

INSTITUTE for  
NUCLEAR THEORY

Symmetry in Subatomic Physics: In Memory of Ernest Henley

10 September 2018

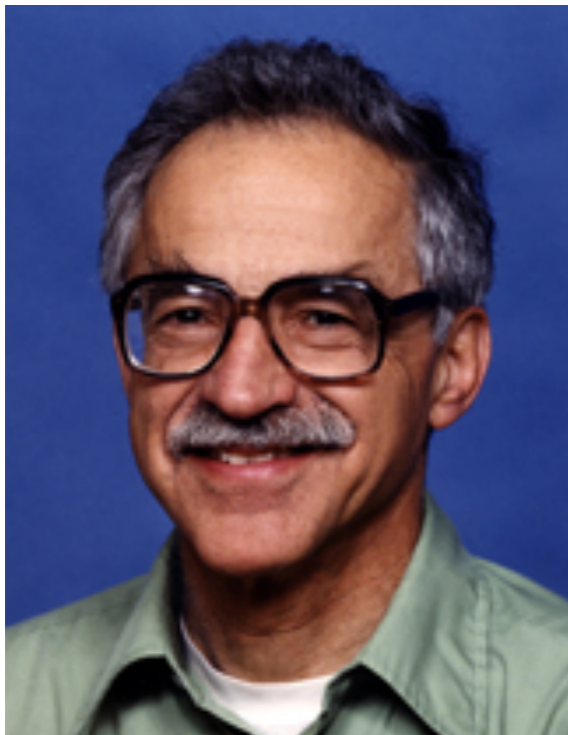
From **SYMMETRIES** of **QCD** to  
**NUCLEI** and **NEUTRON STARS**



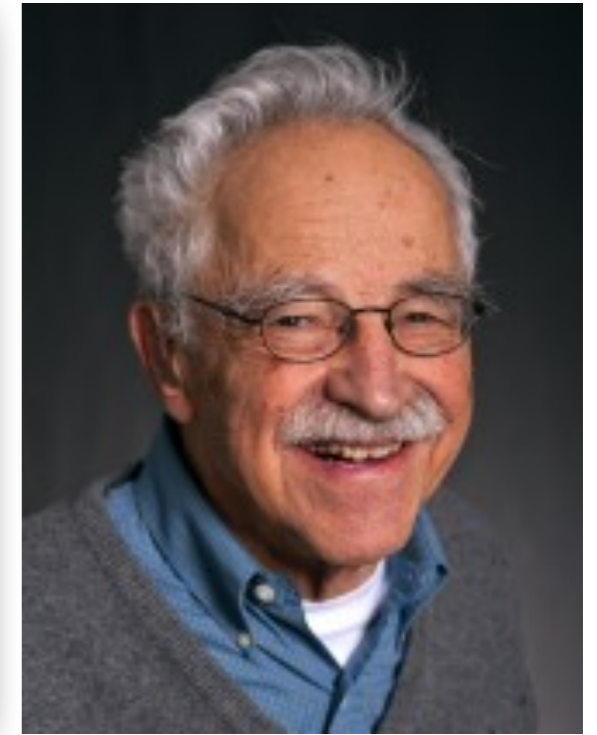
Wolfram Weise  
Technische Universität München

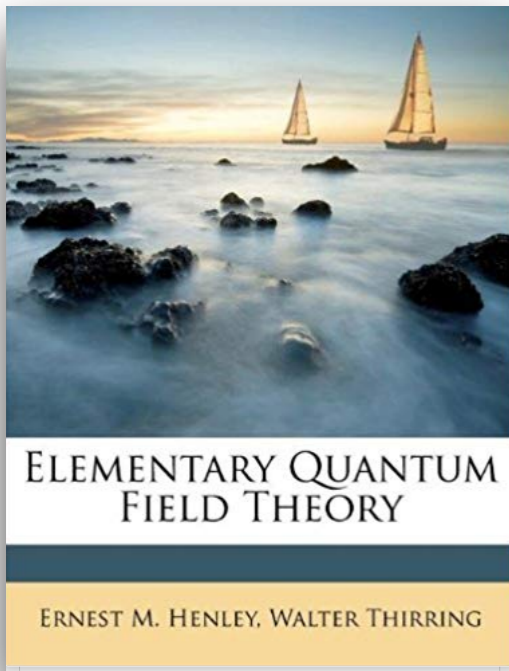


PHYSIK  
DEPARTMENT



*dedicated in memory of  
Professor Ernest M. Henley  
(1924-2017)*





Physics Letters B

Volume 255, Issue 4, 21 February 1991, Pages 498-502

## Singlet fraction in $\bar{p}p \rightarrow \bar{\Lambda}\Lambda$

M.A. Alberg<sup>a,b</sup>, E.M. Henley<sup>c</sup>, W. Weise<sup>c,d</sup>

**SUBATOMIC  
PHYSICS**

Hans Frauenfelder  
Ernest M. Henley

Physics Letters B 502 (2001) 99

## Coordinate Space Distributions of Antiquark Flavor Asymmetries in the Proton <sup>\*</sup>)

Ernest M. Henley<sup>a,b</sup>, Thorsten Renk<sup>c</sup>, and Wolfram Weise<sup>c</sup>

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<sup>c</sup> Physik Department, Technische Universität München, D85747 Garching, GERMANY

European Physical Journal A47 (2011) 140

## Spin structure of the nucleon: QCD evolution, lattice results and models

M. Altenbuchinger<sup>1a</sup>, Ph. Hägler<sup>1,2b</sup>, W. Weise<sup>1c</sup>, and E. M. Henley<sup>3</sup>

<sup>1</sup> Physik Department, Technische Universität München, D-85747 Garching, Germany

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<sup>3</sup> Dept. of Physics, University of Washington, Seattle, WA 98195-1560, USA



## **The QUESTION :**

Are there guiding principles leading from  
**QCD SYMMETRIES**  
to strongly interacting **COMPLEX SYSTEMS**  
such as  
**NUCLEI** and **DENSE BARYONIC MATTER**

**?**



# SYMMETRIES, SYMMETRY BREAKING PATTERNS and **SCALES** in **QCD**



(almost) massless u- and d-quarks :

**CHIRAL SYMMETRY**



$$\text{SU}(2)_R \times \text{SU}(2)_L$$

- Low energy : **spontaneous chiral symmetry breaking**
- **PIONS** as (almost) massless Nambu-Goldstone bosons



**Symmetry breaking scale :**

$$\Lambda_\chi = 4\pi f_\pi \sim 1 \text{ GeV}$$

- **Pion decay constant :**

$$f_\pi^{(0)} \simeq 86 \text{ MeV} \quad (\text{chiral limit}) \quad f_\pi = 92.2 \text{ MeV} \quad (\text{empirical})$$

# SYMMETRIES, SYMMETRY BREAKING PATTERNS and **SCALES** in **QCD** (contd.)

## ★ SCALE INVARIANCE and **TRACE ANOMALY**

- QCD with massless quarks - no dimensional parameter

- Invariance under scale transformations :

$$\psi(x) \rightarrow \lambda^{\frac{3}{2}} \psi(\lambda x) \qquad A_{\mu}^a(x) \rightarrow \lambda A_{\mu}^a(\lambda x)$$

- Trace of energy-momentum tensor  $\Theta_{\mu\nu}$  vanishes classically ...

- ... but - QCD as a QFT introduces renormalisation scale, and so :

$$\Theta_{\mu}^{\mu} = \frac{\beta(g)}{g} \mathbf{Tr}[G_{\mu\nu} G^{\mu\nu}] \quad (\text{trace anomaly})$$



# SYMMETRIES, SYMMETRY BREAKING PATTERNS and **SCALES** in **QCD** (contd.)

- ★ **MASS** of the **NUCLEON** and **TRACE ANOMALY**
  - From massless quarks to massive nucleon :

$$M_N^{(0)} = \langle N | (\beta/g) \text{Tr}[G_{\mu\nu} G^{\mu\nu}] | N \rangle = \frac{9}{4} \langle N | \frac{\alpha_s}{\pi} (\mathbf{E}^2 - \mathbf{B}^2) | N \rangle$$

- **Physical nucleon mass :**  $M_N = M_N^{(0)} + \sigma_N = 0.94 \text{ GeV}$
- **Sigma term :**  $\sigma_N = \frac{1}{2} (m_u + m_d) \langle N | \bar{u}u + \bar{d}d | N \rangle$
- **Recent values :**
  - $\sigma_N \simeq 50 - 60 \text{ MeV}$  (from pion-nucleon scattering analysis)
  - $\sigma_N \simeq 40 \text{ MeV}$  (from Lattice QCD)

