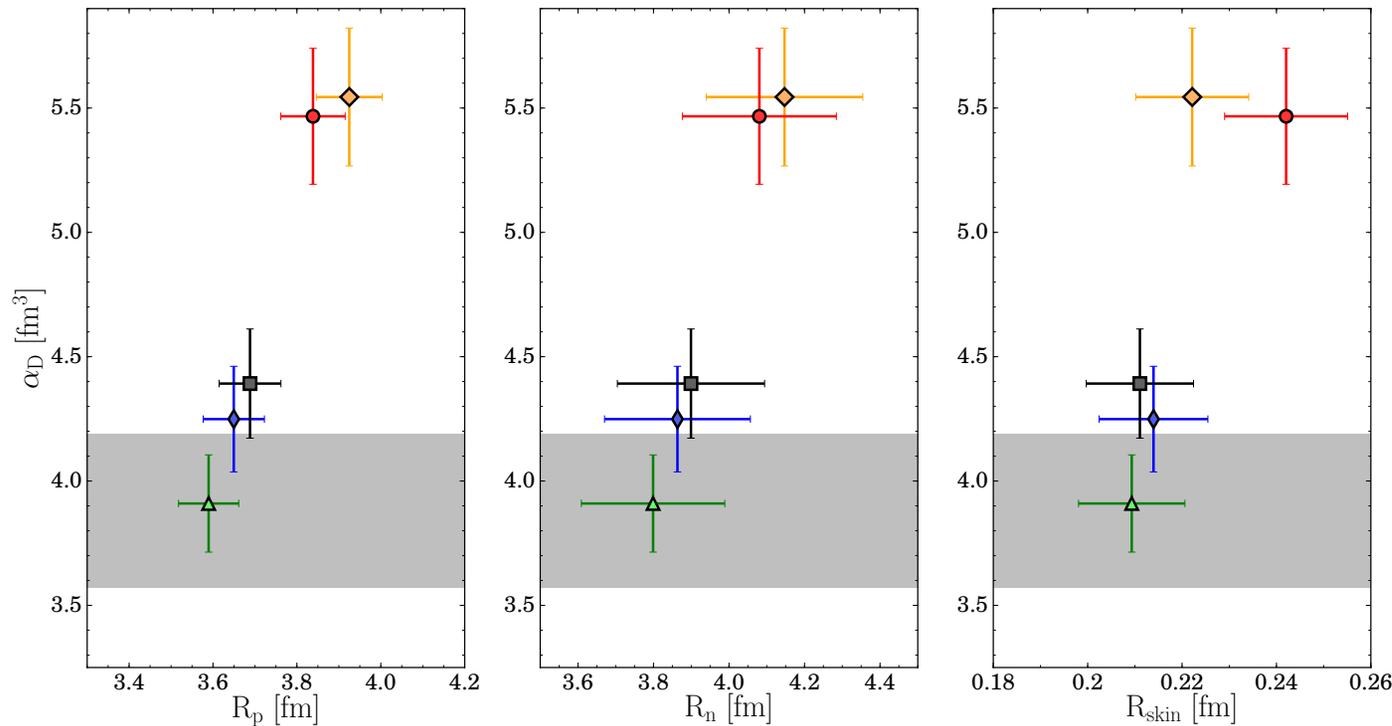
Ab-initio prediction from correlation with R_p :
 $2.19 \lesssim \alpha_D \lesssim 2.60 \text{ fm}^3$

- DFT results are consistent and within band of ab-initio results
- Data has been analyzed by Osaka-Darmstadt collaboration
- Ab-initio prediction overlaps with experimental uncertainty



J. Birkhan *et al* (submitted).

Neutron skin/dipole polarizability of ^{68}Ni



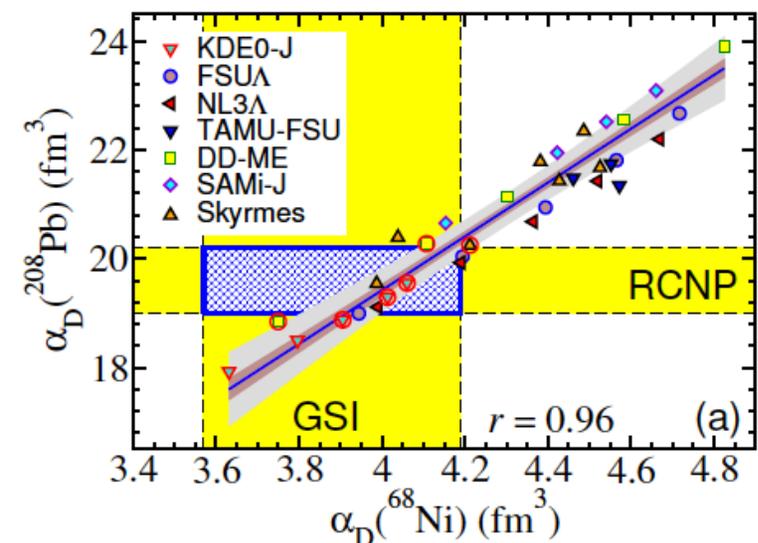
- Charge radii have been measured by the the COLLAPS collaboration at ISOLDE, CERN
- Neutron skin significantly larger than RPA results

Self consistent RPA results based on large set of EDFs from X. Roca-Maza Phys. Rev. C 92, 064304 (2015)

