Scale and scheme dependence of nuclear processes



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Refs: "The longitudinal response function of the deuteron in chiral EFT," C.-J. Yang and D.R. Phillips, EJP A49 (2013)
"Deuteron electrodisintegration with unitarily evolved potentials," S. More, S. König, rjf, and K. Hebeler, PRC (2015)
"Scale dependence of deuteron electrodisintegration,"

S. More, S. Bogner, rjf (in preparation)

#### Some particular motivations for thinking about this here

- Nature of optical potentials for *N*–*A* 
  - Filomena Nunes (and other recent talks): "bipolar thinking" of effective interaction vs. ab initio self-energy
  - Surrey group: sensitivity to high-np momenta and D-state component in (d, p) reactions [e.g., PRL 117 (2016)]
- Short-range correlations (SRC) in nuclear structure and reactions
  - JLab SRC/EMC correlation experiments [e.g., Hen et al., RMP]
  - Chen et al. analysis using EFT and OPE [arXiv:1607.03065]
  - Nuclear contacts (cf. cold atoms),  $\beta\beta 0\nu$ , ...
- And, as usual, what about spectroscopic factors and the like?



### Overview: scale and scheme dependence

Test case: deuteron electrodisintegration

Summary and take-aways

Many back-up slides

#### Context for scale/scheme dependence: knock-out reactions

- E.g., (p, 2p) or high-momentum electron scattering on nuclei
- Goal is *process independent* determination of properties
- If impulse approximation (IA) in some form is really valid, then direct extraction of nuclear properties is possible
- More generally, process independence requires a controlled factorization of structure and reaction mechanism
- But dividing point is not unique, so scale/scheme dependent
- Understanding this dependence is important for:
  - robust extractions from experiments
  - to correctly use the structure information in other processes
  - to understand the impact of approximations for both

#### Standard story for (e, e'p) [from C. Ciofi degli Atti]



- In IA: "missing" momentum  $p_m = k_1$  and energy  $E_m = E$
- Choose E<sub>m</sub> to select a discrete final state for range of p<sub>m</sub>
- FSI and meson exchange currents treated as *add-on* theoretical corrections to IA? But mixing with structure is scale dependent!

#### Let's check a textbook for conventional wisdom ...

S. Wong, "Introductory Nuclear Physics", pg. 358-9:

"Let us recapture what is happening when an intermediate energy nucleon is scattered off a nucleus. ... The three parts of a calculation — optical potential, nucleon-nucleon interactions, and nuclear wave functions — are three distinct parts of the problem and may be treated quite independently of each other."

Even if not so explicit, this viewpoint is often implicit.

Note: there's no problem with an ab initio calculation that treats all elements consistently. (Still need factorization to extract properties.)

#### Parton distributions as paradigm [Marco Stratman]

# Deep-inelastic scattering (DIS) according to pQCD

the physical structure fct. is independent of  $\mu_f$  (this will lead to the concept of renormalization group eqs.)

both, pdf's and the short-dist. coefficient depend on  $\mu_f$  (choice of  $\mu_f$ : shifting terms between long- and short-distance parts)



# Factorization: high-E QCD vs.



long-distance parton density short-distance Wilson coefficient

- Separation between long- and short-distance physics is not unique ⇒ introduce μ<sub>f</sub>
- Choice of μ<sub>f</sub> defines border between long/short distance
- Form factor *F*<sub>2</sub> is independent of μ<sub>f</sub>, but pieces are not
- Q<sup>2</sup> running of f<sub>a</sub>(x, Q<sup>2</sup>) comes from choosing µ<sub>f</sub> to optimize extraction from experiment

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# low-E nuclear

 Also has factorization assumptions (e.g., from D. Bazin ECT\* talk, 5/2011)

Observable: cross section Structure model: spectroscopic factor

 $|J_f - J_i| \leq j \leq J_f + J$ 

Reaction model: single-particle cross section

• Is the factorization general/robust? (Process dependence?)

- What is the scale/scheme dependence of extracted properties (and the reaction model)?
- What are the trade-offs? (Does simpler structure always mean much more complicated reaction?)

Use RG as tool to address questions

#### Parton vs. nuclear momentum distributions



- The quark distribution  $q(x, Q^2)$  is scale *and* scheme dependent
- *x q*(*x*, *Q*<sup>2</sup>) measures the share of momentum carried by the quarks in a particular *x*-interval
- $q(x, Q^2)$  and  $q(x, Q_0^2)$  are related by RG evolution equations

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- Deuteron momentum distribution is scale *and* scheme dependent
- Initial AV18 potential evolved with SRG from  $\lambda = \infty$  to  $\lambda = 1.5 \text{ fm}^{-1}$
- High momentum tail shrinks as λ decreases (lower resolution)

#### Scheming for parton distributions

Need schemes for both renormalization and factorization

From the "Handbook of perturbative QCD" by G. Sterman et al.

"Short-distance finite parts at higher orders may be apportioned arbitrarily between the C's and  $\phi$ 's. A prescription that eliminates this ambiguity is what we mean by a factorization scheme. ... The two most commonly used schemes, called DIS and  $\overline{MS}$ , reflect two different uses to which the freedom in factorization may be put."

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Specifying a scheme in low-energy nuclear physics includes specifying a potential and *consistent* currents, including regulators, and how a reaction is analyzed. (EFT is a good framework for this!)