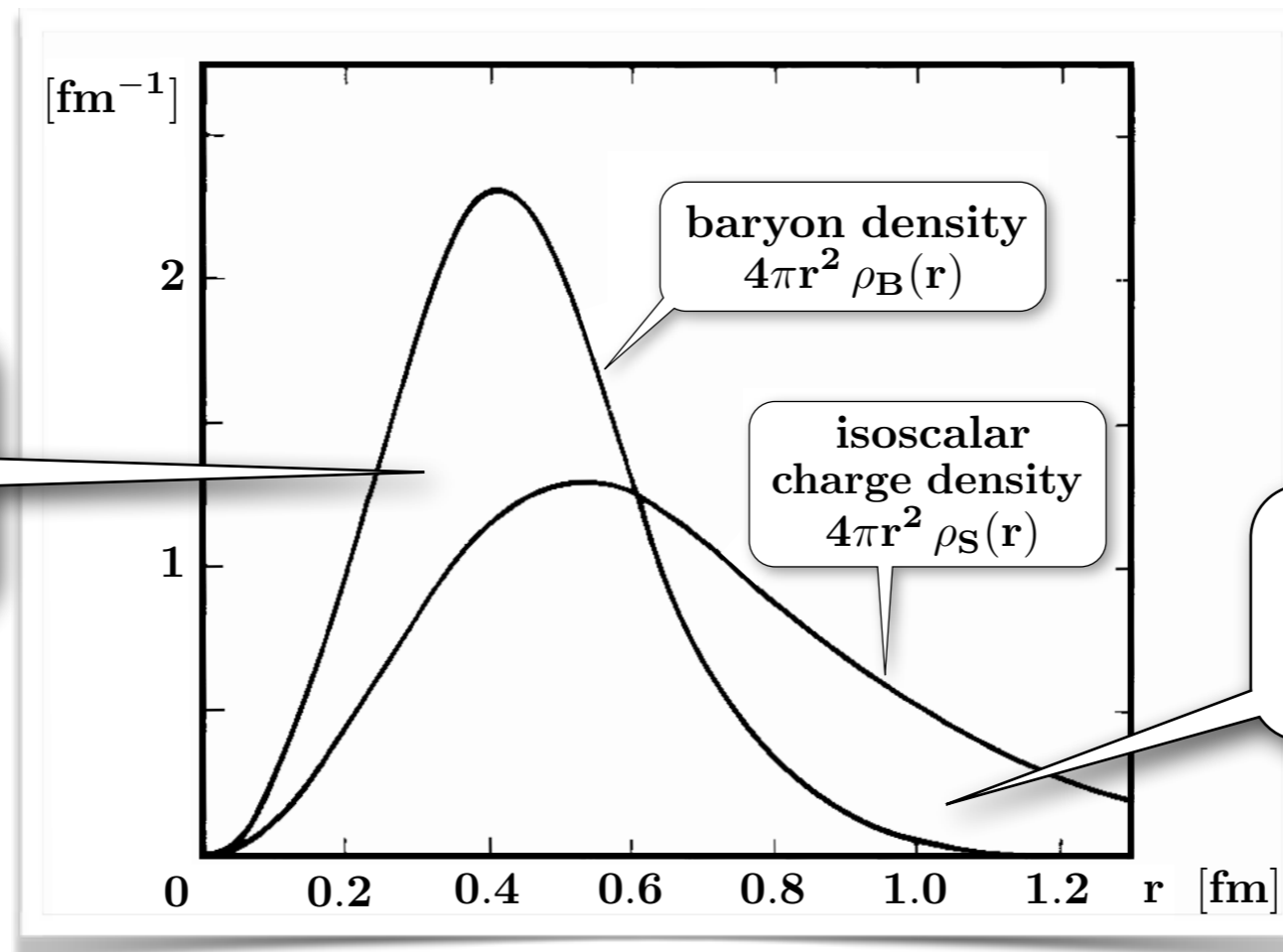
- ★ **Binding energy per nucleon in nuclear matter :**  $E/A = 16 \text{ MeV}$



# Historical Reminder about **SIZES** : **CHIRAL SOLITON MODEL** of the **NUCLEON**

Spontaneously broken chiral symmetry + localisation (confinement)

- **NUCLEON** : compact valence quark core + mesonic cloud



**baryonic core**

$$\langle r^2 \rangle_B^{1/2} \simeq 0.5 \text{ fm}$$

N. Kaiser,  
U.-G. Meißner, W.W.

Nucl. Phys.  
A466 (1987) 685

**mesonic cloud**

$$\langle r^2 \rangle_{E, \text{isoscalar}}^{1/2} \simeq 0.8 \text{ fm}$$

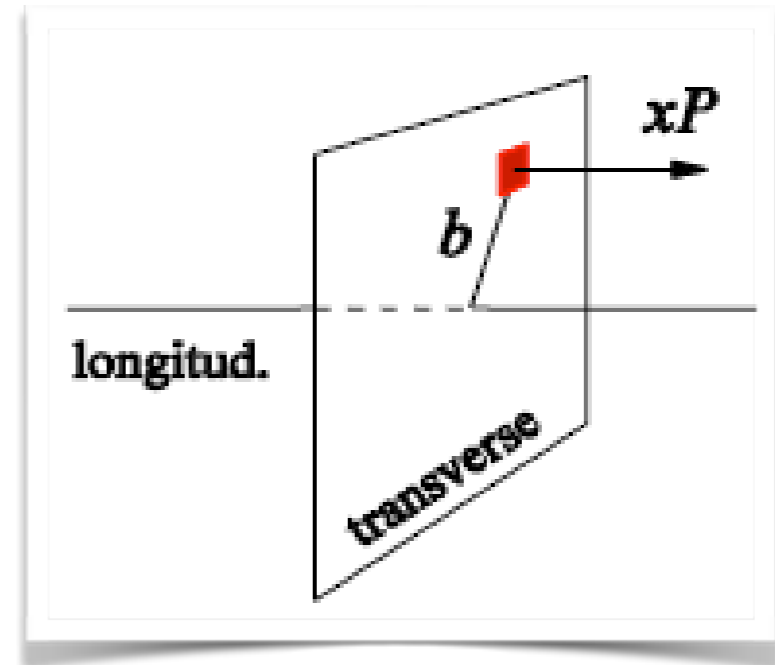
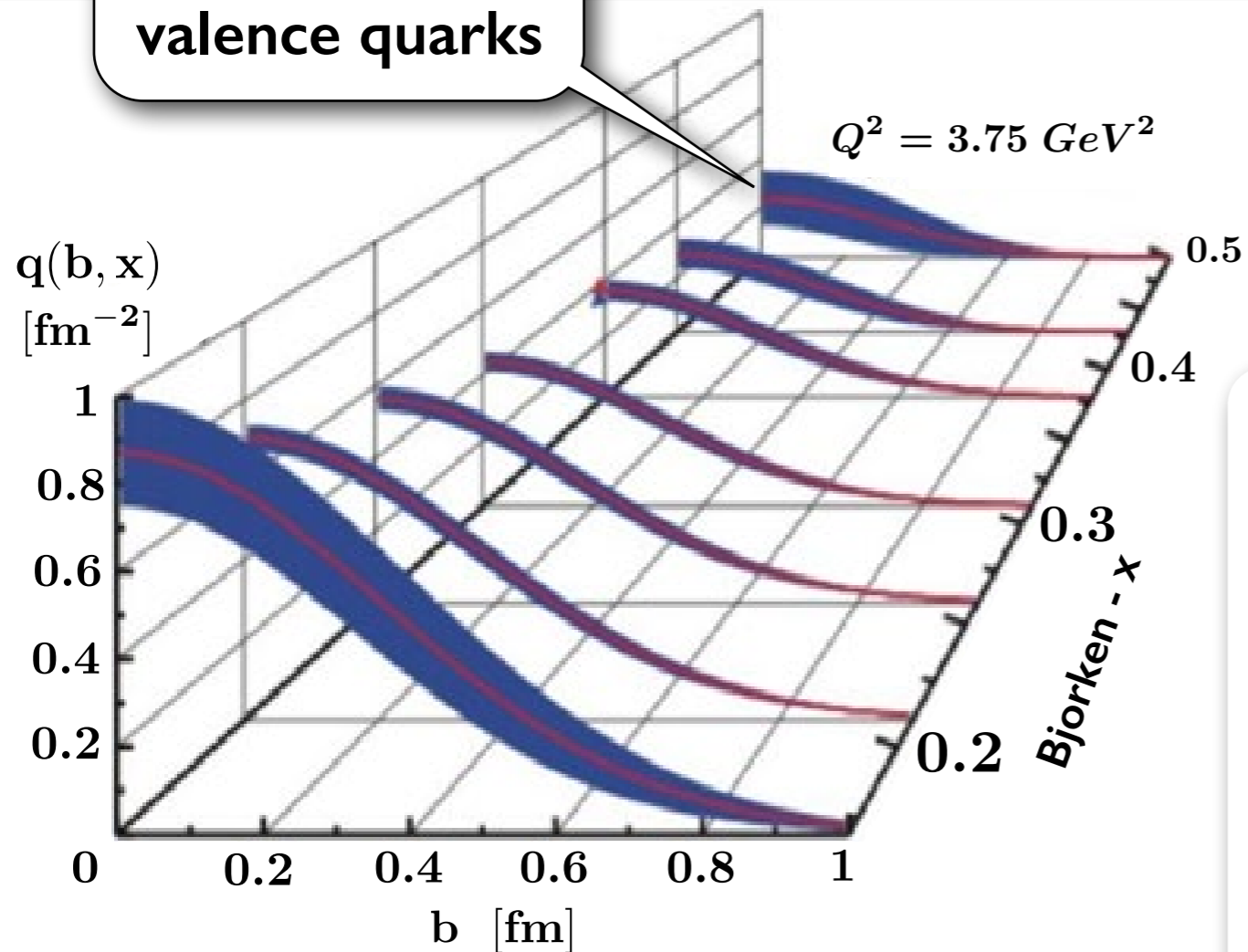
- **Difference of scales between compact baryonic core and (multi-)pion cloud**

