

Renormalising nuclear forces

or

How can we build an effective Hamiltonian for nuclear physics?

and other FAQs

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INT Program "Effective Field Theories and the Many-Body Problem", April 2009

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What's the point of an effective (field*) theory?

- no model assumptions just low-energy degrees of freedom and symmetries
- estimates of errors and theory will tell you if it breaks down (no convergence)
- consistency of effective operators and interactions
- effective coupling constants are "universal"
- → links between different low-energy phenomena (c_i 's: π N scattering \leftrightarrow TPE forces)
- → bridges between low-energy observables and underlying theory (scattering lengths: scattering processes ↔ lattice QCD)

*No creation/destruction of particles \rightarrow just effective quantum mechanics

• systematic expansion in powers of ratios of low-energy scales Q(momenta, $m_{\pi}, \ldots \sim 200 \text{ MeV}$) to scales of underlying physics Λ_0 ($m_0, M_N, 4\pi F_{\pi}, \ldots \gtrsim 700 \text{ MeV}$?)

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 - iterations (loop diagrams) usually infinite
- $\rightarrow\,$ need to renormalise
 - works provided we have a consistent expansion (otherwise trying to renormalise an infinite number of constants, simultaneously)

Where does it work?

Works well for purely pionic and πN systems

- pions ~ Goldstone bosons of hidden chiral symmetry – strong interactions weak at low energies
- \rightarrow chiral perturbation theory
- terms organised by naive dimensional analysis aka "Weinberg power counting" (simply counts powers of low-energy scales – momenta and m_π)

• over-reliance on appeals to authority ("Weinberg said ... ")

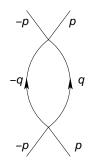
- over-reliance on appeals to authority ("Weinberg said ... ")
- · tendency to circle the wagons and shoot inwards

- nucleons interact strongly at low-energies
- simply counting powers of low-energy scales perturbative
- works for weakly interacting systems (eg pions and photons) but cannot generate bound states (nuclei!)
- need to treat some interactions nonperturbatively

Basic nonrelativistic loop diagram

$$rac{M}{(2\pi)^3}\int rac{\mathrm{d}^3 q}{p^2-q^2+\mathrm{i}\epsilon}=-\mathrm{i}rac{M
ho}{4\pi}+ ext{analytic}$$

- of order *Q* [Weinberg (1991)] (come back to divergences later)
- better than relativistic case, Q^2
- but potential starts at order Q⁰ (OPE and simplest contact interaction)
- each iteration suppressed by power of Q/Λ₀
- \rightarrow still perturbative (provided $Q < \Lambda_0$)



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Workaround: "Weinberg prescription"

- expand potential to some order in Q
- then iterate to all orders in favourite dynamical equation (Schrödinger, Lippmann-Schwinger, ...)
- widely applied [van Kolck; Epelbaum and Meissner; Machleidt ...] and even more widely invoked [≥ 9 talks here, so far]

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- widely applied [van Kolck; Epelbaum and Meissner; Machleidt ...] and even more widely invoked [≥ 9 talks here, so far]
- but no clear power counting for observables
- resums subset of terms to all orders in *Q* and some of these depend on regulator
- · not necessarily a problem if these terms are small
- but what if we rely on them to generate bound states?

How can we iterate interactions consistently?

Identify new low-energy scales

- promote leading-order terms to order Q⁻¹ (cancels Q from loop → iterations not suppressed)
- can, and must, then be iterated to all orders (all other terms: perturbations)

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Examples of new scales

- S-wave scattering lengths 1/a ≤ 40 MeV [van Kolck; Kaplan, Savage and Wise (1998)]
- → for $p \ll m_{\pi}$: "pionless EFT" \equiv effective-range expansion [Schwinger (1947); Bethe (1949)]

One-pion exchange

- important for nuclear physics at energies $\sim 100 \; \text{MeV}$
- order *Q*⁰ in chiral counting
- → treat as a perturbation [Kaplan, Savage and Wise (1998)]
 - S waves: series coverges slowly, if at all
 - OPE "unnaturally" strong (cf successes of older phenomenology and Weinberg's scheme)
 - strength of OPE set by scale

$$\lambda_{\scriptscriptstyle NN} = rac{16\pi F_\pi^2}{g_{\scriptscriptstyle A}^2 M_{\scriptscriptstyle N}} \simeq$$
 290 MeV

built out of high-energy scales ($4\pi F_{\pi}, M_{N}$) but $\sim 2m_{\pi}$

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- \rightarrow another low-energy scale?
 - \geq 4 proposed schemes, \sim 15 years of acrimonious debate

How do we analyse scale-dependence of strongly-interacting systems?