- To make calculations easier or more convergent
  - QCD running coupling and scale: improved perturbation theory; choosing a gauge: e.g., Coulomb or Lorenz
  - Low-k potential: improve many-body convergence, or to make microscopic connection to shell model or dft
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  - In inclusive high-E QCD, use Q<sup>2</sup> of experiment
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- Scale and scheme for nuclear reactions?
  - Plan: use renormalization group (RG) to consistently relate scales and quantitatively probe ambiguities

# Outline

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#### Test case: deuteron electrodisintegration

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# Set up for ${}^{2}H(e, e'p)$ and disclaimers

- Simplest knock-out process: no complications of three-body forces; neglect relativity, etc.
- $f_L$  only:  $\frac{d\sigma}{d\Omega} \propto v_L f_L + v_T f_T + \cdots$  $\implies f_L \sim \sum_{m_s, m_J} |\langle \psi_f | J_0(q) | \psi_i \rangle|^2$
- $|\psi_i\rangle$  is always deuteron
- FSI:  $|\psi_f\rangle = |\phi^{p'}\rangle + G_0(E')t(E')|\phi^{p'}\rangle$



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- Use AV18 as "high-resolution" initial potential (λ = ∞)
- Initially only one-body current  $\langle \mathbf{k}_1 T_1 | J_0(\mathbf{q}) | \mathbf{k}_2 T = 0 \rangle = \frac{1}{2} (G_E^{\rho} + (-1)^{T_1} G_E^{\rho}) \, \delta(\mathbf{k}_1 - \mathbf{k}_2 - \mathbf{q}/2) + \frac{1}{2} ((-1)^{T_1} G_E^{\rho} + G_E^{\rho}) \, \delta(\mathbf{k}_1 - \mathbf{k}_2 + \mathbf{q}/2)$



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- Use similarity RG evolution to probe scale and scheme dependence vs. kinematics



#### SRG evolution of AV18 potential $dH_s/ds = [[G_s, H_s], H_s], G_s = T$



Notes: unitary transformation  $\widehat{O}_{\lambda} = \widehat{U}_{\lambda} \widehat{O}_{\lambda=\infty} \widehat{U}_{\lambda}^{\dagger}$ ;  $\lambda$  sets decoupling scale:  $V_{\lambda}(k, k') \approx V_{\lambda=\infty}(k, k') e^{-\left(\frac{k^2 - k'^2}{\lambda^2}\right)^2}$  (nonlocality!); scheme dependence from  $G_s$ 

# Running QCD $\alpha_s(Q^2)$ vs. running nuclear $V_{\lambda}$



- The QCD coupling is scale dependent (cf. low-E QED): α<sub>s</sub>(Q<sup>2</sup>) ≈ [β<sub>0</sub> ln(Q<sup>2</sup>/Λ<sup>2</sup><sub>QCD</sub>)]<sup>-1</sup>
- The QCD coupling strength α<sub>s</sub> is scheme dependent (e.g., "V" scheme used on lattice, or MS)

- Vary scale ("resolution") with RG
- Scale dependence: SRG (or V<sub>low k</sub>) running of initial potential with λ (decoupling or separation scale)



- Scheme dependence: SRG generator and AV18 vs. N<sup>3</sup>LO (plus associated 3NFs) but note flow to universality at low k
- All  $\lambda$  are (NN) phase equivalent!
- Shift contributions between interaction and sums over intermediate states

#### Visualizing the softening of NN interactions

- Project non-local NN potential:  $\overline{V}_{\lambda}(r) = \int d^3r' V_{\lambda}(r, r')$ 
  - Roughly gives action of potential on long-wavelength nucleons
- Central part (S-wave) [Note: The  $V_{\lambda}$ 's are all phase equivalent!]



• Tensor part (S-D mixing) [graphs from K. Wendt et al., PRC (2012)]



#### Source of scale-dependence for low-E processes

- Measured cross section as convolution: reaction 
  structure
  - but separate parts are not unique, only the combination
- Short-range unitary transformation *U* leaves m.e.'s invariant:

 $\boldsymbol{\textit{O}_{mn}} \equiv \langle \Psi_{m} | \widehat{\boldsymbol{\textit{O}}} | \Psi_{n} \rangle = \left( \langle \Psi_{m} | \boldsymbol{\textit{U}}^{\dagger} \right) \, \boldsymbol{\textit{U}} \widehat{\boldsymbol{\textit{O}}} \boldsymbol{\textit{U}}^{\dagger} \left( \boldsymbol{\textit{U}} | \Psi_{n} \rangle \right) = \langle \widetilde{\Psi}_{m} | \widetilde{\boldsymbol{\textit{O}}} | \widetilde{\Psi}_{n} \rangle \equiv \widetilde{\boldsymbol{\textit{O}}}_{\widetilde{m}\widetilde{n}}$ 

Note: matrix elements of operator  $\hat{O}$  itself between the transformed states are in general modified:

 $O_{\widetilde{m}\widetilde{n}} \equiv \langle \widetilde{\Psi}_m | O | \widetilde{\Psi}_n 
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- In a low-energy effective theory, transformations that modify short-range unresolved physics ⇒ equally valid states.
   So Õ<sub>mn</sub> ≠ O<sub>mn</sub> ⇒ scale/scheme dependent observables.
- RG unitary transformations change the decoupling scale change the factorization scale. Use to characterize and explore scale and scheme and process dependence!