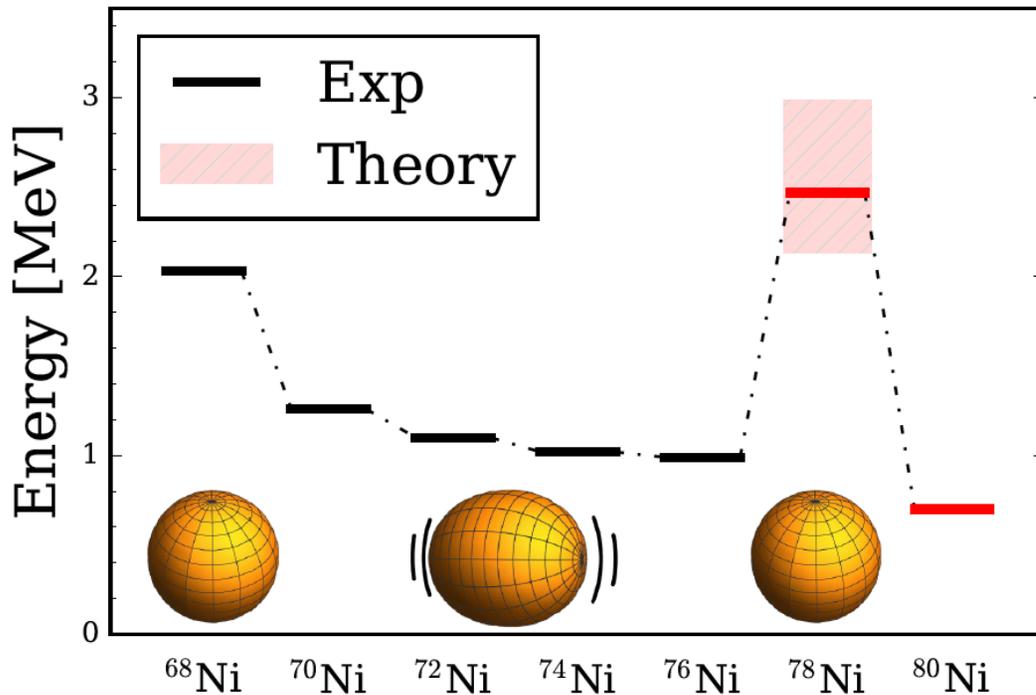
Measurement of dipole strength in ^{68}Ni :

D. Rossi et al, PRL 111 242503 (2013)

Nucleus	Δr_{np} (a)	Δr_{np} (b)	Δr_{np} (c)
^{68}Ni	0.15–0.19	0.18 ± 0.01	0.16 ± 0.04
^{120}Sn	0.12–0.16	0.14 ± 0.02	0.12 ± 0.04
^{208}Pb	0.13–0.19	0.16 ± 0.02	0.16 ± 0.03



Structure of ^{78}Ni from first principles



A high 2^+ energy in ^{78}Ni indicates that this nucleus is doubly magic

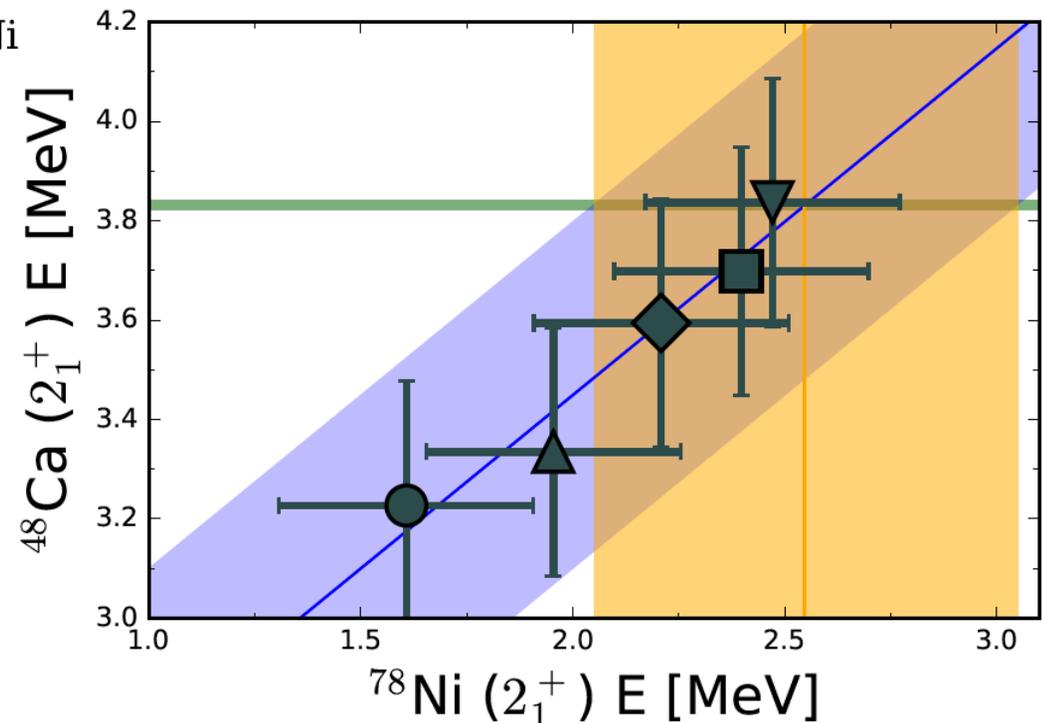
A measurement of this state has been made at RIBF, RIKEN