# Transverse distributions of quarks in the proton

## Deeply Virtual Compton Scattering @ JLab

R. Dupré, M. Guidal, M. Vanderhaeghen  
Phys. Rev. D95 (2017) 011501

compact core:  
valence quarks



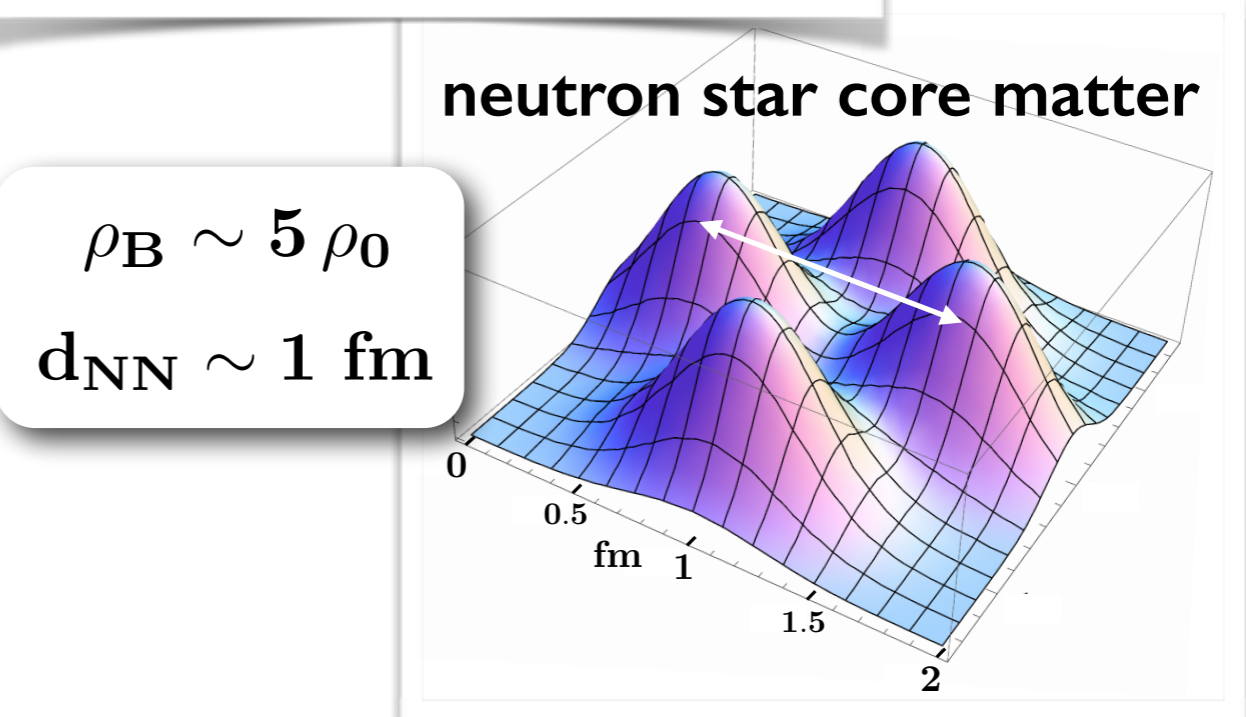
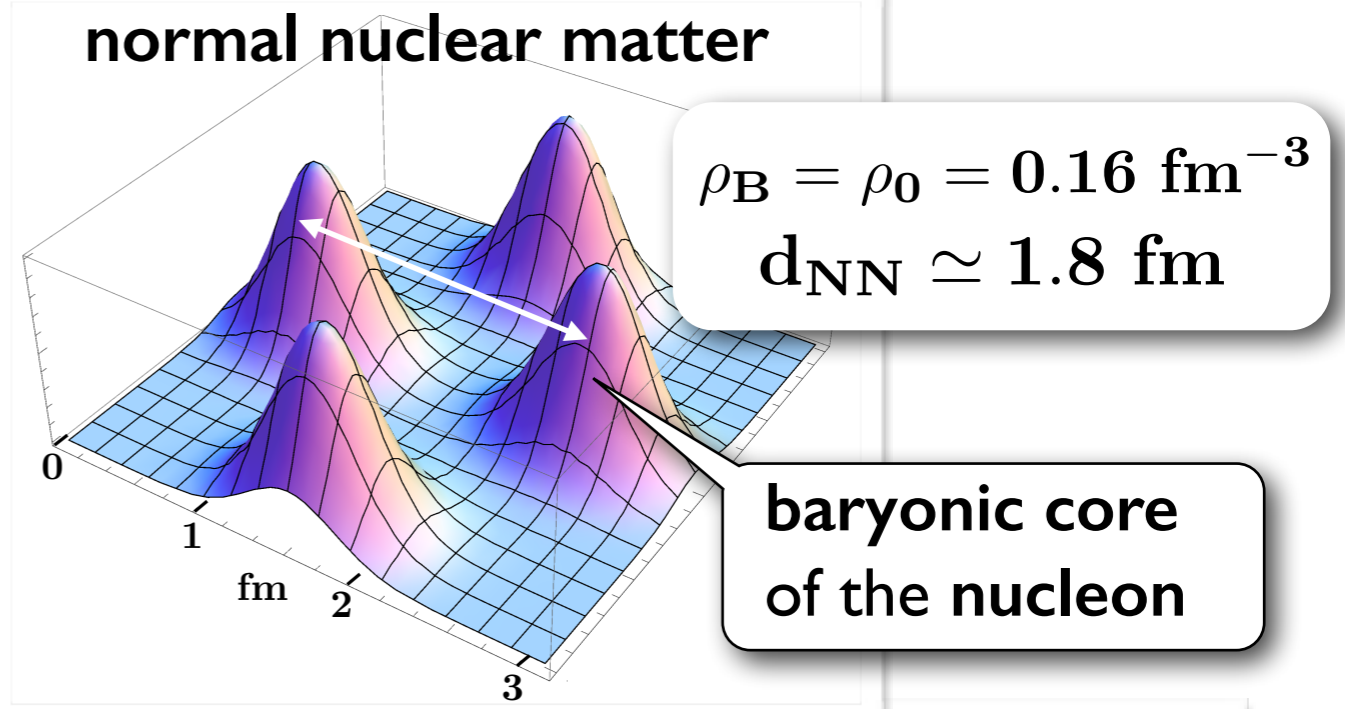
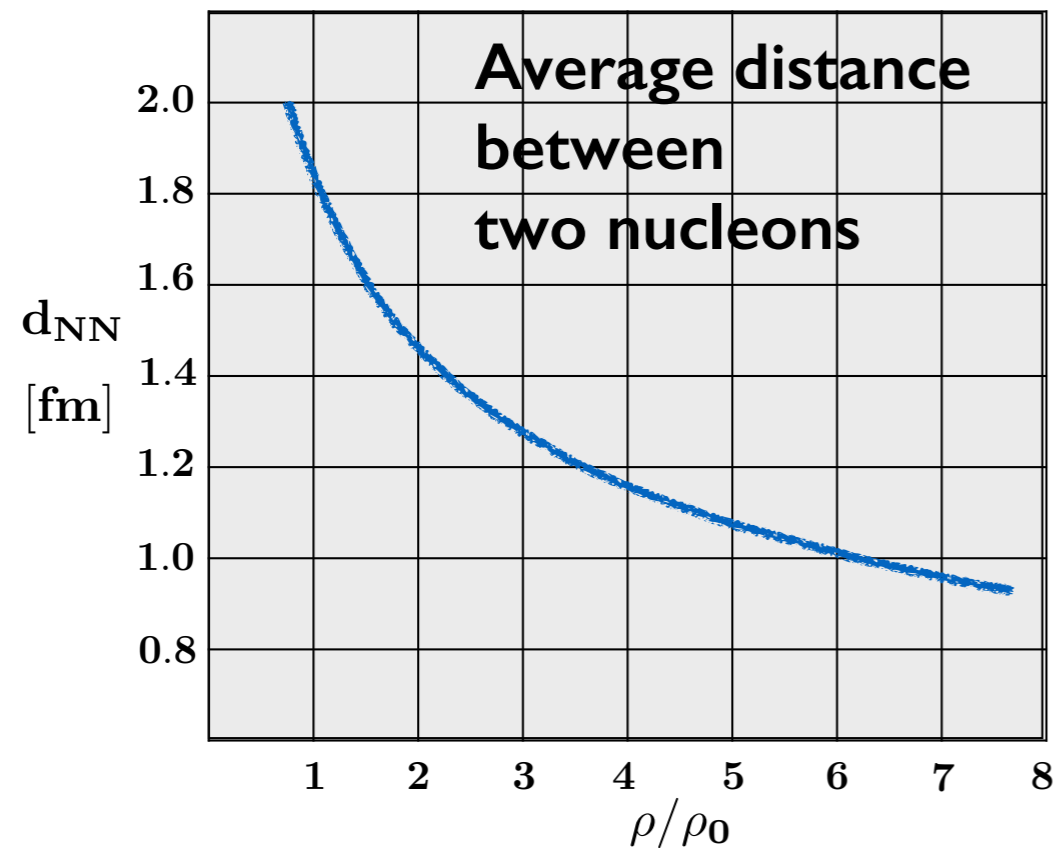
$$\langle b^2 \rangle \simeq 0.16 \text{ fm}^2 \cdot \ln(1/x)$$

- Valence quark region:  
 $0.3 < x < 0.5$
- Core size:

$$R_{core} = \sqrt{\frac{3}{2} \langle b^2 \rangle} \sim 0.4 - 0.5 \text{ fm}$$



# Densities and Distance Scales in Baryonic Matter



- (Multi-)pion fields in space between baryonic sources (ChEFT)
- Quark cores of nucleons overlap (percolate) at baryon densities  $\rho_B > 5 \rho_0$

# **PIONS** and **NUCLEI** in the context of **LOW-ENERGY QCD**

- **CONFINEMENT** of quarks and gluons in hadrons
- Spontaneously broken **CHIRAL SYMMETRY**



## **LOW-ENERGY QCD**

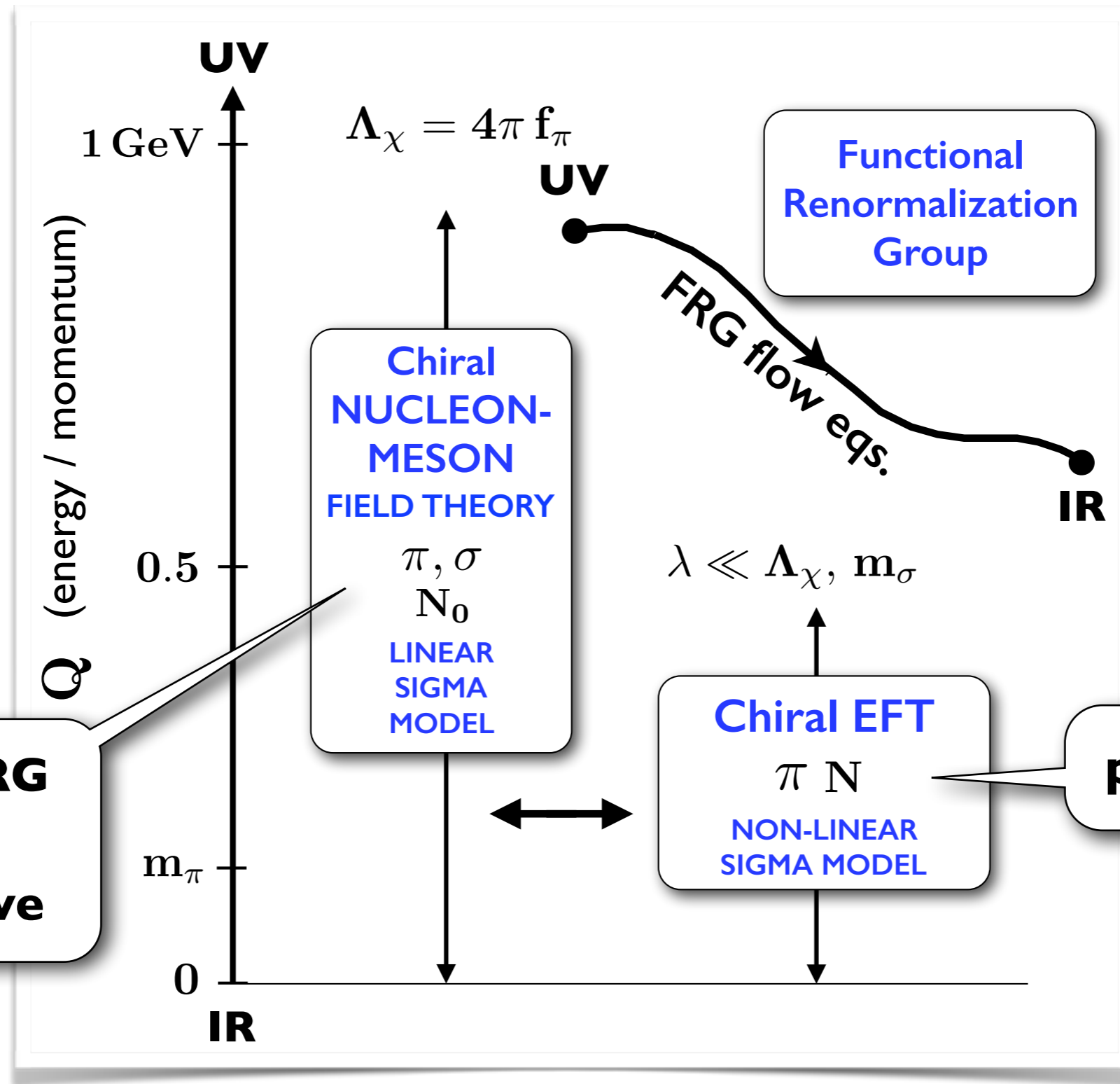
At (energy and momentum) scales  $Q < 4\pi f_\pi \sim 1 \text{ GeV}$   
is realised as an