#### All pieces mix with unitary transformation

• A one-body current becomes many-body (cf. EFT current):

$$\widehat{U}\widehat{\rho}(\mathbf{q})\widehat{U}^{\dagger} = \cdots + \alpha \qquad + \cdots$$

• New wf correlations have appeared (or disappeared):

$$\widehat{U}|\Psi_{0}^{A}\rangle = \widehat{U} \xrightarrow[]{\underbrace{1}{1}}_{\underbrace{1}{2}\circ\cdots} \stackrel{\epsilon_{\mathbf{F}}}{\underbrace{1}{1}}_{\underbrace{1}{3}\circ\cdots} + \cdots \implies Z \xrightarrow[]{\underbrace{1}{1}}_{\underbrace{1}{3}\circ\cdots} \stackrel{\epsilon_{\mathbf{F}}}{\underbrace{1}{1}}_{\underbrace{1}{3}\circ\cdots} + \alpha \xrightarrow[]{\underbrace{1}{2}\cdots}_{\underbrace{1}{3}\circ\cdots} \stackrel{\epsilon_{\mathbf{F}}}{\underbrace{1}{1}}_{\underbrace{1}{3}\circ\cdots} + \cdots$$

• Similarly with 
$$|\Psi_f\rangle = a_{\mathbf{p}}^{\dagger} |\Psi_n^{A-1}\rangle$$

- E.g., spectroscopic factors are generally scale dependent
- Final state interactions (FSI) are also modified by  $\widehat{U}$
- Bottom line: the cross section is unchanged *only* if all pieces are included, *with the same U*: H(λ), current operator, FSI, ...

• Recall: 
$$f_L \sim \sum_{m_s, m_J} \left| \langle \psi_f | J_0 | \psi_i \rangle \right|^2$$

- At the quasi-free ridge, IA works because proton and neutron already on shell E'(in MeV) ≈ 10 q<sup>2</sup>(in fm<sup>-2</sup>)
- Long-range part of the wave function probed at QFR  $\rightarrow$  invariant under SRG evolution



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120

60

40 20

effects

strong , 80 E' [MeV]

 $\lambda = 1.5 \text{ fm}^-$ 

o strong effects

20 25

Weed effects

quasi-free ridge

 $a^2 \, [fm^{-2}]$ 

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$$\langle \psi_f | J_0 | \psi_i \rangle = \underbrace{\langle \phi | J_0 | \psi_i \rangle}_{\text{IA}} + \underbrace{\langle \phi | t^{\dagger} G_0^{\dagger} J_0 | \psi_i \rangle}_{\text{FSI}}$$

- Below QFR two terms add constructively
- In IA,  $|\psi_i\rangle$  probed for  $1.7 \le k \le 3.4 \text{ fm}^{-1}$  $\implies |\langle \psi_f | J_0 | \psi_i^\lambda \rangle| < |\langle \psi_f | J_0 | \psi_i \rangle|$
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#### Deuteron wave functions at two resolution scales



- S-wave part: high-momentum tail from coupling of low- and high-momentum by AV18 (λ = ∞) evolved away as λ reduced
- Consequent filling of wound at small r (SRCs disappear!)
- D-wave part: reduced S-D tensor coupling lowers D-state probability
- Note that r-space tails (i.e., ANCs) are RG invariant

#### S-wave scattering wave functions at different scales



• High-k tail and small r wound evolved away as  $\lambda$  reduced (but same  $\delta$ )

Local decoupling shows up as p' increases: suppressed low k



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<sup>3</sup>S<sub>1</sub> component at fixed  $q = 6 \text{ fm}^{-1}$ ; one-body peaked at q/2



- One-body J<sub>0</sub> unchanged under SRG, but two-body components grow
- Two-body changes are smooth and distributed mot pathological
- Evolved deuteron wf filters current (and then filtered by |\u03c6<sub>t</sub>)



















## **Current evolution and SRC story**

- So FSI can be simpler at low resolution. What about short-distance physics in deuteron?
- Varying λ shuffles physics between current and structure parts
- What happens to SRCs?
- λ decreases → blob size increases. One-body current operator develops two-body (and higher-body) components



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$$\langle \mathbf{k}_1 T_1 | J_0(\mathbf{q}) | \mathbf{k}_2 T = 0 \rangle = \frac{1}{2} (G_E^{\rho} + (-1)_1^T G_E^{\rho}) \, \delta(\mathbf{k}_1 - \mathbf{k}_2 - \mathbf{q}/2) + \frac{1}{2} ((-1)_1^T G_E^{\rho} + G_E^{\rho}) \, \delta(\mathbf{k}_1 - \mathbf{k}_2 + \mathbf{q}/2)$$

Naive expectation: RG changes to J<sub>0</sub>(q) complicate calculations

- Consider region p' < q/2 and calculate  $f_L$ ; recall  $\langle \psi_f | J_0(q) | \psi_i \rangle = \langle \psi_f^{\lambda} | J_0^{\lambda}(q) | \psi_i^{\lambda} \rangle$  $\implies | \psi_f^{\lambda} \rangle$  and  $| \psi_i^{\lambda} \rangle$  filter current
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• Simple:  $\langle {}^{3}S_{1}; k_{1}|J_{0}^{\lambda}(q)|{}^{3}S_{1}; k_{2} \rangle$ =  $g_{0}^{q} + g_{2}^{q}(k_{1}^{2} + k_{2}^{2})/\lambda^{2} + \cdots$ 