R. Taniuchi *et al.*, in preparation

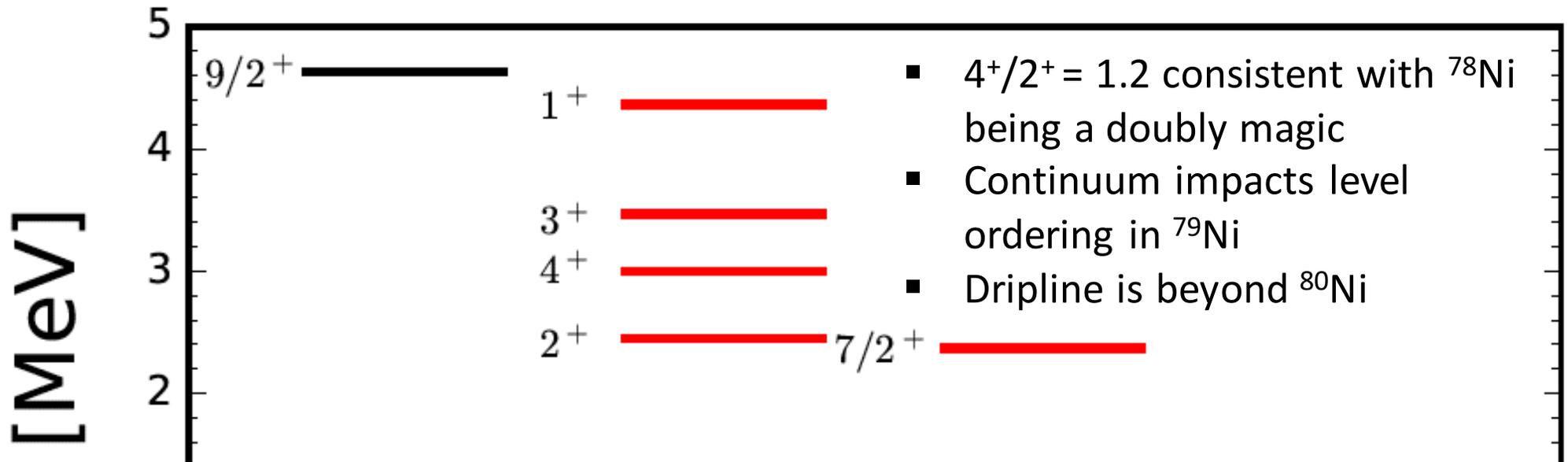
Consistent with recent shell-model studies

F. Nowacki *et al.*, PRL 117, 272501 (2016)

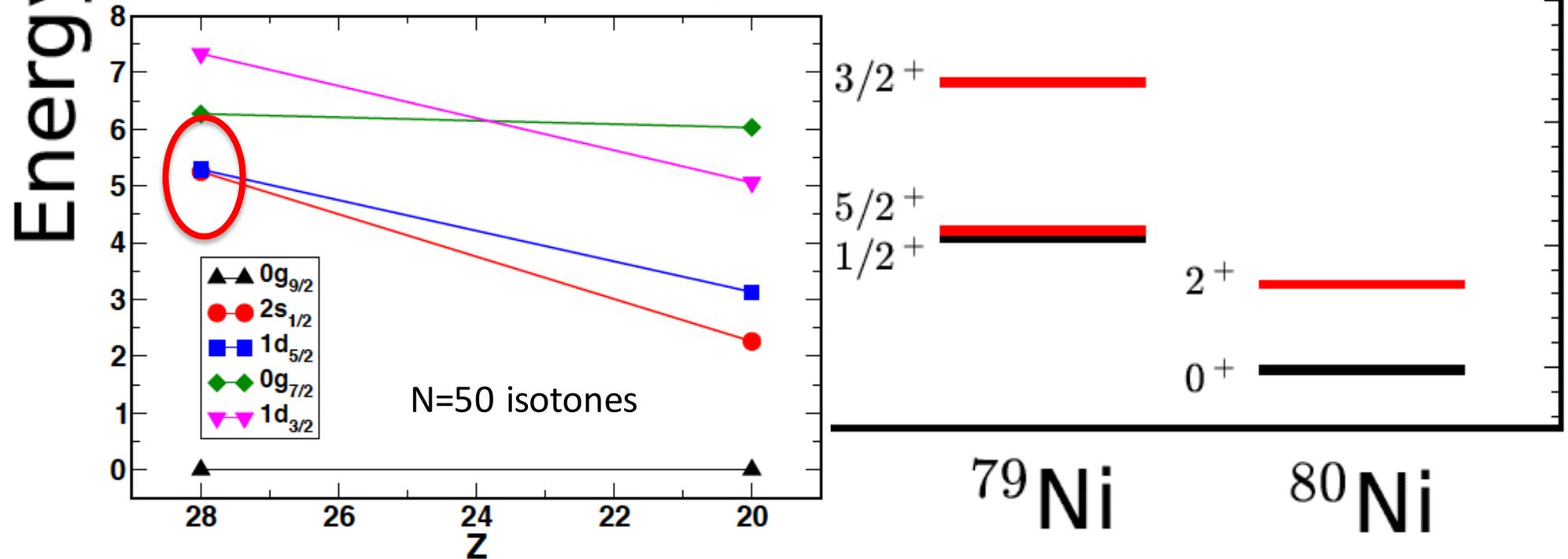
- From an observed correlation we predict the 2^+ excited state in ^{78}Ni using the experimental data for the 2^+ state in ^{48}Ca
- Similar correlations have been observed in other nuclei, e.g. Tjon line in light nuclei