## **E**ffective **F**ield **T**heory

of Nambu-Goldstone Bosons (**PIONS**) coupled to  
**NUCLEONS** as (heavy) Fermion sources



# Theoretical FRAMEWORKS and METHODS



# NUCLEON-NUCLEON INTERACTION

## from CHIRAL EFFECTIVE FIELD THEORY

Weinberg

Bedaque & van Kolck

Bernard, Epelbaum, Kaiser, Meißner ;

	NN interaction
LO	
NLO	
N <sup>2</sup> LO	
N <sup>3</sup> LO	

...

- Systematically organized hierarchy in powers of  $\frac{Q}{\Lambda}$  (Q: momentum, energy, pion mass)

3 – body forces	
N <sup>2</sup> LO	
N <sup>3</sup> LO	

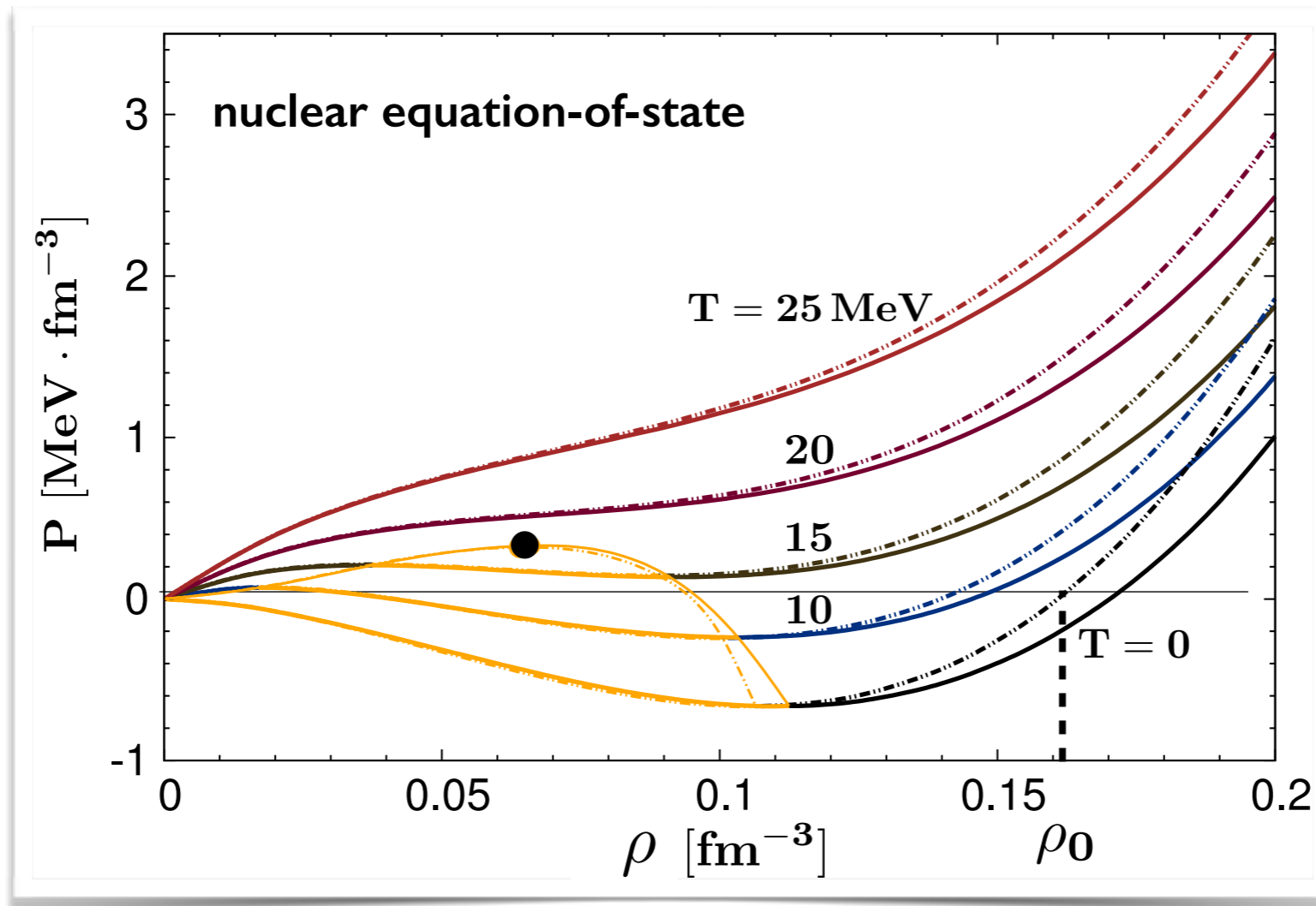
4 – body forces	
N <sup>3</sup> LO	

- NN interaction state-of-the-art: N<sup>4</sup>LO plus convergence tests at N<sup>5</sup>LO



# NUCLEAR THERMODYNAMICS from CHIRAL EFT

- Symmetric nuclear matter : 1st order **liquid-gas phase transition**
- N3LO chiral NN interactions + N2LO 3-body forces



Critical  
temperature  
of  
liquid-gas  
first-order  
transition :

$$T_c = 17.4 \text{ MeV}$$

C.Wellenhofer,  
J.W.Holt,  
N.Kaiser, W.W.  
Phys. Rev.  
C89 (2014) 064009  
C92 (2015) 015801

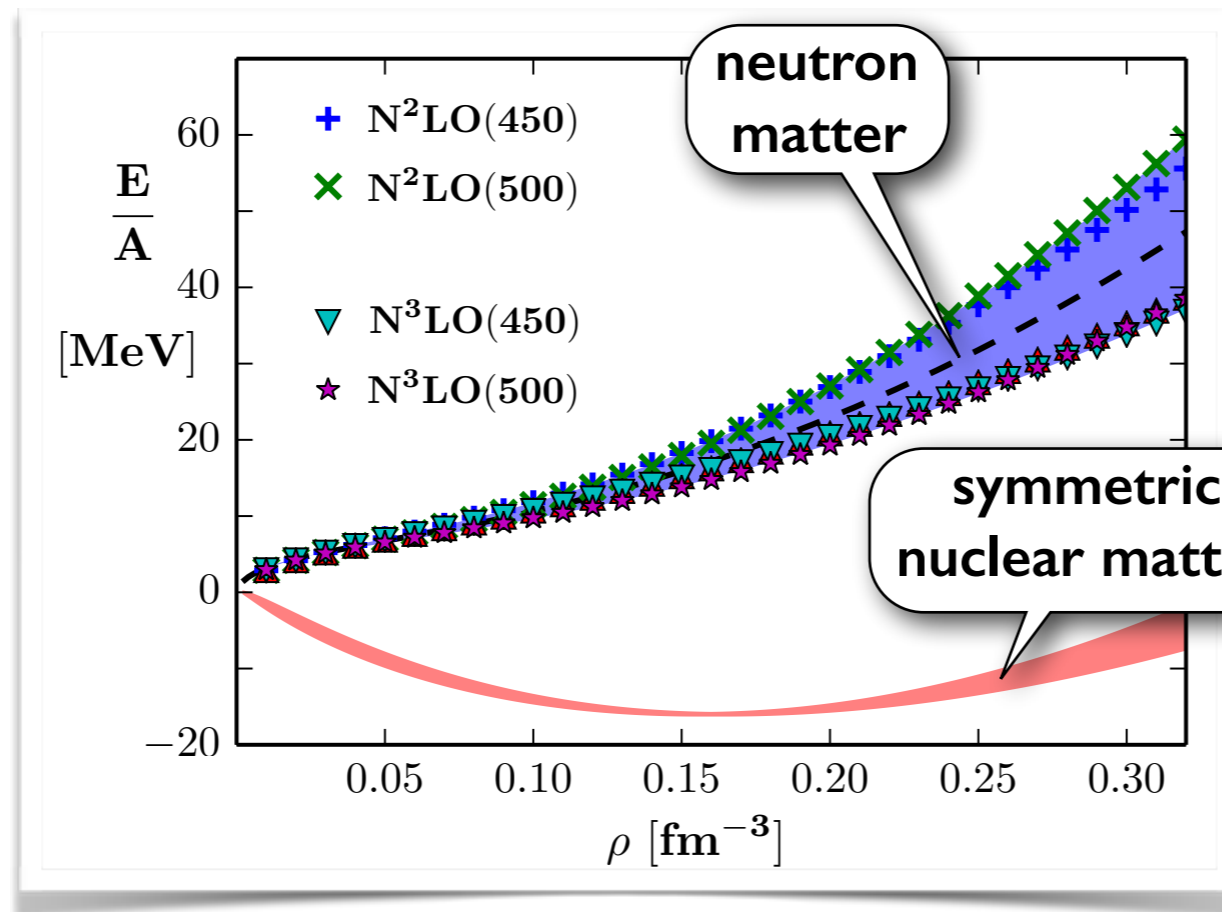
► Empirical position of liquid-gas critical point : J. B. Elliot et al. : Phys. Rev. C87 (2013) 054622

$$T_c = 17.9 \pm 0.4 \text{ MeV} \quad P_c = 0.31 \pm 0.07 \text{ MeV} \cdot \text{fm}^{-3} \quad \rho_c = 0.06 \pm 0.01 \text{ fm}^{-3}$$