General tool for this: the renormalisation group

- scattering by contact interactions is ill-defined in QM
- couple to virtual states with arbitrarily high momenta
- example: basic loop diagram for S waves behaves as

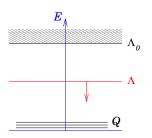
$$rac{M}{(2\pi)^3}\int rac{\mathrm{d}^3 q}{p^2-q^2+\mathrm{i}\epsilon}\sim -rac{M}{2\pi^2}\int\mathrm{d} q \quad ext{for large } q$$

(linear divergence)

 \rightarrow need to renormalise

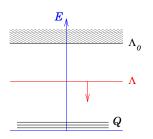
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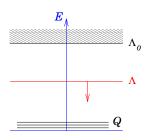
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- "integrate out" physics by lowering Λ (don't even think about taking Λ to infinity!)

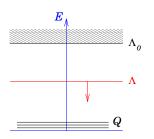
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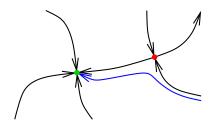
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- "integrate out" physics by lowering Λ (don't even think about taking Λ to infinity!)
- demand that physics be independent of Λ (eg T matrix)
- rescale: express all dimensioned quantities in units of Λ (potential and all low-energy scales)

Follow flow of effective potential as $\Lambda \to 0$

- \rightarrow look for fixed points
 - rescaled theories independent of Λ
 - correspond to scale-free systems
 - endpoints of RG flow



stable fixed point
 unstable fixed point

Expand around fixed point using perturbations that scale like Λ^{ν}

- v < 0 relevant or superrenormalisable (unstable; eg masses in QFTs)
- v > 0 irrelevant or nonrenormalisable (stable; eg mesonic ChPT)
- $\nu = 0$ marginal or renormalisable
 - $(\rightarrow \mbox{ln}\,\Lambda$ scale dependence; eg couplings in QED, QCD)
- \rightarrow EFT with power counting: Q^d where d = v 1

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 - $(\rightarrow \mbox{ln}\,\Lambda$ scale dependence; eg couplings in QED, QCD)
- \rightarrow EFT with power counting: Q^d where d = v 1
- Λ is highest acceptable low-energy scale
 - order Q
 - rescaling \rightarrow power of Λ counts low-energy scales

What does the RG tell us about short-range potentials?

Two fixed points

- trivial $V = 0 \rightarrow$ free particles
- nontrivial [Birse, McGovern, Richardson (1998)]
 - \rightarrow "unitary limit" (bound state at threshold, $a \rightarrow \infty$)
- both scale-free systems

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Near trivial fixed point $V(p) = C_0 + C_2 p^2 + C_4 p^4 + \cdots$

- energy-dependent: on-shell momentum $p = \sqrt{ME}$ (come back to momentum dependence)
- *p*²ⁿ are RG eigenfunctions
- orders given by naive (Weinberg) counting: Q^0 , Q^2 , Q^4 , ...
- coefficients *C*_{2n} related to energy expansion of on-shell K matrix (like T matrix but standing-wave bc's real, analytic)
- appropriate EFT for thermal np scattering

Nontrivial fixed point

$$V_0(\rho,\Lambda) = -\frac{2\pi^2}{M\Lambda} \left[1 - \frac{\rho}{2\Lambda} \ln \frac{\Lambda + \rho}{\Lambda - \rho} \right]^{-1} \quad \text{(sharp cutoff)}$$

• order Q^{-1} (so must be iterated)

• exactly cancels basic loop integral in LS equation

$$\rightarrow T(p) = i \frac{4\pi}{Mp}$$
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Expanding around this point

$$V(\rho,\Lambda) = V_0(\rho,\Lambda) + V_0(\rho,\Lambda)^2 \frac{M}{4\pi} \left(-\frac{1}{a} + \frac{1}{2}r_e\rho^2 + \cdots\right)$$

- factor V₀² ∝ Λ⁻² promotes terms by two orders compared to naive expectation: Q⁻², Q⁰, ... [van Kolck; Kaplan, Savage and Wise]
- coefficients of perturbations directly related to observables: effective-range expansion

Enhancement follows from form of wave functions as $r \rightarrow 0$

- unitary limit \rightarrow irregular solutions: $\psi(r) \propto r^{-1}$ (S wave)
- cutoff smears contact interaction over range $R \sim \Lambda^{-1}$
- → need extra factor Λ^{-2} to cancel cutoff dependence from $|\psi(R)|^2 \propto \Lambda^2$ in matrix elements of potential