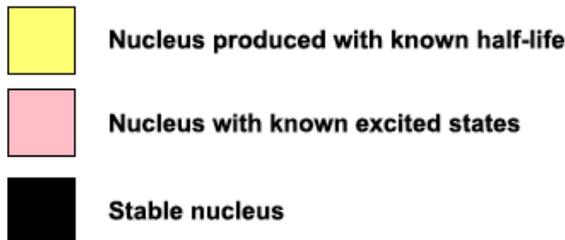
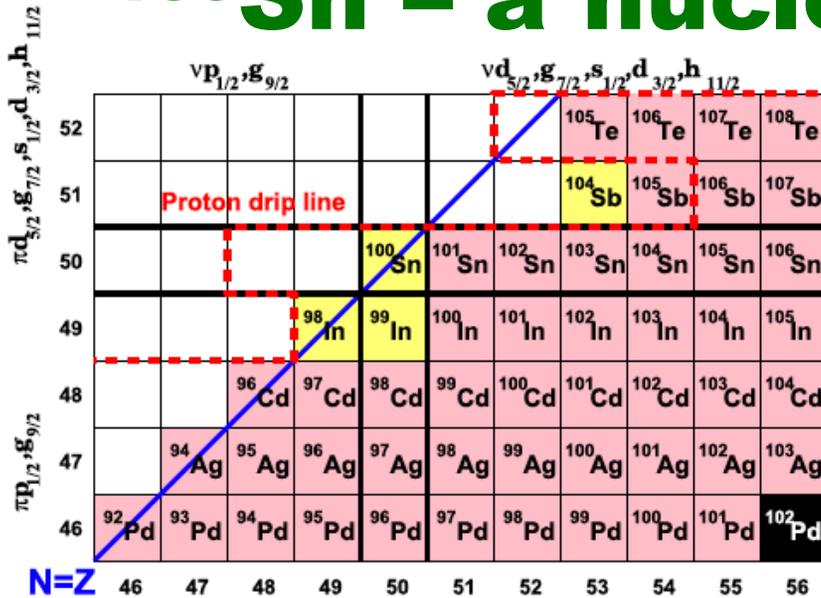
Excited states in ^{78}Ni and its neighbors



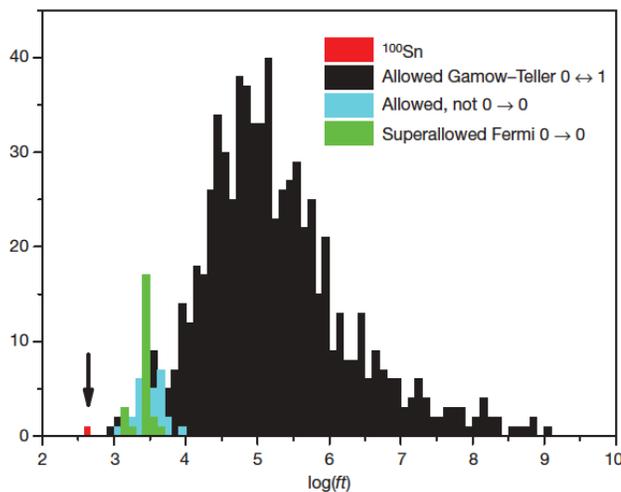
F. Nowacki *et al.*, PRL 117, 272501 (2016)



^{100}Sn – a nucleus of superlatives



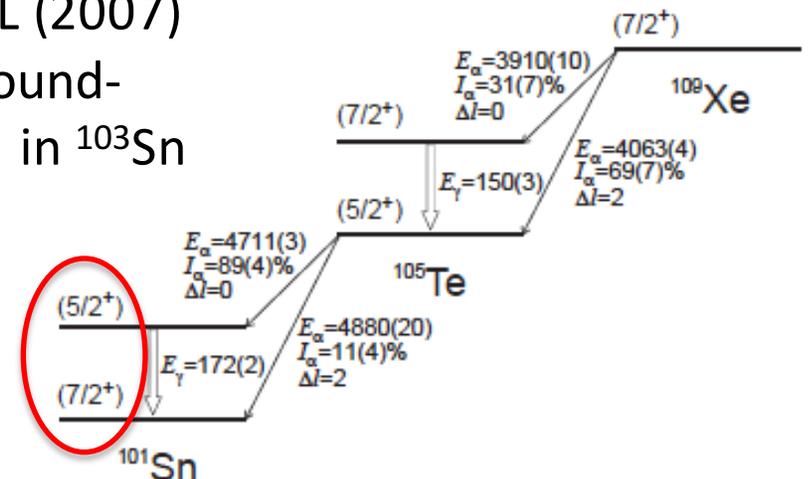
- Heaviest self-conjugate doubly magic nucleus
- Largest known strength in allowed nuclear β -decay
- In the closest proximity to the proton dripline
- At the endpoint of the rapid proton capture process (Sn-Sb-Te cycle)
- Unresolved controversy regarding s.p. structure of ^{101}Sn