# NEUTRON and NUCLEAR MATTER from CHIRAL EFT

- N3LO chiral NN interactions + N2LO 3-body forces
- Many-body perturbation theory (3rd order)

J.W. Holt, N. Kaiser  
Phys. Rev. C95 (2017) 034326



Y. Lim, J.W. Holt  
Phys. Rev. Lett. 121 (2018) 062701

applicable up to  
baryon densities  
 $\rho \sim 2 \rho_0$

- Agreement with advanced many-body calculations  
(e.g. Quantum Monte Carlo computations - S. Gandolfi et al.: EPJ A50 (2014) 10)

C.Wellenhofer, J.W. Holt, N. Kaiser, W.W.: Phys. Rev. C89 (2014) 064009, C92 (2015) 015801

- Further recent developments: N4LO F. Sammarruca et al.: arXiv:1807.06640

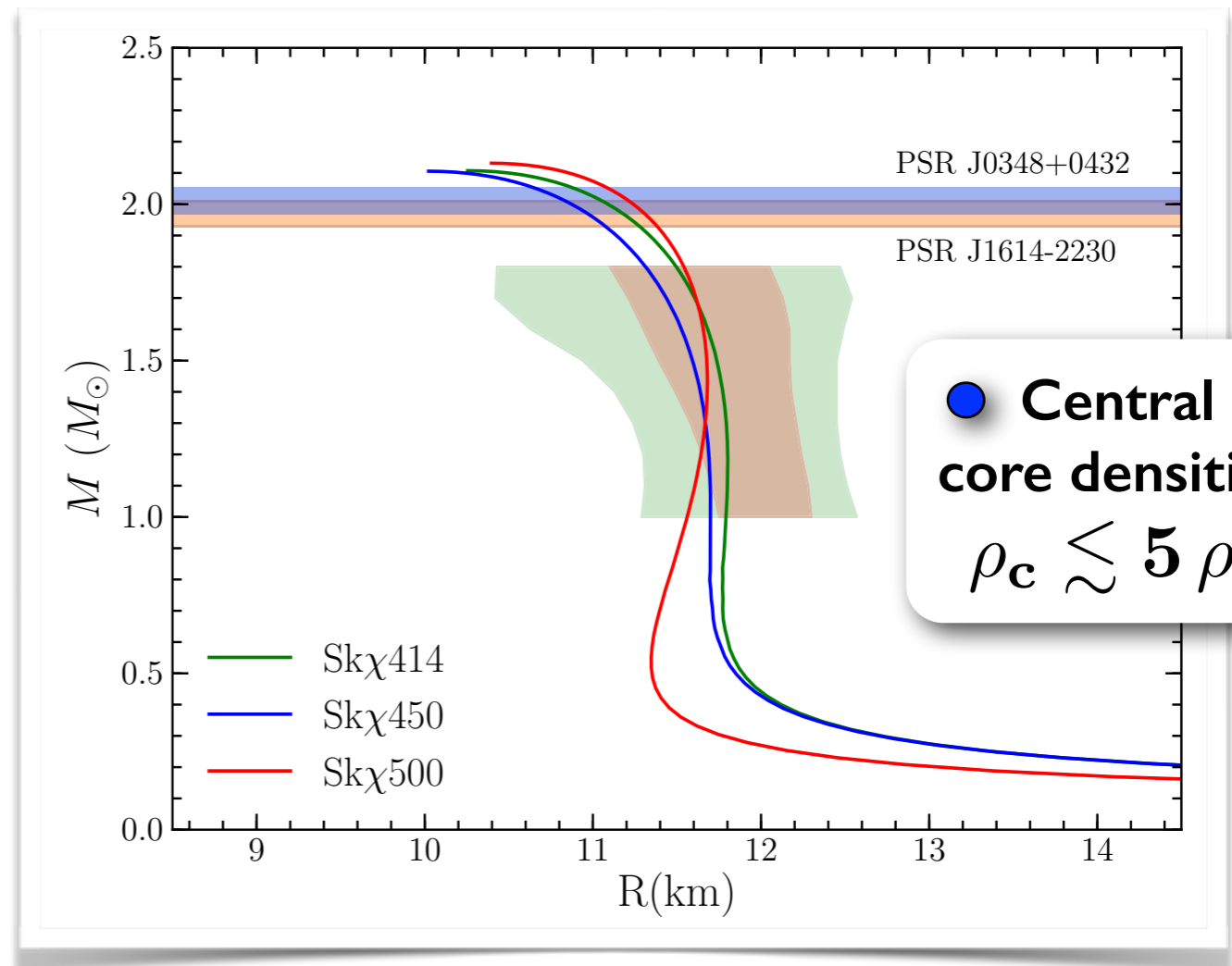
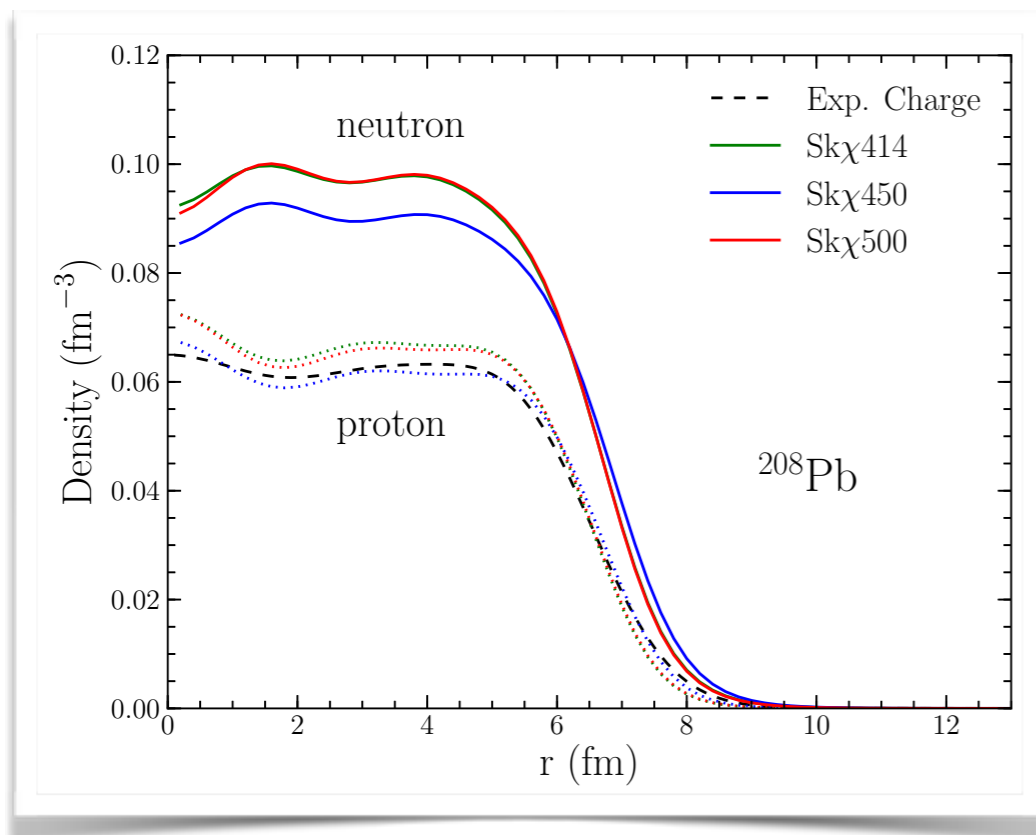
# NEUTRON STAR MATTER

- Energy Density Functional (Skyrme-Hartree-Fock) deduced from Chiral Effective Field Theory

N3LO two-body interactions, N2LO three-body forces  
density dependence consistent with ChEFT expansion in powers of Fermi momenta

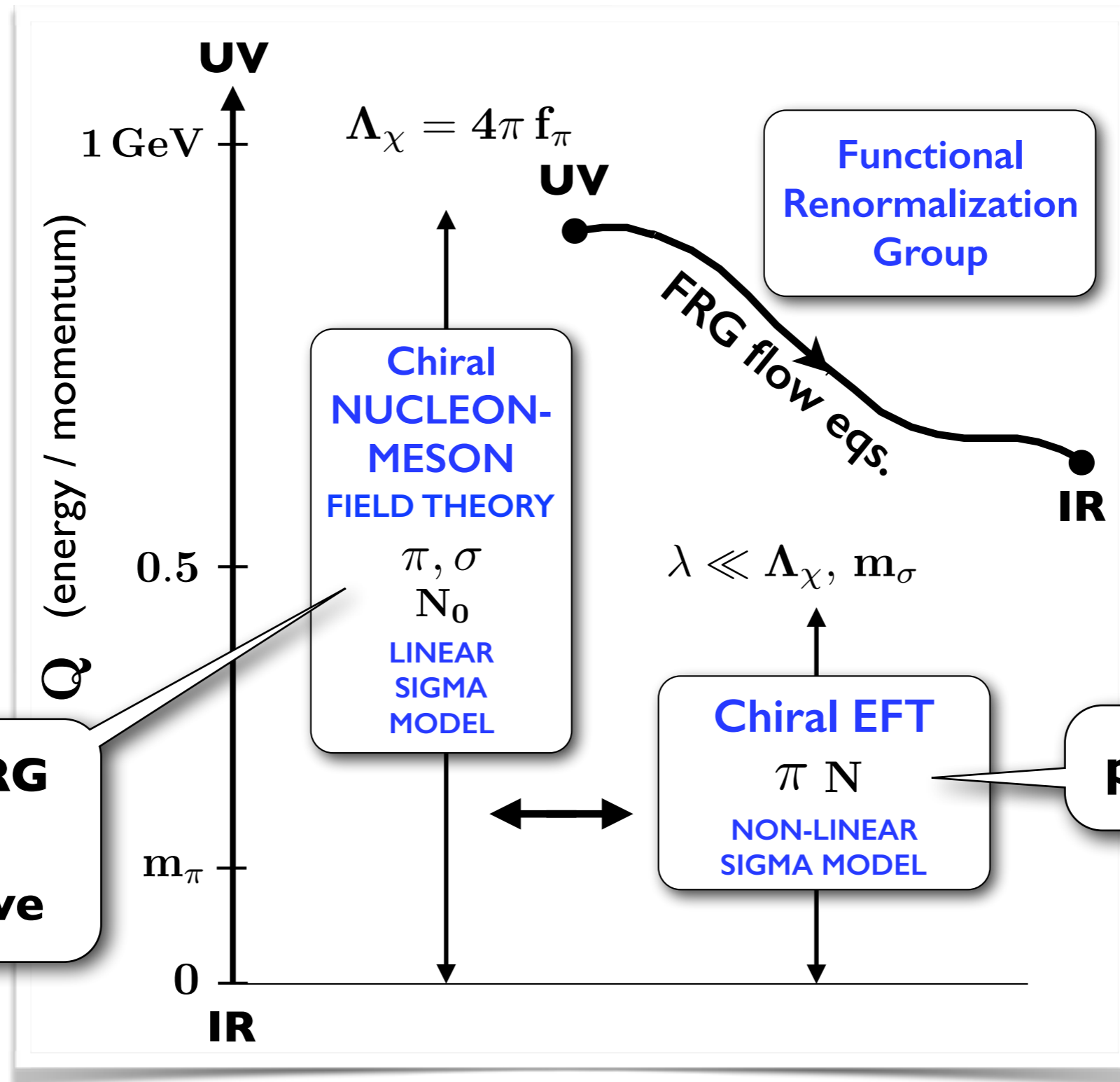
Y. Lim, J.W. Holt Phys. Rev. C95 (2017) 065805

- successfully reproduces properties of finite nuclei ...