## Simple treatment of matrix elements

- Add expansions in other waves:  $\langle {}^{3}P_{1}; k_{1}|J_{0}^{\lambda}(q)|{}^{3}S_{1}; k_{2}\rangle = \frac{k_{1}}{\lambda}(g_{1}^{q}+g_{3}^{q}k_{2}^{2}/\lambda^{2}) + \cdots$
- Only S-wave part of deuteron wf needed: ⟨ψ<sup>λ</sup><sub>f</sub>|J<sup>λ</sup><sub>0</sub>(q)|ψ<sup>λ</sup><sub>i</sub>⟩ ≈ ⟨ψ<sup>λ</sup><sub>f</sub>|J<sup>λ</sup><sub>0</sub>(q)|ψ<sup>λ</sup><sub>i</sub><sub>3S1</sub>⟩
- Thus:  $\langle \psi_{f}^{\lambda} | J_{0}^{\lambda} | \psi_{i}^{\lambda} S_{1} \rangle$ =  $\langle \psi_{f}^{\lambda} | S_{1} \rangle \underbrace{\langle 3S_{1} | J_{0}^{\lambda} | S_{1} \rangle}_{\bullet} \langle 3S_{1} | \psi_{i}^{\lambda} S_{1} \rangle + \langle \psi_{f}^{\lambda} | P_{1} \rangle \underbrace{\langle 3P_{1} | J_{0}^{\lambda} | S_{1} \rangle}_{\bullet} \langle S_{1} | \psi_{i}^{\lambda} S_{1} \rangle + \cdots$

use deriv. exp.

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### **Convergence in partial wave channels**

#### [Note: still not fully understood yet!]



• 
$$\langle \psi_f^{\lambda} | J_0^{\lambda} | \psi_i^{\lambda} \rangle_{\text{lmax}=0} \equiv \langle \psi_f^{\lambda}; {}^3S_1 | J_0^{\lambda} |_{\text{exact}} | \psi_i^{\lambda}; {}^3S_1 \rangle$$

•  $\langle \psi_f^{\lambda} | J_0^{\lambda} | \psi_i^{\lambda} \rangle_{l_{\text{max}}=1} \equiv \langle \psi_f^{\lambda}; {}^3S_1 | J_0^{\lambda} _{\text{exact}} | \psi_i^{\lambda}; {}^3S_1 \rangle + \sum_{i=0,1,2} \langle \psi_f^{\lambda}; {}^3P_i | J_0^{\lambda} _{\text{exact}} | \psi_i^{\lambda}; {}^3S_1 \rangle$ 

• 
$$\langle {}^{3}P_{i}; k_{1}|J_{0}^{\lambda}{}_{\mathrm{EFT}}|{}^{3}S_{1}; k_{2}\rangle_{\mathrm{LO}} \equiv g_{P_{i}}^{q} k_{1}$$

Can we account for the cross section at *both* high and low resolution with simple pictures?

Work in final neutron-proton rest frame at  $\theta = 0^{\circ}$ Assume photon momentum absorbed entirely by proton

Scattering on the quasi-free ridge:

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Deuteron wave function probed at low momentum

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$$\begin{array}{c|c} \mathbf{q} & \mathbf{k}_{p} \\ \hline \mathbf{k}_{n} \\ \mathbf{k}$$

Cross section from short-range correlation

## Simple pictures at high and low resolution

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Scattering near threshold with SRC kinematics:



Cross section from low momentum!

Is sensitivity to the deuteron D-state probability scale-independent?



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- Unevolved contribution to *f<sub>L</sub>* mostly *D*-state but all *S*-state for evolved
- $\lambda$  evolution shows switch from *D*-channel to *S*-channel

# Outline

Overview: scale and scheme dependence

Test case: deuteron electrodisintegration

Summary and take-aways

Many back-up slides

# Take-away points from "toy" model study

- Scale dependence appears in many places, but systematic
- Case studies show:
  - Decoupling of the final state by RG evolution leads to decreased contribution from FSI ⇒ increased validity of IA
  - RG generated scale separation makes low-resolution potentials well suited for (high-q) reaction calculations (OPE!)
  - Intuitive picture of reaction can change qualitatively
  - Sensitivity to specific parts of nuclear wave function can be highly scale dependent
  - Explanation of factorization straightforward in low-momentum picture [in back-up slides]
- While extreme kinematics here, non-negligible effects expected for more ordinary kinematics
- Next steps: initial 2-body current,  $f_T$ , extend to A > 2

## How should one choose a scale and/or scheme?

- To make calculations easier or more convergent
  - QCD running coupling and scale: improved perturbation theory; choosing a gauge: e.g., Coulomb or Lorenz
  - Low-k potential: improve many-body convergence, or to make microscopic connection to shell model or dft
  - (Near-) local potential: quantum Monte Carlo methods work
- Better interpretation or intuition => predictability
  - SRC phenomenology for high-q electron scattering?
- Allowing for cleanest extraction from experiment
  - Can one "optimize" validity of impulse approximation?
  - In inclusive high-E QCD, use Q<sup>2</sup> of experiment
  - Ideally extract at one scale, evolve to others using RG
- Scale and scheme for nuclear reactions?