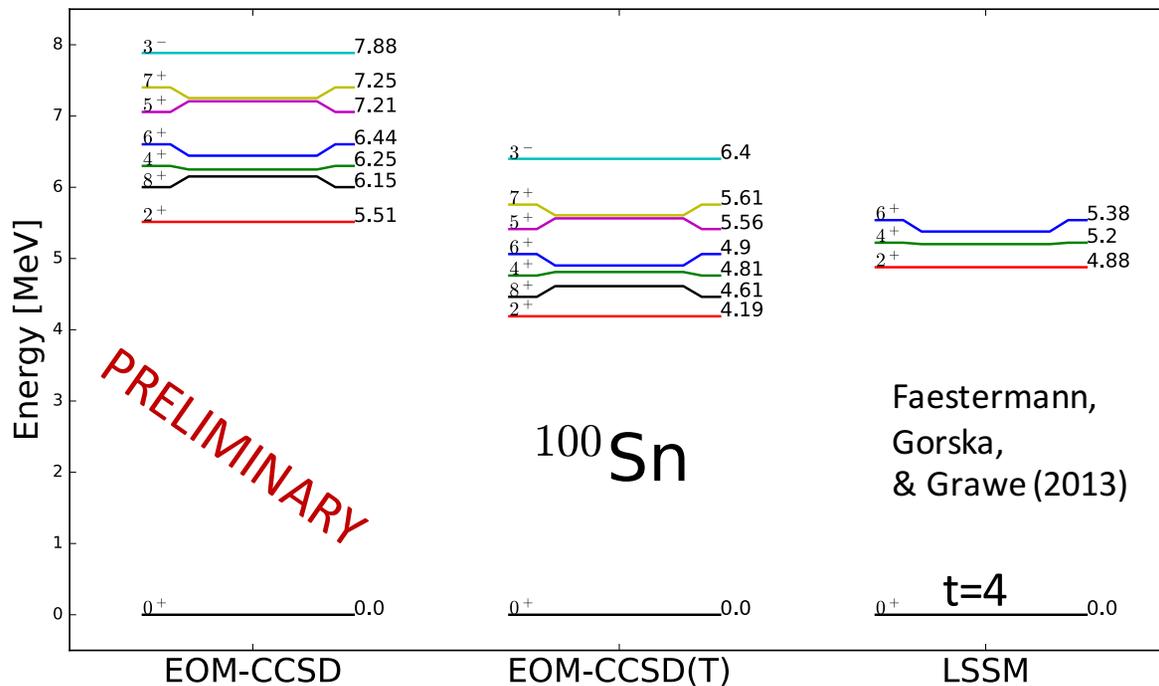
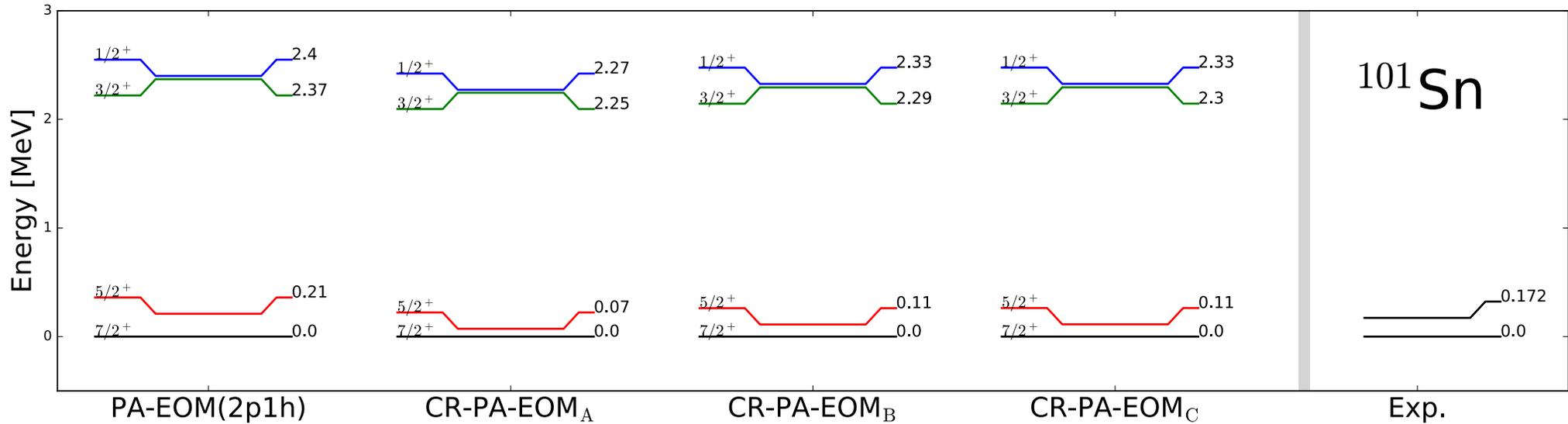
Hinke et al, Nature (2012)

Sewernyiak et al PRL (2007) predicted a $5/2^+$ ground-state as presumably in ^{103}Sn

Darby et al, PRL (2010)