... and neutron star crust together with  $2 M_{\odot}$  constraint

# Theoretical FRAMEWORKS and METHODS

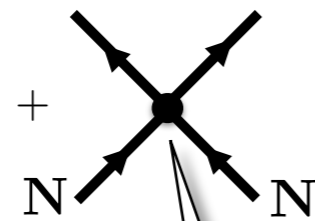




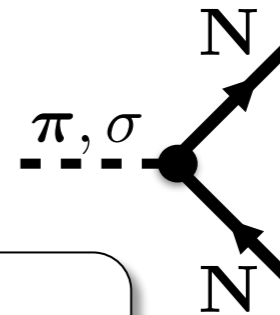
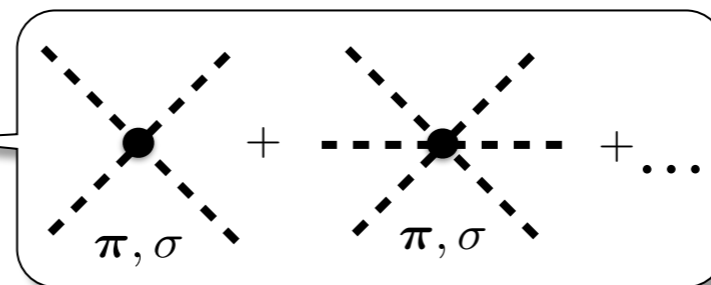
# Mesons, Nucleons, Nuclear Matter and Functional Renormalization Group

- Chiral nucleon - meson Lagrangian

$$\mathcal{L} = \bar{\mathbf{N}} i \gamma_\mu \partial^\mu \mathbf{N} + \frac{1}{2} (\partial_\mu \sigma \partial^\mu \sigma + \partial_\mu \boldsymbol{\pi} \cdot \partial^\mu \boldsymbol{\pi}) + \dots$$



$-\mathcal{U}(\pi, \sigma)$



isoscalar & isovector  
current-current interactions

- Nambu-Goldstone boson  $\pi$  and “heavy”  $\sigma$
- Potential  $\mathcal{U}(\sigma, \pi)$ : polynomial in  $\chi = \pi^2 + \sigma^2$  constructed to reproduce vacuum physics and equilibrium nuclear matter
- Pionic fluctuations, nucleonic particle-hole excitations and many-body correlations treated non-perturbatively using **FRG**

Review: M. Drews, W.W. : Prog. Part. Nucl. Phys. 91 (2017) 347



# Renormalization Group strategies

k-dependent action

full propagator

## Wetterich's FRG flow equations

$$k \frac{\partial \Gamma_k[\Phi]}{\partial k} = \frac{1}{2} \text{Tr} \left[ k \frac{\partial R_k}{\partial k} \cdot \left( \Gamma_k^{(2)}[\Phi] + R_k \right)^{-1} \right] = \text{Diagram}$$

$$\Gamma_{k=\Lambda}[\Phi] = S$$

UV

$\Gamma_k[\Phi]$

scale regulator  $R_k$

IR  $\Gamma_{k=0}[\Phi] = \Gamma[\Phi]$

C. Wetterich:  
Phys. Lett. B 301 (1993) 90

### ● Thermodynamics:

$$k \partial_k \bar{\Gamma}_k(T, \mu) = \left( \text{Diagram}_1 + \text{Diagram}_2 \right) \Big|_{T, \mu} - \left( \text{Diagram}_1 + \text{Diagram}_2 \right) \Big|_{T=0, \mu=\mu_c}$$

nucleons      pions

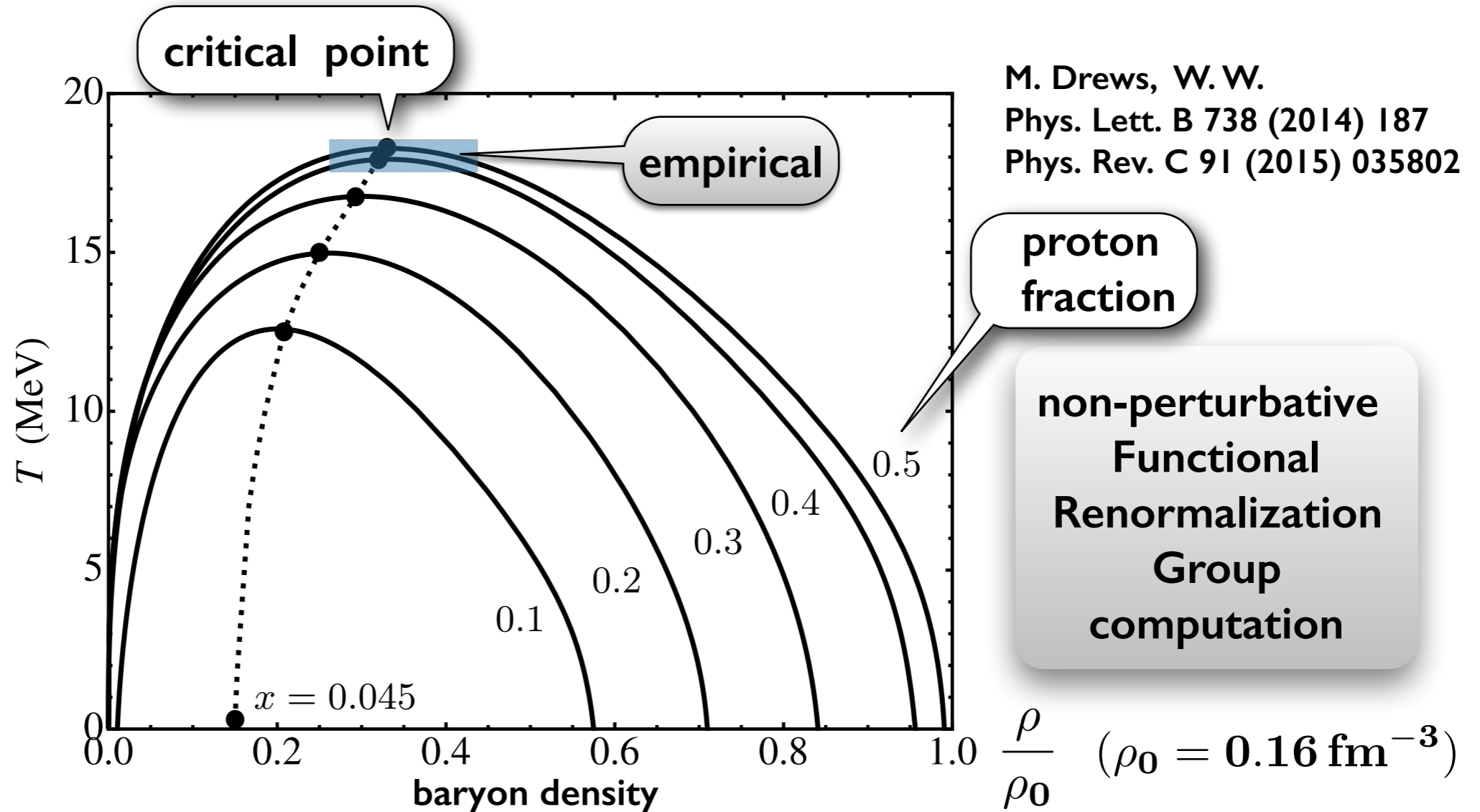
### Non-perturbative treatment of :

- multi-pion exchange processes
- nucleon-hole excitations
- multi-nucleon correlations

# PHASE DIAGRAM of NUCLEAR MATTER

- Trajectory of **CRITICAL POINT** of Liquid - Gas transition for asymmetric matter as function of proton fraction  $Z / A$

M. Drews, T. Hell,  
B. Klein, W. W.  
Phys. Rev.  
D 88 (2013)  
096011



Governed by isospin dependent (two-)pion exchange dynamics

# CHIRAL ORDER PARAMETER in NUCLEAR and NEUTRON MATTER

- Chiral Nucleon-Meson field theory and **F**unctional **R**enormalization **G**roup