# Outline

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# Large Q<sup>2</sup> scattering at different RG decoupling scales



Egiyan et al. PRL 96, 1082501 (2006)

#### SRC explanation relies on high-momentum nucleons in structure

# Large Q<sup>2</sup> scattering at different RG decoupling scales



RG evolution changes physics interpretation but not cross section!

#### U-factorization with SRG [Anderson et al., arXiv:1008.1569]

- Factorization:  $U_{\lambda}(k,q) \rightarrow K_{\lambda}(k)Q_{\lambda}(q)$  when  $k < \lambda$  and  $q \gg \lambda$
- Operator product expansion for nonrelativistic wf's (see Lepage)

 $\Psi^{\infty}_{\alpha}(q) \approx \gamma^{\lambda}(q) \int_{0}^{\lambda} p^{2} dp \ Z(\lambda) \Psi^{\lambda}_{\alpha}(p) + \eta^{\lambda}(q) \int_{0}^{\lambda} p^{2} dp \ p^{2} \ Z(\lambda) \Psi^{\lambda}_{\alpha}(p) + \cdots$ 

• Construct unitary transformation to get  $U_{\lambda}(k,q) \approx K_{\lambda}(k)Q_{\lambda}(q)$ 

$$U_{\lambda}(k,q) = \sum_{\alpha} \langle k | \psi_{\alpha}^{\lambda} \rangle \langle \psi_{\alpha}^{\infty} | q \rangle \rightarrow \left[ \sum_{\alpha}^{\omega_{NN}} \langle k | \psi_{\alpha}^{\lambda} \rangle \int_{0}^{\lambda} p^{2} dp \ Z(\lambda) \Psi_{\alpha}^{\lambda}(p) \right] \gamma^{\lambda}(q) + \cdots$$

Test of factorization of U:

 $\frac{U_{\lambda}(k_i,q)}{U_{\lambda}(k_0,q)} \rightarrow \frac{K_{\lambda}(k_i)Q_{\lambda}(q)}{K_{\lambda}(k_0)Q_{\lambda}(q)},$ 

so for  $q \gg \lambda \Rightarrow rac{K_\lambda(k_i)}{K_\lambda(k_0)} \stackrel{ ext{LO}}{\longrightarrow} 1$ 

- Look for plateaus: k<sub>i</sub> ≤ 2 fm<sup>-1</sup> ≤ q ⇒ it works!
- Leading order ⇒ contact term!



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$$\frac{n_{A}(\mathbf{q})}{n_{d}(\mathbf{q})} = \frac{\langle A | a_{\mathbf{q}}^{\dagger} a_{\mathbf{q}} | A \rangle}{\langle d | a_{\mathbf{q}}^{\dagger} a_{\mathbf{q}} | d \rangle} \quad \stackrel{\text{RG}}{\underset{\widehat{U}^{\dagger} \widehat{U}=1}{\longrightarrow}} \quad \widehat{U} | d \rangle \rightarrow | \widetilde{d} \rangle \ , \ \widehat{U} | A \rangle \rightarrow | \widetilde{A} \rangle \ , \ \widehat{U} a_{\mathbf{q}}^{\dagger} a_{\mathbf{q}} \widehat{U}^{\dagger}$$



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# Scaling and EMC correlation via low resolution

- SRG factorization, e.g.,  $U_{\lambda}(k,q) \rightarrow K_{\lambda}(k)Q_{\lambda}(q)$ when  $k < \lambda$  and  $q \gg \lambda$ 
  - Dependence on high-q independent of A ⇒ universal [cf. Neff et al.]
  - A dependence from low-momentum matrix elements ⇒ calculate!
- EMC from EFT using OPE:
  - Isolate A dependence, which factorizes from *x*
  - EMC A dependence from long-distance matrix elements

If the same leading operators dominate, then does linear *A* dependence of ratios follow immediately? Need to do quantitative calculations to explore!



#### L.B. Weinstein, et al., Phys. Rev. Lett. 106, 052301 (2011)

# q-factorization of fL

- *f<sub>L</sub>* ≡ *f<sub>L</sub>(p', θ; q) p'* and *θ*: outgoing nucleon
  *q*: momentum transfer
- For  $p' \ll q$ ,  $f_L$  scales with q $f_L(p', \theta; q) \rightarrow g(p', \theta)B(q)$
- Note that f<sub>L</sub> is a strong function of q



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- Note that f<sub>L</sub> is a strong function of q
- Follows from the LO term in EFT expansion:  $\langle \psi_t^{\lambda} | J_0^{\lambda}(q) | \psi_{deut}^{\lambda} \rangle \approx$  $g_0^q \ \psi_t^{\lambda^*}(p'; r) \ \psi_{deut}^{\lambda}(r) \Big|_{r=0}$



- Improving perturbation theory; e.g., in QCD calculations
  - Mismatch of energy scales can generate large logarithms
  - Shift between couplings and loop integrals to reduce logs
- Identifying universality in critical phenomena
  - Filter out short-distance degrees of freedom
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  - Make nuclear physics look more like quantum chemistry!