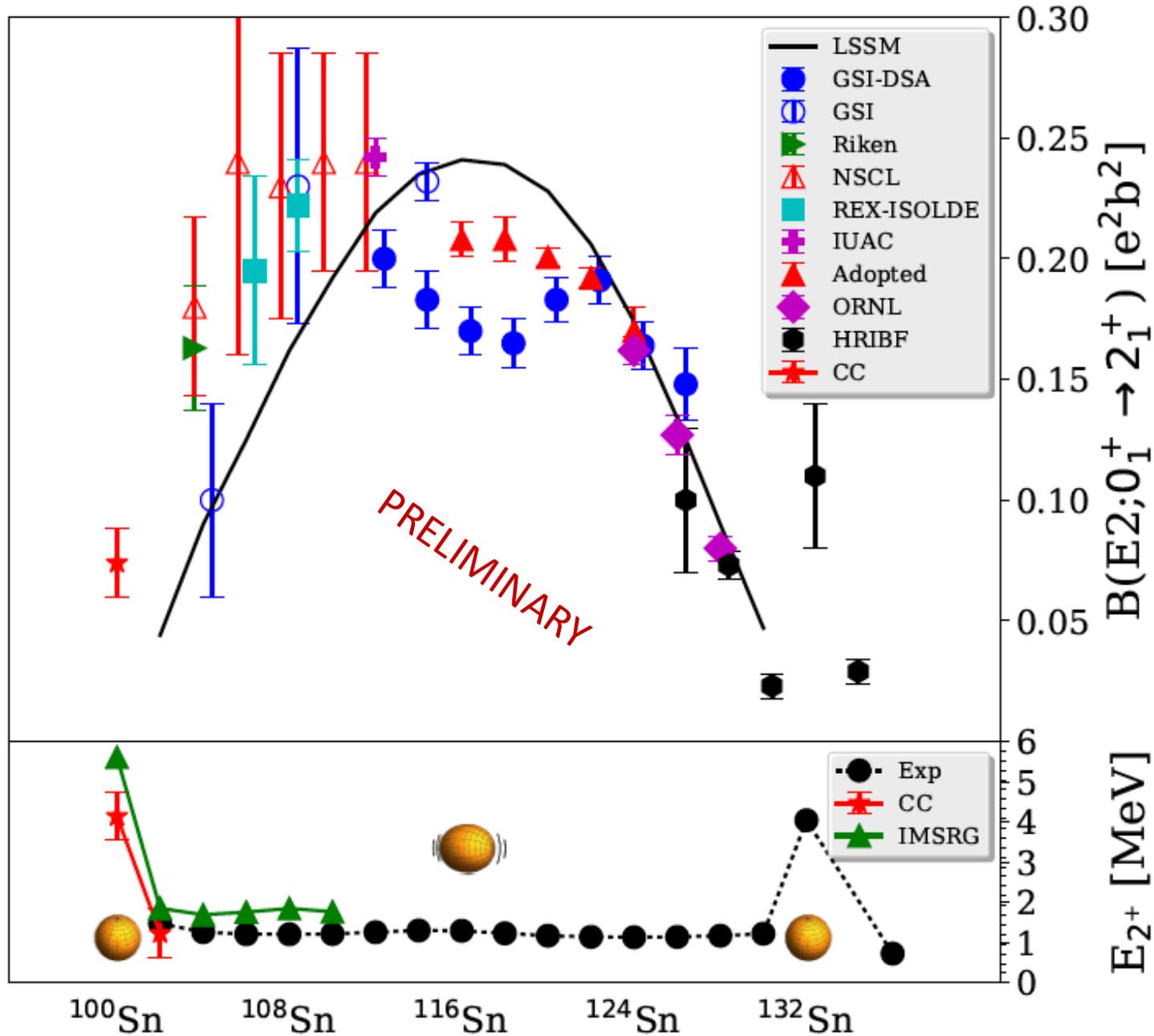


Structure of the lightest tin isotopes

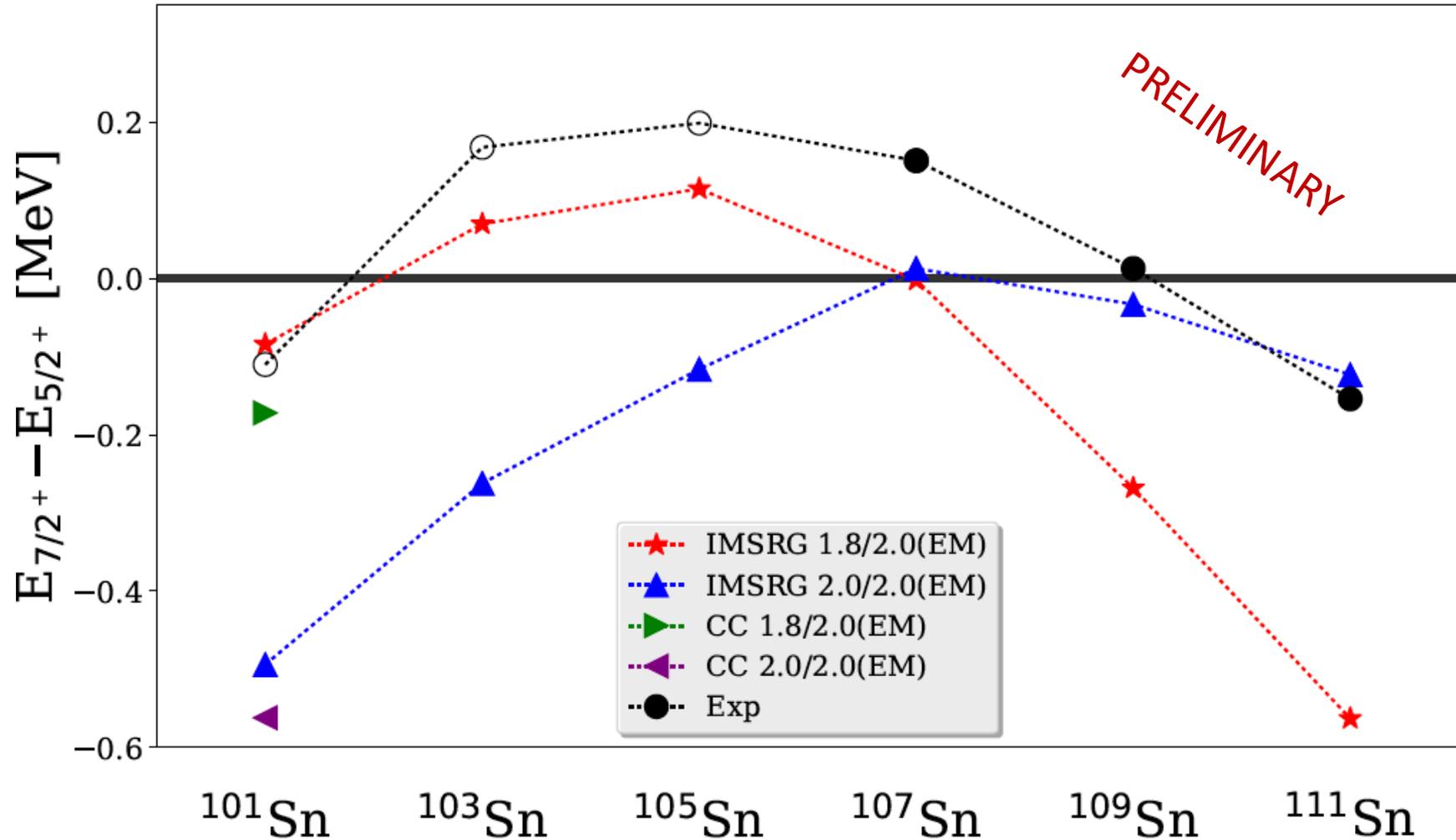


- High 2^+ energy in ^{100}Sn
- Predict $7/2^+$ ground-state in ^{101}Sn
- Experimental splitting between $7/2^+$ and $5/2^+$ reproduced