M. Drews, W.W.

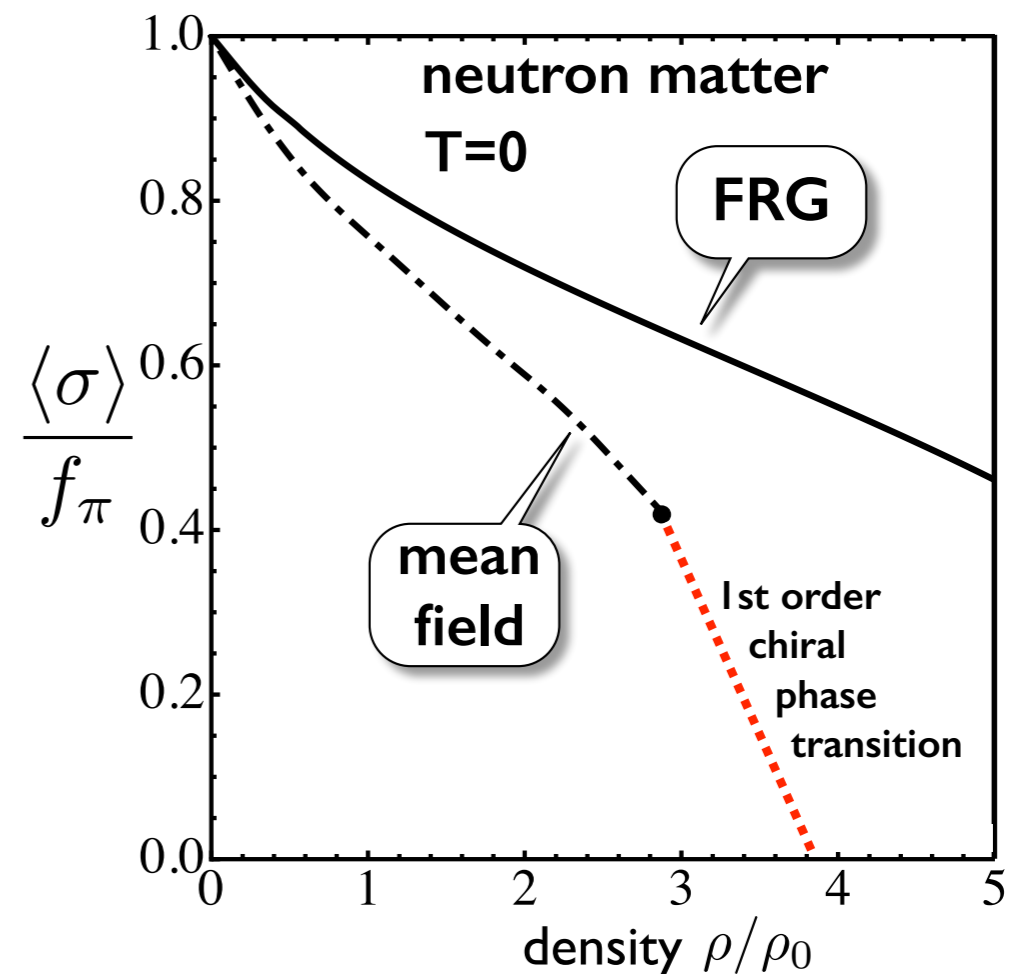
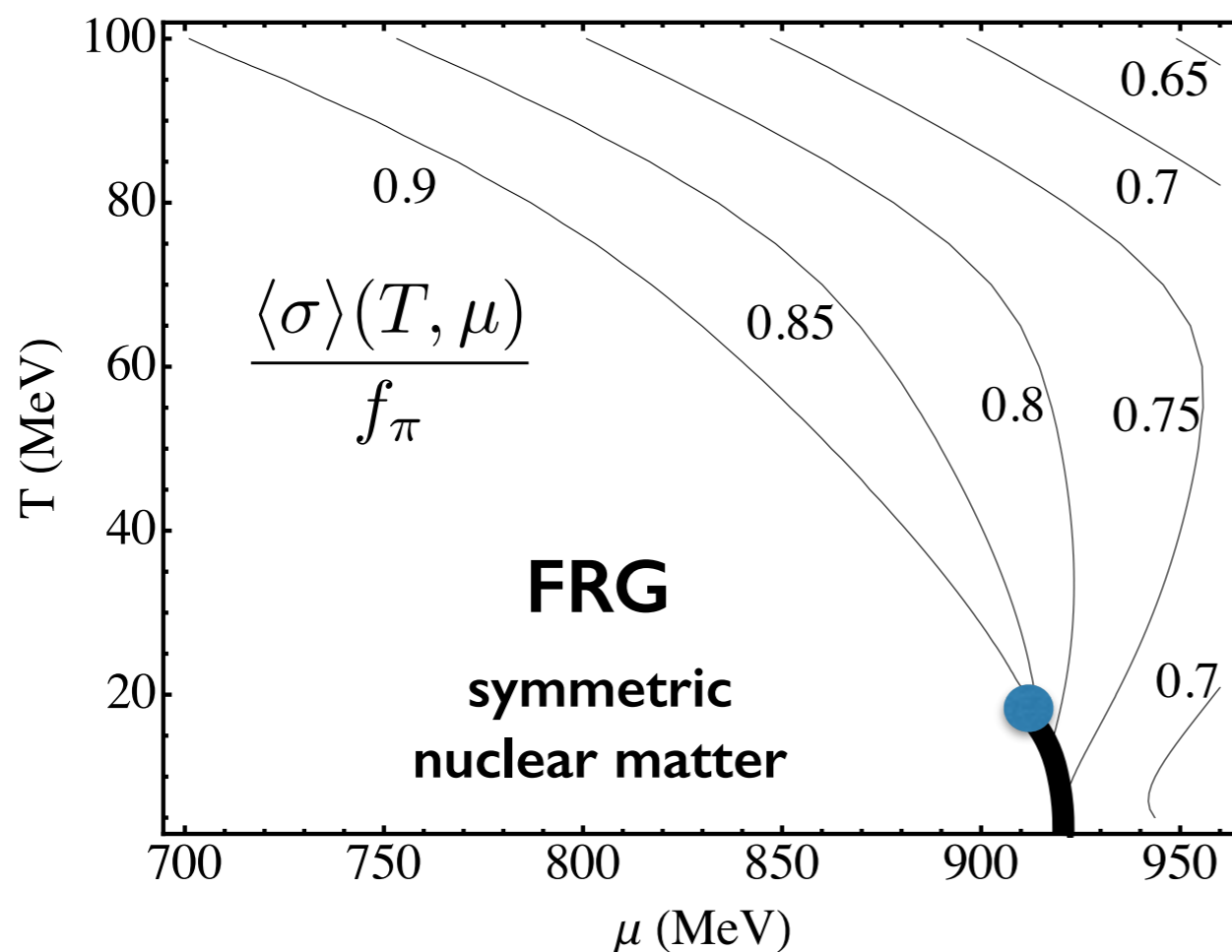
Phys. Rev. C91 (2015) 035802

Prog. Part. Nucl. Phys. 93 (2017) 69

- Chiral order parameter :

Sigma field  $\longleftrightarrow$  in-medium pion decay constant

$$\langle \sigma \rangle_{T,\mu} = f_{\pi}^*(T, \mu)$$



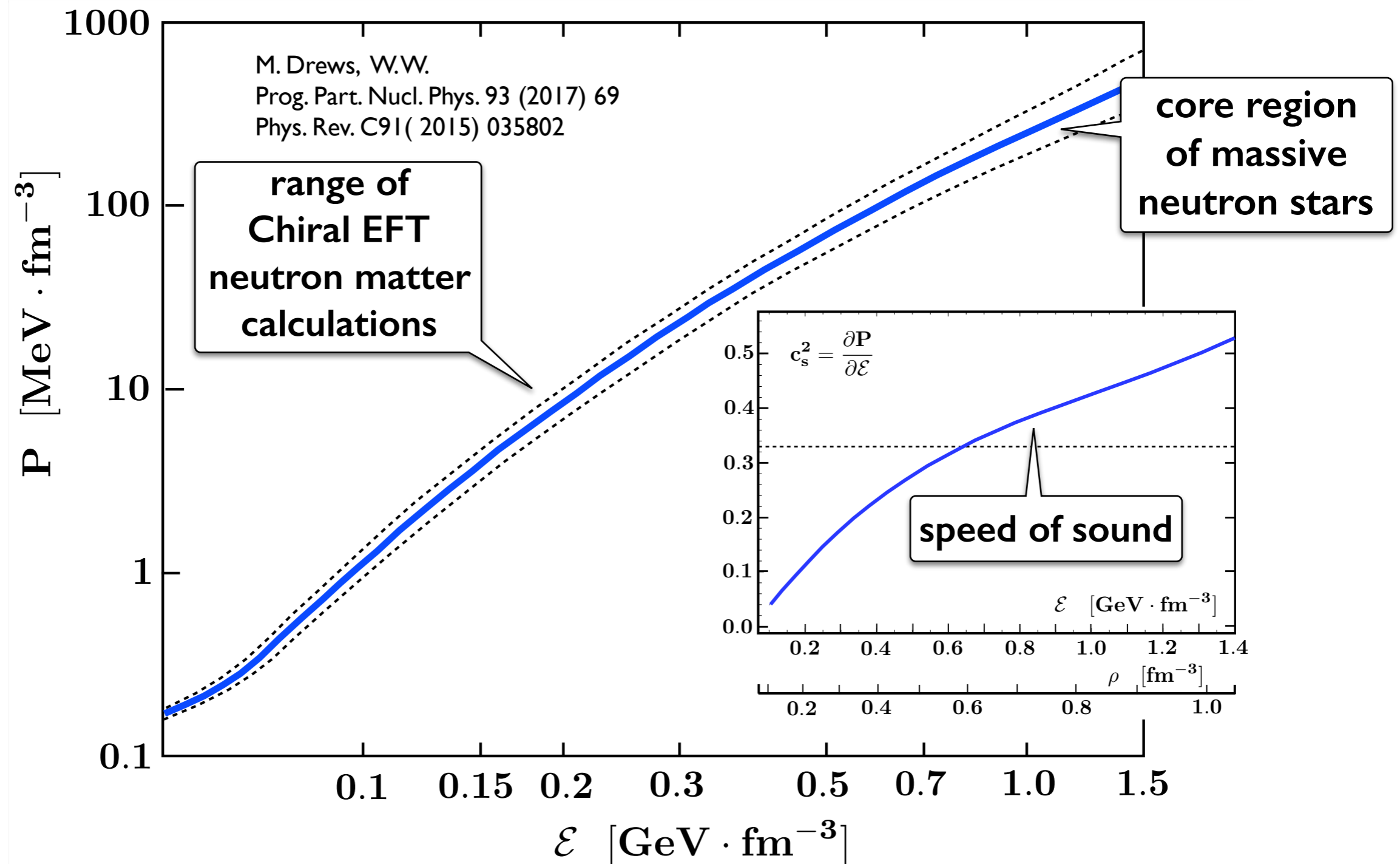
Important role of **fluctuations** (pionic and nucleon-hole) beyond mean-field appr. :