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- $V_{\text{low }k}$ : lower cutoff  $\Lambda_i$  in k, k'via  $dT(k, k'; k^2)/d\Lambda = 0$
- SRG: drive *H* toward diagonal with flow equation

 $dH_{s}/ds = [[G_{s},H_{s}],H_{s}]$ 

Continuous unitary transforms (cf. running couplings)

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Block diagonal SRG



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- Decoupling naturally visualized in momentum space for  $G_s = T$ 
  - Phase-shift equivalent! Width of diagonal given by  $\lambda^2 = 1/\sqrt{s}$
  - What does this look like in coordinate space?

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# Compare changing a cutoff in an EFT to RG decoupling

- (Local) field theory version in perturbation theory (diagrams)
  - Loops (sums over intermediate states)  $\stackrel{\Delta \wedge_c}{\iff}$  LECs



- Momentum-dependent vertices  $\implies$  Taylor expansion in  $k^2$
- This implements an operator product expansion!
- Claim: V<sub>low k</sub> RG and SRG decoupling work analogously



Run NN to lower  $\lambda$  via SRG  $\implies \approx$ Universal low-k V<sub>NN</sub>



$$\begin{split} q \gg \lambda \; (\text{or } \Lambda) \; \text{intermediate states} \\ \Longrightarrow \; \text{change is} \approx \; \text{contact terms:} \\ & C_0 \delta^3(\mathbf{x} - \mathbf{x}') + \cdots \\ [\text{cf. } \mathcal{L}_{\text{eft}} = \cdots + \frac{1}{2} C_0 (\psi^{\dagger} \psi)^2 + \cdots ] \end{split}$$



Run NN to lower  $\lambda$  via SRG  $\implies \approx$ Universal low-k V<sub>NN</sub>



• Similar pattern with phenomenological potentials (e.g., AV18) Factorization:  $\Delta V_{\lambda}(k, k') = \int U_{\lambda}(k, q) V_{\lambda}(q, q') U_{\lambda}^{\dagger}(q', k')$  for  $k, k' < \lambda, q, q' \gg \lambda$  $\stackrel{U_{\lambda} \to K \cdot Q}{\longrightarrow} K(k) [\int Q(q) V_{\lambda}(q, q') Q(q')] K(k')$  with  $K(k) \approx 1!$ 

35

Run NN to lower  $\lambda$  via SRG  $\implies \approx$ Universal low-k V<sub>NN</sub>



 $q \gg \lambda$  (or  $\Lambda$ ) intermediate states  $\implies$  change is  $\approx$  contact terms:  $C_0 \delta^3 (\mathbf{x} - \mathbf{x}') + \cdots$ [cf.  $\mathcal{L}_{eft} = \cdots + \frac{1}{2} C_0 (\psi^{\dagger} \psi)^2 + \cdots$ ]



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#### EMC effect from the EFT perspective

- Exploit scale separation between short- and long-distance physics
  - Match complete set of operator matrix elements (power count!)
  - Cf. needing a model of short-distance nucleon dynamics
  - Distinguish long-distance nuclear from nucleon physics
- EMC and effective field theory (examples)
  - "DVCS-dissociation of the deuteron and the EMC effect" [S.R. Beane and M.J. Savage, Nucl. Phys. A 761, 259 (2005)]

"By constructing all the operators required to reproduce the matrix elements of the twist-2 operators in multi-nucleon systems, one sees that operators involving more than one nucleon are not forbidden by the symmetries of the strong interaction, and therefore must be present. While observation of the EMC effect twenty years ago may have been surprising to some, in fact, its absence would have been far more surprising."

- "Universality of the EMC Effect"
   [J.-W. Chen and W. Detmold, Phys. Lett. B 625, 165 (2005)]
- "SRCs and the EMC Effect in EFT" [Chen et al., arXiv:1607.03065]

#### A dependence of the EMC effect is long-distance physics!

• EFT treatment by Chen and Detmold [Phys. Lett. B 625, 165 (2005)]

$$F_2^A(x) = \sum_i Q_i^2 x q_i^A(x) \implies R_A(x) = F_2^A(x) / A F_2^N(x)$$

"The x dependence of  $R_A(x)$  is governed by short-distance physics, while the overall magnitude (the A dependence) of the EMC effect is governed by long distance matrix elements calculable using traditional nuclear physics."

 Match matrix elements: leading-order nucleon operators to isoscalar twist-two quark operators

$$R_A(x) = rac{F_2^A(x)}{AF_2^N(x)} = 1 + g_{F_2}(x)\mathcal{G}(A) \quad ext{where} \quad \mathcal{G}(A) = \langle A | (N^\dagger N)^2 | A 
angle / A \Lambda_0$$

 $\implies$  the slope  $\frac{dR_A}{dx}$  scales with  $\mathcal{G}(A)$ 

[Why is this not cited more?]