- Ground-state spins of $^{101-121}\text{Sn}$ will be measured at CERN (CRIS collaboration)

Structure of the lightest tin isotopes



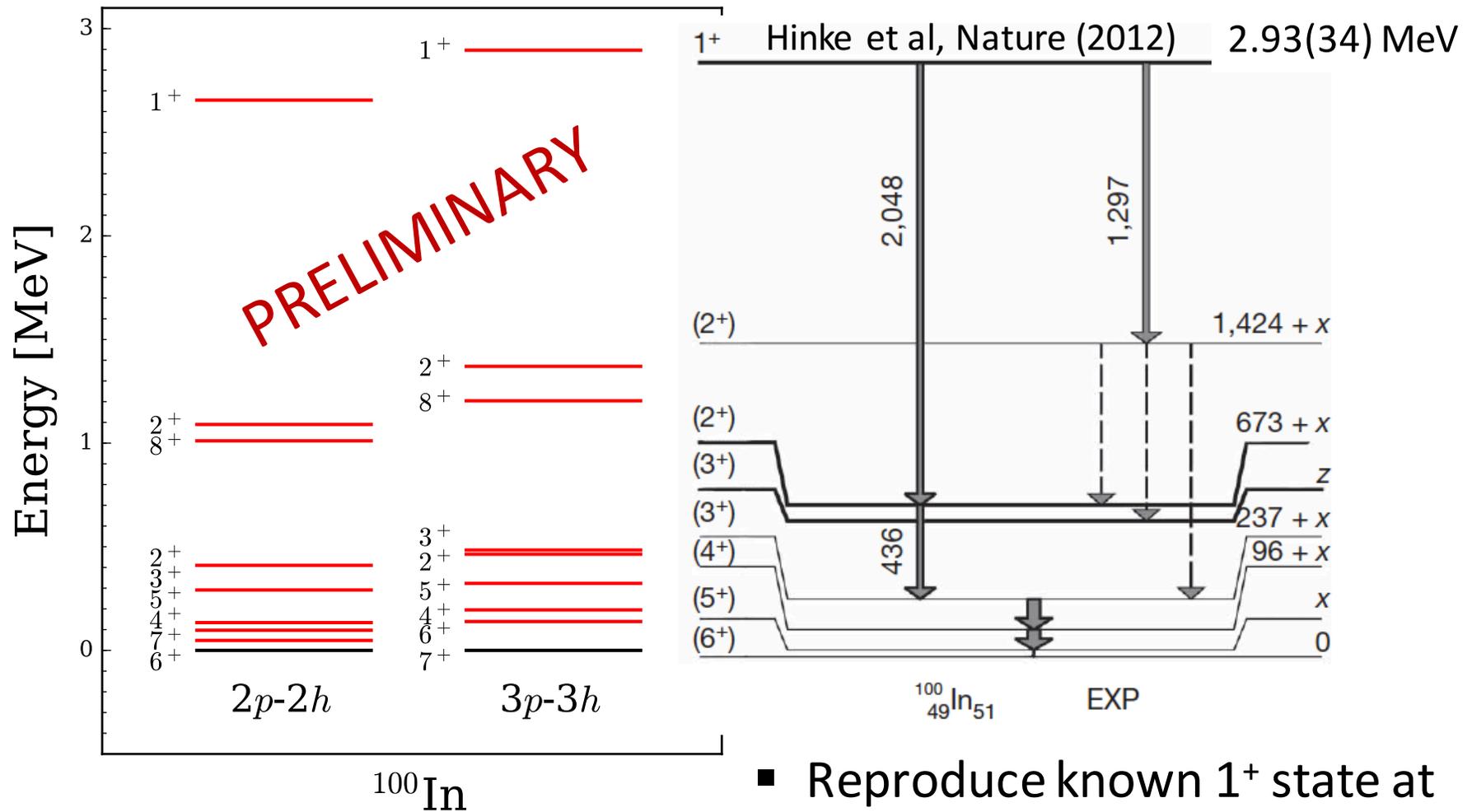
Structure of the lightest tin isotopes



G.s. spin of ^{107}Sn correctly predicted to be $5/2+$
[G. Cerizza et al Phys. Rev. C **93**, 021601 (2016)]