**DISAPPEARANCE** of first-order chiral phase transition

# NEUTRON STAR MATTER

## Equation of State

- Chiral **FRG** calculations with inclusion of beta equilibrium



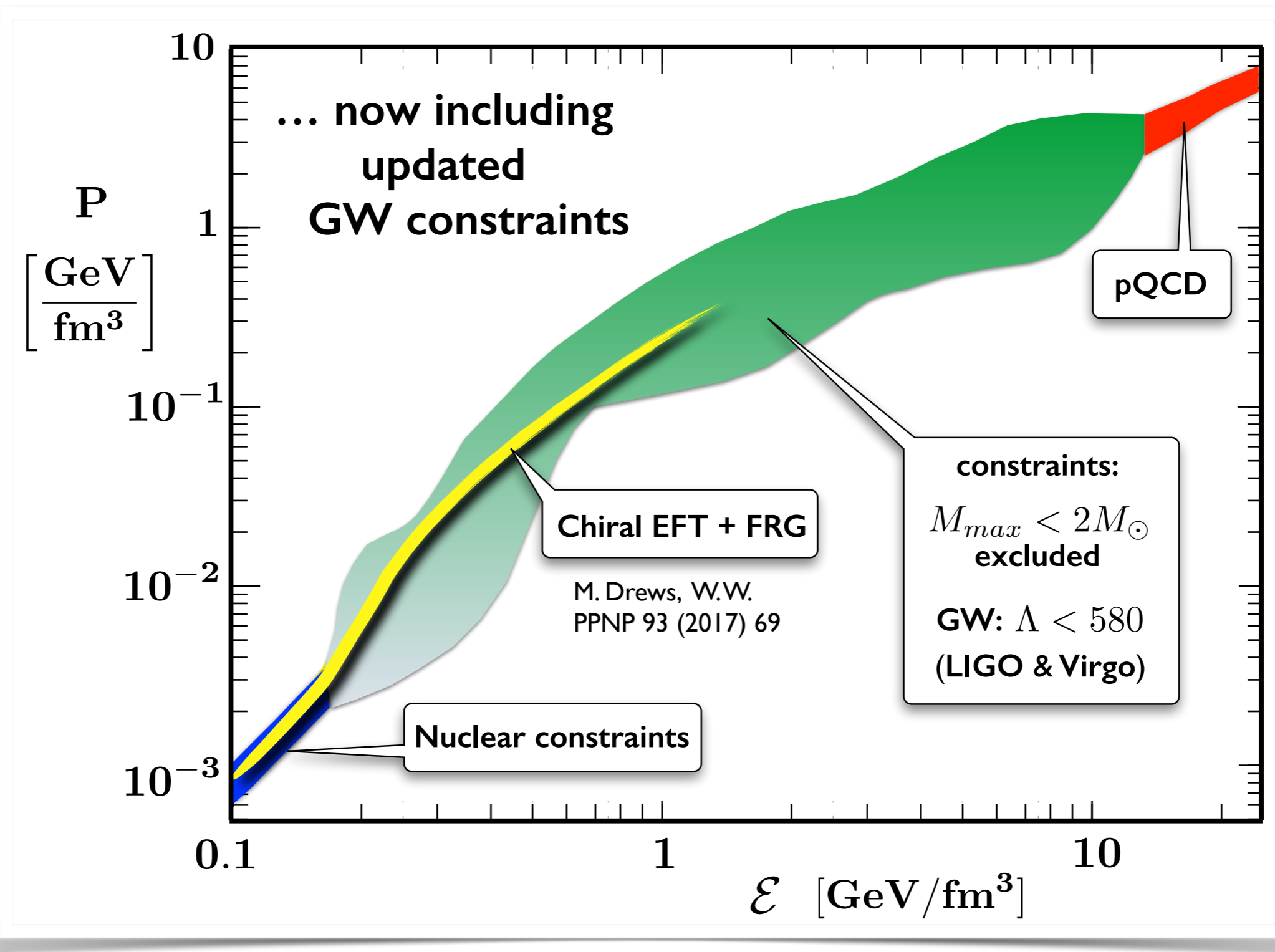
# NEUTRON STAR MATTER

## Equation of State

A. Kurkela et al. : Astroph.J. 789 (2014) 127

A. Annala et al. : PRL 120 (2018) 172703

A. Vuorinen : arXiv:1807.04480



# SUMMARY

- Systematic framework at the interface of QCD (with light quarks) and physics of hadrons, nuclei and nuclear forces :

Chiral Effective Field Theory  
combined with  
Functional Renormalization Group

- ChEFT + many-body perturbation theory works for  $\rho \lesssim 2 \rho_0$
- ChEFT + (non-perturbative) FRG may work for higher densities
  - ▶ **No** chiral phase transition in n-matter up to at least  $\rho > 5 \rho_0$
  - ▶ “Conventional” (non-exotic) EoS consistent with constraints from neutron stars ( $M_{max} \simeq 2 M_{\odot}$ , tidal deformability from GW)
  - ▶ Strangeness in the neutron star core ?  
New developments: hyperon-nuclear interactions from Chiral SU(3) Effective Field Theory



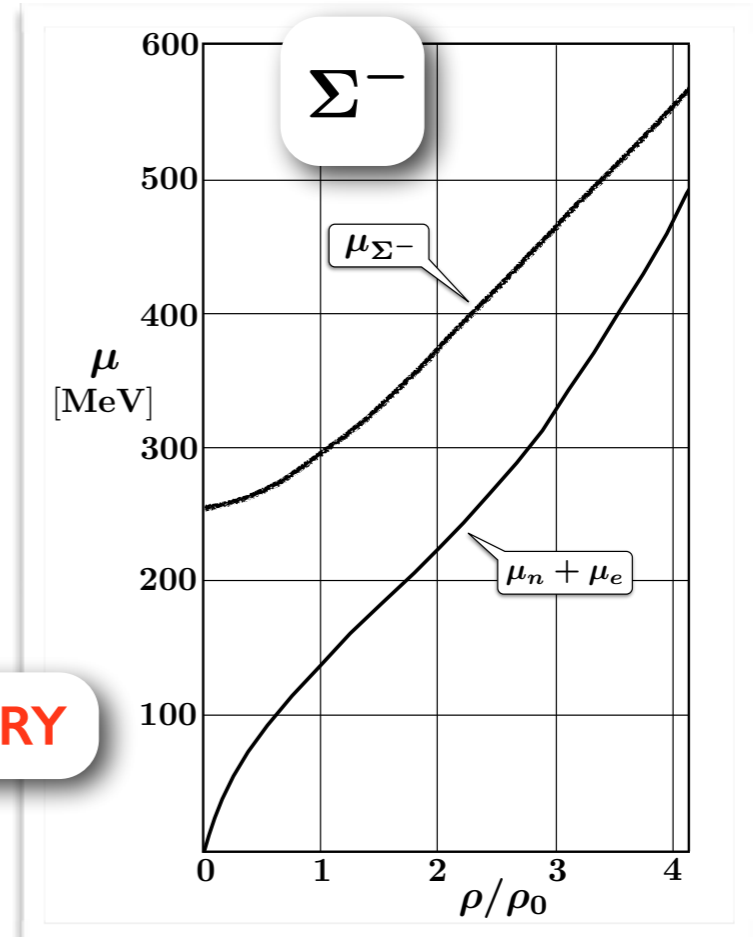
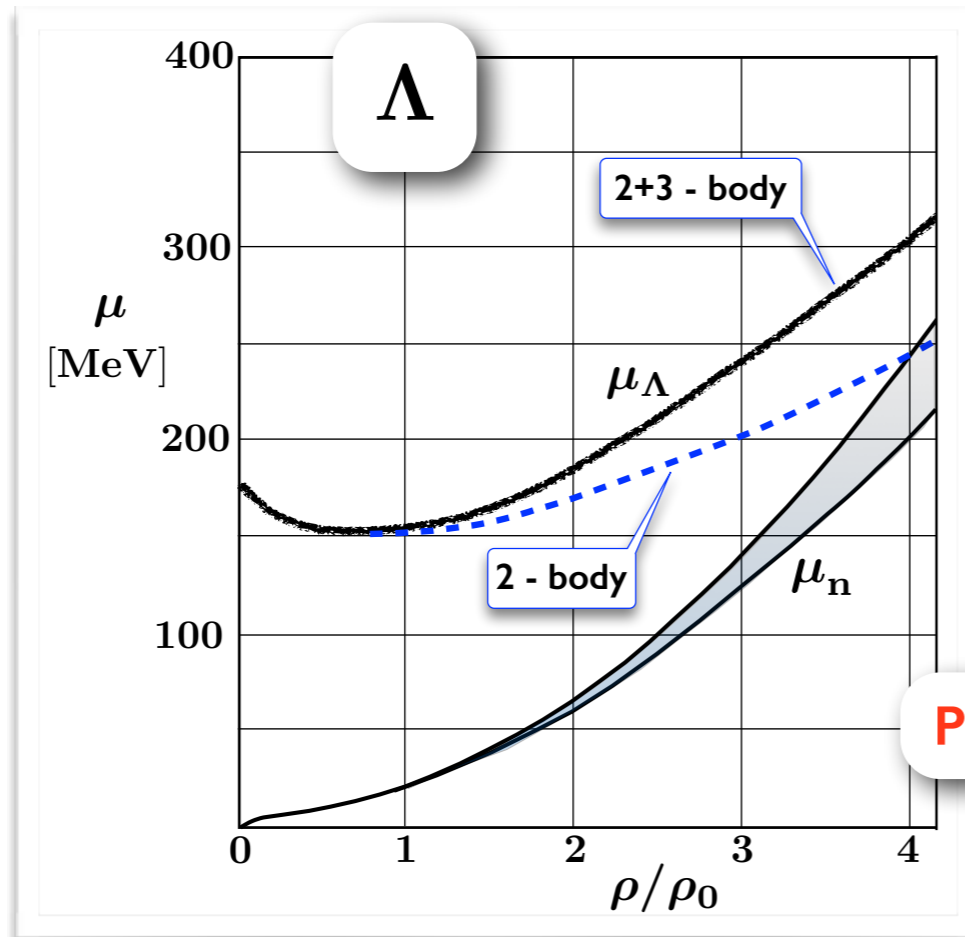
# Hyperons in Neutron Stars ?

- Onset conditions for appearance of hyperons in neutron stars :

Equalities for chemical potentials  $\mu_i = \frac{\partial \mathcal{E}}{\partial \rho_i}$

$$\mu_{\Lambda} = \mu_n$$

$$\mu_{\Sigma^-} = \mu_n + \mu_e = 2\mu_n - \mu_p$$



- Extrapolations using hyperon single particle potentials in neutron matter from Chiral SU(3) EFT interactions
- Extensive and more detailed calculations in progress (D. Gerstung, N. Kaiser, W.W.)



*with gratitude to Ernest  
for decades of  
inspiring exchanges  
and exciting discussions*