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Other partial waves

- wave functions ψ(r) ∝ r^L for small r
 (assuming no low-energy bound state regular solution)
- extra factor Λ^{2L} needed in potential
- → leading term in *L*-th partial wave of order Q^{2L} (Weinberg counting: powers of *Q* from derivatives of δ -function)

Three-body systems

Attractive: 3 bosons or 3 distinct fermions in unitary limit (triton)

- naive dimensional analysis \rightarrow leading contact term of order Q^3
- next-to-naive expectation: promoted to Q¹ in unitary limit (enhancement of two-body wave functions at small r)
- as hyperradius $R \rightarrow 0$ wave functions behave like

 $\psi(R) \propto R^{-2\pm i s_0}$ $s_0 \simeq 1.006$ [Efimov (1971)]

- \rightarrow leading three-body force promoted to order Q^{-1}
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Repulsive: 1 distinct and 2 identical fermions in unitary limit (alkali atoms or neutrons)

- hyperradial wave functions $\psi(R) \propto R^{-2+2.1662}$
- \rightarrow leading three-body force of noninteger order $Q^{3.3324}$

How do pion-exchange forces affect the power counting?

Treat λ_{NN} as low-energy scale \rightarrow iterate OPE

Central OPE (spin-singlet waves)

- 1/*r* singularity not enough to alter power-law forms of wave functions at small *r*, even if iterated
- $L \ge 1$ waves: weak scattering \rightarrow Weinberg power counting
- ${}^{1}S_{0}$: similar to expansion around unitary fixed point
- except for extra log divergence $\propto m_{\pi}^2/\lambda_{\rm NN}$ not distinguishable in practice from leading contact term
- \rightarrow KSW-like power counting

Tensor OPE (spin-triplet waves)

- $1/r^3$ singularity
- but higher partial waves protected by centrifugal barrier
- above critical momentum waves resolve singularity
 → OPE not perturbative
- $L \ge 3$: $p_c \gtrsim 2 \text{ GeV} \rightarrow \text{Weinberg counting OK}$
- $L \leq 2$: $p_c \lesssim 3m_{\pi} \rightarrow$ new counting needed [Nogga, Timmermans and van Kolck (2005)]

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- $L \leq 2$: $p_c \leq 3m_{\pi} \rightarrow$ new counting needed [Nogga, Timmermans and van Kolck (2005)]
- wave functions $\psi(r) \propto r^{-1/4}$ multiplied by either sine or exponential function of $1/\sqrt{\lambda_{_{NN}}r}$
- → leading contact interaction of order $Q^{-1/2}$ in P, D waves (very weakly irrelevant) and of order $Q^{-3/2}$ in ${}^{3}S_{1} - {}^{3}D_{1}$ (relevant)

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 - \rightarrow promoted to order Q^1 in 1S_0 and to order $Q^{3/2}$ in 3S_1
- Contact interaction ("c_E")
 - counting still not known: need to solve 3-body problem with $1/r^3$ potentials
 - expect to be promoted, but by less than in pionless EFT
 - \rightarrow order Q^d , 0 < d < 3?

So, how should we build an effective Hamiltonian?

To order Q^3 (N2LO in Weinberg's counting)

Order	NN	NNN
Q ⁻¹	¹ S ₀ , ³ S ₁ C ₀ 's, LO OPE	
$Q^{-1/2}$	³ Р _J , ³ D _J C ₀ 's	
Q^0	$^{1}S_{0}C_{2}$	
$Q^{1/2}$	${}^{3}S_{1}C_{2}$	
Q^1		¹ <i>S</i> ₀ <i>C</i> _{<i>D</i>0} OPE
$Q^{3/2}$	³ <i>P</i> _J , ³ <i>D</i> _J <i>C</i> ₂ 's	³ S ₁ C _{D0} OPE
Q^2	${}^{1}S_{0}C_{4}$, ${}^{1}P_{1}C_{0}$,	
	NLO OPE, LO TPE	
$Q^{5/2} Q^3$	${}^{3}S_{1}C_{4}$	³ <i>P</i> _J , ³ <i>D</i> _J <i>C</i> _{D0} 's OPE
Q^3	NLO TPE	¹ $S_0 C_{D2}$ OPE, LO 3N TPE
$Q^{?}$		C _E

- orange terms absent from "N2LO chiral potential"
- red terms absent from "N3LO"
- order Q^{-1} : have to iterate, order $Q^{-1/2}$: may be better to

What does a finite cutoff do?