### Partial list of 'non-observables' references

- Equivalent Hamiltonians in scattering theory, H. Ekstein, (1960)
- Measurability of the deuteron D state probability, J.L. Friar, (1979)
- Problems in determining nuclear bound state wave functions, R.D. Amado, (1979)
- Nucleon nucleon bremsstrahlung: An example of the impossibility of measuring off-shell amplitudes, H.W. Fearing, (1998)
- Are occupation numbers observable?, rjf and H.-W. Hammer, (2002)
- Unitary correlation in nuclear reaction theory: Separation of nuclear reactions and spectroscopic factors, A.M. Mukhamedzhanov and A.S. Kadyrov, (2010)
- Non-observability of spectroscopic factors, B.K. Jennings, (2011)
- How should one formulate, extract, and interpret 'non-observables' for nuclei?, rjf and A. Schwenk, (2010) [in J. Phys. G focus issue on Open Problems in Nuclear Structure Theory, edited by J. Dobaczewski]

#### Deuteron true and scheme-dependent observables



• Unitary transformations labeled by  $\Lambda$  ( $V_{\text{low }k}$  here)

- $\implies$  soften interactions by lowering resolution (how far?)
- $\implies$  reduced short-range and tensor correlations
- D-state probability changes (cf. spectroscopic factors)
- Asymptotic D-S ratio is unchanged (cf. ANC's)

#### Momentum distributions in nuclei



# **Correlation of** *P*<sub>D</sub> **with spectroscopic factors**



- Increased occupation probability with increased non-locality and correlated reduction in short-range tensor strength
- Is the correlation quantitatively predictable?

### **Cutoff dependence in coupled cluster calculations**



FIG. 4: (Color online) Spectroscopic factor SF(1/2<sup>-</sup>) for neutron and proton removal as a function of the oscillator spacing  $\hbar\omega$  for nucleon-nucleon interactions with different cutoffs in a model space with N = 6.

Wave functions are more single-particle-like as  $\Lambda/\lambda$  decreases, but do reaction operators become significantly less one-body?

# Changing the scheme: (short-range) NN potential

- V<sub>low k</sub> or SRG unitary transformations to soften interactions
- Project non-local NN potential:  $\overline{V}_{\lambda}(r) = \int d^3r' V_{\lambda}(r, r')$ 
  - Roughly gives action of potential on long-wavelength nucleons
- Central part (S-wave) [Note: The  $V_{\lambda}$ 's are all phase equivalent!]



• Tensor part (S-D mixing) [graphs from K. Wendt]



#### Are wave functions measurable? [from W. Dickhoff] Atoms studied with the (e,2e) reaction



But compare approximations for (*e*, 2*e*) on atoms to those for (*e*, *e'p*) on nuclei! (Impulse approx., FSI, vertex, ...)

# Spectroscopic factors in atoms

For a bound final N-1 state the spectroscopic factor is given by S =

$$S = \int d\vec{p} \left| \left\langle \Psi_n^{N-1} \left| a_{\vec{p}} \right| \Psi_0^N \right\rangle \right|$$

For H and He the 1s electron spectroscopic factor is 1 For Ne the valence 2p electron has S=0.92 with two additional fragments, each carrying 0.04 at higher energy

each carrying 0.04, at higher energy.



One-body scattering, small scheme dependence  $\Longrightarrow$  robust SF

### Unitary cold atoms: Is *n*(*k*) observable?

• Tail of momentum distribution + contact [Tan; Braaten/Platter]



• When  $R/a_s \ll kR \ll 1 \implies$  tiny scheme dependence

# Is the tail of n(k) for nuclei measurable? (cf. SRC's)



### Using EFT and field redefinitions as tool

• EFT: 
$$\mathcal{L}_{\text{eft}} = \psi^{\dagger} \left[ i \frac{\partial}{\partial t} + \frac{\nabla^2}{2M} \right] \psi - \frac{\mathcal{L}_0}{2} (\psi^{\dagger} \psi)^2 - \frac{D_0}{6} (\psi^{\dagger} \psi)^3 + \dots$$

- general short-range interactions, but not unique!
- Try simple field redefinition to check scheme dependence:

$$\psi \longrightarrow \psi + \alpha \frac{4\pi}{\Lambda^3} (\psi^{\dagger} \psi) \psi \qquad \alpha \sim \mathcal{O}(1) \Longrightarrow$$
 "natural"  $\Longrightarrow$  estimate!

- "new" vertices: 2–body off-shell  $\triangle$ , 3–body o  $\propto \frac{8\pi\alpha}{\Lambda^3} C_0(\psi^{\dagger}\psi)^3$
- asymptotic "on-shell" quantities (S-matrix elements) must be unchanged by redefinition
- Energy density is model ( $\alpha$ ) independent *if* all terms kept
  - sum of new terms is zero, so energy is unchanged

• What about momentum occupation number?

**Occupation No.**  $\Longrightarrow$  **Momentum Distribution** 

• Insert  $a_{\mathbf{k}}^{\dagger}a_{\mathbf{k}} \Longrightarrow \boxtimes$ 



• But nonzero contribution  $\Delta n(k)$  from induced vertices:



- There is no preferred definition for transformed operator
  - $\implies$  only defined for specific convention
  - $\implies$  momentum distributions for different schemes differ

# Analysis of (e,e'p) Experiments? [cf. (e,2e) on atoms]

- Suppose external source *J*(*x*) coupled to fermions
  - EFT: need most general current coupled to J(x) for all α
- Consider lowest order with simplest ( $\alpha = 0$ ) current