Importance truncated CI results
from **C. Stumpf** and R. Roth,
valence space effective
interactions from **S. R. Stroberg**
and J. Holt.

^{100}In from a novel charge exchange coupled-cluster equation-of-motion method



New method: 3p-3h charge-exchange EOM

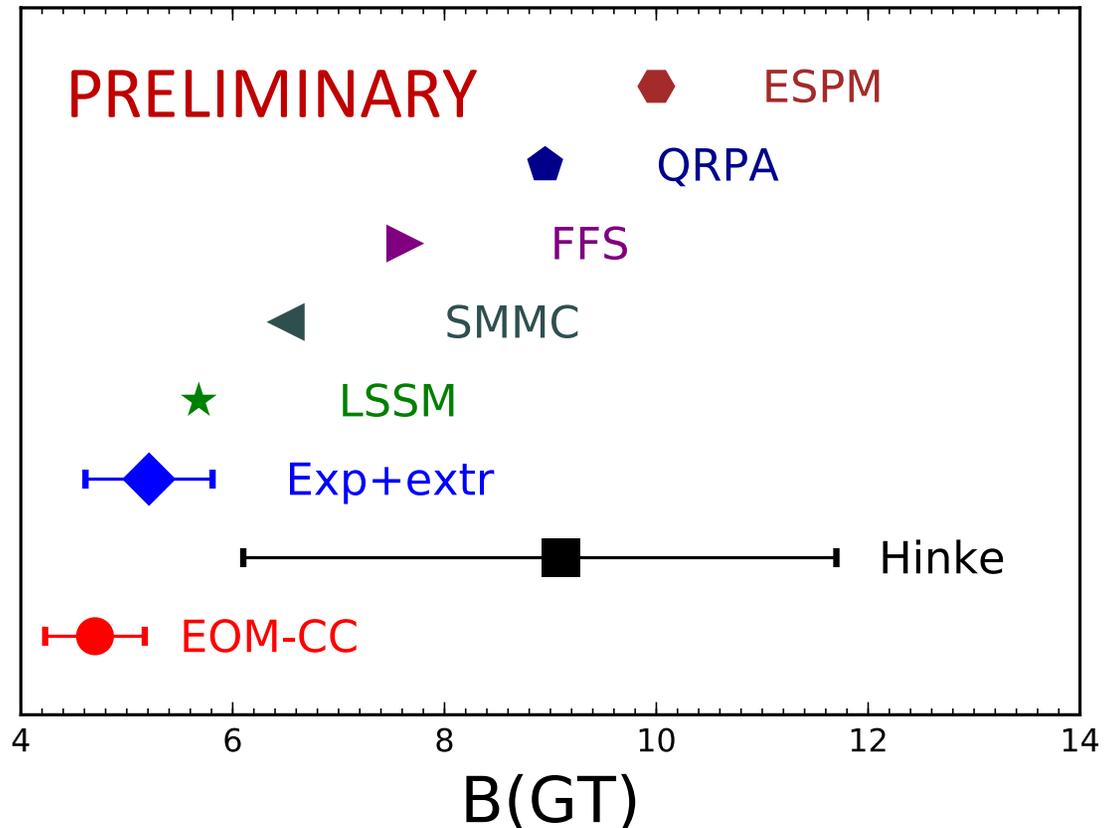
$$\overline{H}_N R_\mu |\Phi_0\rangle = E_\mu R_\mu |\Phi_0\rangle$$

- Reproduce known 1^+ state at 2.93(34) MeV
- Predict a 7^+ ground-state for ^{100}In
- Ground-state spin of ^{100}In can be measured by CRIS collab. at CERN

Superaligned Gamow-Teller transition

- Prediction for the Gamow-Teller transition consistent with data
- Towards understanding the quenching of g_A
- Important implications for computations of $0\nu\beta\beta$ decay

Hinke et al, Nature (2012)



Model	Ref	unquenched	quenched
ESPM	[30]	17.78	10.00
MCSM	[8]	10.3	6.5
QRPA	[9]	8.95	
FFS	[9]	7.63	
extrapol.	[10]	9.8	5.2
SM+corr.	[7]	14.2	
LSSM	this work	~ 13.90	~ 7.82
LSSM (only 1_1^+)	this work	10.10	5.68

- Coupled-cluster computations predict a $B(GT)$ of **4.7(5)**
- $B(GT)$ is currently targeted by upcoming precision measurements

Summary

- Optical potentials from coupled-cluster theory – promising first results for $^{40}\text{Ca}+n$ with NNLO_{sat}
- Prediction of dipole polarizability of ^{48}Ca consistent with data
- ^{78}Ni is predicted to be doubly magic
- Structure and decay of ^{100}Sn