- regulates divergences
- also introduces artefacts ∝ Λ⁻ⁿ (except for dimensional regularisation)
- suppose only have expansion of effective potential above

$$V(\rho,\Lambda) = -\frac{2\pi^2}{M\Lambda} - \frac{\pi^3}{M\Lambda^2 a} + \frac{\pi^3}{2M\Lambda^2} r_e \rho^2 - \frac{2\pi^2}{M\Lambda^3} \rho^2 + \cdots$$

- last term $\propto p^2$ but of order Q^{-1} (really part of fixed point)
- dominates over effective range term if $\Lambda < \Lambda_0 \sim 1/r_e$
- $\rightarrow \,$ theory breaks down at momentum scale Λ not Λ_0 size of errors due to truncation determined by $1/\Lambda$ not $1/\Lambda_0$
 - keep Λ as large as possible: $\Lambda\gtrsim\Lambda_0$

What about momentum dependence?

Momentum-dependent perturbations (off-shell form of potential)

- trivial FP: same order as corresponding energy-dependent ones
- → no cost to trading energy- for momentum-dependence (field redefinition or "using the equation of motion")
 - unitary FP: one order higher
- → remove energy dependence only by taking unnaturally large coefficients for off-shell dependence

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Possible issues for purely momentum-dependent potentials

- unnaturally strong off-shell behaviour
- $\rightarrow\,$ will affect other effective operators, 3-body forces, \ldots
 - off-shell T matrix not RG invariant
 - (cf V_{low-k} derived from invariance of half-off-shell T matrix)
- $\rightarrow\,$ no clear power counting for potential or other operators
 - probably not problems provided Λ is kept large: $\Lambda\gtrsim\Lambda_0$

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Yes, but only if you keep your hands clean

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- · renormalise all potentially divergent integrals
- iterate all fixed-point or marginal terms, order Q^{-1}
- do not iterate irrelevant terms, order Q^d with $d \ge 0$
- otherwise ...

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- otherwise ...
- → if very lucky, might discover a new power counting eg tensor OPE in low partial waves [Nogga, Timmermans and van Kolck]
- → more generally, lose any consistent counting eg effective-range term in short-range potential [Phillips, Beane and Cohen (1997); and many others]

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Yes, but only if you are very careful ...

- resumming subset of higher-order terms
- without the counterterms needed to renormalise them
- dangerous: can alter form of short-distance wave functions and destroy power counting (or, at best, change it)
- but problems don't arise, provided higher-order terms are small

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- but problems don't arise, provided higher-order terms are small
- general way to ensure this: keep cutoff small, $\Lambda < \Lambda_0$

Combining EFT and standard many-body methods

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- desire to minimise artefacts, esp for momentum-dependent potentials $\to \Lambda \gtrsim \Lambda_0$
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- desire to minimise artefacts, esp for momentum-dependent potentials $\to \Lambda \gtrsim \Lambda_0$
- desire to plug full potential into dynamical equation $\to \Lambda < \Lambda_0$
- $\rightarrow\,$ one way out: take the largest cutoff you dare (just below $\Lambda_0)$ and stick with it?
 - but can't then check for cutoff independence or use cutoff dependence to estimate errors
 - already see examples of this in potentials of Epelbaum and Meissner, Entem and Machleidt: $\Lambda\sim 500-600$ MeV

Where does all this leave us?

Clear power counting rules for most partial waves, with iterated OPE

- controlled by forms of wave functions as $r \rightarrow 0$
- in general, not naive dimensional analysis!
- what is counting for 3-body forces in presence of tensor OPE?
- critical momenta for tensor OPE in ${}^{3}P_{J}$, ${}^{3}D_{J}$ waves with $m_{\pi} \neq 0$?
- is counting same for waves where tensor OPE is repulsive?

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Contact interactions directly related to "observables" (phase shifts)

- distorted-wave K matrix $\widetilde{K}(p) = -\frac{4\pi}{Mp} \tan(\delta_{\scriptscriptstyle PWA}(p) \delta_{\scriptscriptstyle OPE}(p))$
- either DWBA: expand $\widetilde{K}(p)$ in powers of energy (peripheral w's)
- or DW effective-range expansion: expand $1/\tilde{K}(p)$ (S waves)

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In S waves with low-energy bound/virtual states (close to unitary limit)

energy dependence is lower order than momentum dependence

Uses of EFT potentials in many-body calculations torn between

- keeping cutoff large to minimise artefacts, especially if potential is forced to be energy-independent
- and keeping cutoff small so that full potential can be iterated, without large higher-order terms destroying the power counting
- $\rightarrow\,$ leaves only a narrow window: Λ at or just below Λ_0
 - loses much of power of EFT: ability to check cutoff independence, or to use cutoff dependence to estimate theoretical errors

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• can't have it all!