• if  $\alpha = 0$ , just impulse approximation  $J\psi^{\dagger}\psi$ 



- if α ≠ 0 [recall ψ → ψ + α<sup>4π</sup>/<sub>Λ³</sub>(ψ<sup>†</sup>ψ)ψ], then same cross section *only* if vertex contribution from modified operator *and* modified final (and initial) state interactions are included
- There are *always* contributions from all three at each order
  - sub-leading pieces are mixed by field redefinitions  $\implies$  isolating  $J\psi^{\dagger}\psi$  is model dependent
  - How large is ambiguity? Set by natural size  $\alpha \sim \mathcal{O}(1)$

# Ab initio electron scattering with LIT [from G. Orlandini]



- Ab initio calculations of longitudinal (*e*, *e'*) response functions show importance of FSI for quasi-elastic regime
  - PWIA fails for quasi-elastic peak and at low  $\omega$
  - FSI effects decrease with q in peak but not at low  $\omega$
- Direct proton knockout and neglect of FSI tested for (e, e'p)
  - Both antisymmetrization effects and FSI play important roles
  - Approximate estimates of FSI effects can be poor

## Why are ANC's different? Coordinate space



- ANC's, like phase shifts, are asymptotic properties  $\implies$  short-range unitary transformations do not alter them [e.g., see Mukhamedzhanov/Kadyrov, PRC 82 (2010)]
- In contrast, SF's rely on interior wave function overlap
- (Note difference in S-wave and D-wave ambiguities)

# Why are ANC's different?

[based on R.D. Amado, PRC 19 (1979)]

integral dominated by pole from 1.

) extrapolate  $\langle {f k} | {f V} | \psi_n 
angle$  to  $k^2 = -\gamma_n^2$ 



A<sub>c</sub>

 $k^{2}$  [fm<sup>-2</sup>]

0.5

-0.5

 Or, residue from extrapolating on-shell T-matrix to deuteron pole ⇒ invariant under unitary transformations

-0.9

-1-1

 Inverse scattering puzzle: A<sub>n</sub> uniquely determined because assumed longest-range part of V from one-pion exchange

• Next vertex singularity at  $-(\gamma + m_{\pi})^2 \Longrightarrow$  same for FSI

#### Momentum space

# What about long-range correlations?

- SF calculations with FRPA
- N<sup>3</sup>LO Hamiltonian
  - Soft ⇒ small SRC
  - SRC contribution changes dramatically with lower resolution
- Compare short-range correlations (SRC) to long-range correlations from particle-vibration coupling
- LRC  $\gg$  SRC!!
- Are long-range correlations scheme dependent?

#### C. Barbieri, PRL 103 (2009)

TABLE I. Spectroscopic factors (given as a fraction of the IPM) for valence orbits around <sup>56</sup>Ni. For the SC FRPA calculation in the large harmonic oscillators space, the values shown are obtained by including only SRC, SRC and LRC from particle-vibration couplings (full FRPA), and by SRC, particle-vibration couplings and extra correlations due to configuration mixing (FRPA +  $\Delta Z_o$ ). The last three columns give the results of SC FRPA and SM in the restricted 1*p0f* model space. The  $\Delta Z_o s$  are the differences between the last two results and are taken as corrections for the SM correlations that are not already included in the FRPA formalism.

	10 osc. shells			Exp. [29]	1p0f space		
	FRPA (SRC)	Full FRPA	FRPA + $\Delta Z_{\alpha}$		FRPA	SM	$\Delta Z_{\alpha}$
<sup>57</sup> Ni:							
$\nu 1 p_{1/2}$	0.96	0.63	0.61		0.79	0.77	-0.02
$\nu 0 f_{5/2}$	0.95	0.59	0.55		0.79	0.75	-0.04
$\nu 1 p_{3/2}$	0.95	0.65	0.62	0.58(11)	0.82	0.79	-0.03
<sup>55</sup> Ni:							
$\nu 0 f_{7/2}$	0.95	0.72	0.69		0.89	0.86	-0.03
57Cu:							
$\pi 1 p_{1/2}$	0.96	0.66	0.62		0.80	0.76	-0.04
$\pi 0 f_{5/2}$	0.96	0.60	0.58		0.80	0.78	-0.02
$\pi 1 p_{3/2}$	0.96	0.67	0.65		0.81	0.79	-0.02
55Co:							
$\pi 0 f_{7/2}$	0.95	0.73	0.71		0.89	0.87	-0.02

#### Parton distributions as paradigm: Factorization

- PDF analysis: part of convolution for cross section can be calculated reliably for given experimental conditions so that the remaining part can be extracted as a universal quantity, to be related to other processes and kinematic conditions
- For hard-scattering processes with large momentum transfer scale *Q*, *factorization* allows separation of momentum and distance scales in reaction
  - The time scale for binding interactions in the rest frame is time dilated in the center-of-mass frame, so the interaction of an electron with a hadron in deep-inelastic scattering is with single non-interacting partons
  - Short-distance part calculated systematically in low-order perturbative QCD; long-distance part identified in PDF's (momentum distribution for partons in hadrons)
- PDF's relate deep inelastic scattering of leptons, Drell-Yan, jet production, and more
  - Measure in limited set of reactions and then perturbative calculations of hard scattering and PDF evolution enable first principles predictions of cross sections for other processes

#### Simpler calculations of pair densities



#### Many-body perturbation theory may be sufficient at low resolution!

#### Simpler calculations of pair densities



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