

Lectures on Chiral Perturbation Theory

- I. Foundations
- II. Lattice Applications
- III. Baryons
- IV. Convergence







Chiral Perturbation Theory

IV. Convergence

 Non-analytic quark mass dependence implies asymptotic expansion (but obviously so: zero radius of convergence)

 $F(x) = \int_{0}^{\infty} ds \frac{e^{-s}}{1+sx} \qquad 0 < x \ll 1$ Toy Model

No series expansion about x=0

$$F(x) = \int_0^\infty ds \, e^{-s} \left(\sum_{j=0}^\infty (-s \, x)^j \right) \stackrel{!}{=} \sum_{j=0}^\infty (-x)^j \left(\int_0^\infty ds \, s^j \, e^{-s} \right)$$

Suggests approximation $F_N(x) = \sum_{j=1}^{N} (-)^j j! x^j$

$$|F(x) - F_N(x)| = x^{N+1} \int \frac{s^{N+1}e^{-s} \, ds}{1+s \, x} \le x^{N+1} (N+1)!$$

 $\approx \sqrt{2\pi N} (xN)^N e^{-N} \sim \sqrt{\frac{2\pi}{r}} e^{-\frac{1}{x}}$ Minimize Large N $x \sim 1/N$

Falling Rocks! If you proceed, be alert! Rocks may fall without warning IF IN DOUBT STAY AWAY

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Toy Model



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Toy Model

AWARNING

Hazardous Cliff

The ground may break off

Stay back from the edge

ut warning and you

be seriously injured



 Non-analytic quark mass dependence implies asymptotic expansion (but obviously so: zero radius of convergence)

Toy Model



WARNING

Falling Rocks!

Rocks may fall without warning

causing serious injury or death

IF IN DOUBT STAY AWAY

If you proceed, be alert!



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Chiral expansion

$$m_{\pi}^2/m_{\rho}^2 \sim 0.03$$
$$m_{\pi}^2/m_{\sigma}^2 \sim 0.08$$

 $m^2/\Lambda_{\odot}^2 \sim 0.02$

Heavy nucleon expansion

 $M\sim 800\,{\rm MeV}$

$$m_{\pi}/M \sim 0.2$$

(may even be OK for larger pion masses)

Delta resonance contributions

 $m_{\pi}/\Delta \sim 0.5$ $\Delta/\Lambda_{\chi} \sim 0.3$



- Hazardous Cliff! The ground may break off without warning and you could be seriously injured or killed.
- Stay back from the edge.

- Higher orders introduce more parameters (low-energy constants)
- Makes addressing convergence difficult without knowing the chiral limit values of these parameters



Three-Flavor Chiral Limit



$$\mathcal{L}_{\psi} = \sum_{i=1}^{3} \overline{\psi}_{i} \left(D + m_{i} \right) \psi_{i}$$

 $m_q / \Lambda_{\rm QCD} \sim 0.01$ $m_s / \Lambda_{\rm QCD} \sim 0.3$



Ignore the warning signs



Stay back from the edge.



Three-Flavor Chiral Limit



Symmetries and
their breaking
$$\mathcal{L}_{\psi} = \sum_{i=1}^{3} \overline{\psi}_{i} \not D \psi_{i} + \dots$$
 $\langle \overline{\psi} \psi \rangle = \langle \overline{\psi}_{R} \psi_{L} \rangle + \langle \overline{\psi}_{L} \psi_{R} \rangle \neq 0$
 $U(1)_{V} \otimes SU(3)_{L} \otimes SU(3)_{R} \longrightarrow U(1)_{V} \otimes SU(3)_{V}$
 $\Sigma_{ij} \sim \langle \overline{\psi}_{jR} \psi_{iL} \rangle$ $\Sigma_{ij}(x) = \delta_{ij} + \dots$ $SU(3)_{L} \otimes SU(3)_{R}/SU(3)_{V}$
Goldstone modes (embedded similarly to before)
 $\Sigma = e^{2i\phi/f}$ $\Sigma \rightarrow L\Sigma R^{\dagger}$ $\Sigma \rightarrow V\Sigma V^{\dagger}$ $\phi \rightarrow V \phi V^{\dagger}$

$$\phi = \begin{pmatrix} \frac{1}{\sqrt{2}}\pi^0 + \frac{1}{\sqrt{6}}\eta & \pi^+ & K^+ \\ \pi^- & -\frac{1}{\sqrt{2}}\pi^0 + \frac{1}{\sqrt{6}}\eta & K^0 \\ K^- & \overline{K}^0 & -\frac{2}{\sqrt{6}}\eta \end{pmatrix}$$

Three-Flavor Chiral Perturbation Theory

Explicit breaking
$$\overline{\psi}_L m \psi_R + \overline{\psi}_R m \psi_L$$
 $m = \begin{pmatrix} m_q & & \\ & m_q & \\ & & m_s \end{pmatrix}$

 $U(1)_V \otimes SU(3)_L \otimes SU(3)_R \longrightarrow U(1)_V \otimes SU(3)_V$

$$\mathcal{O}(p^2)$$

$$\mathcal{L}_{\chi} = \frac{f^2}{8} \operatorname{Tr} \left(\partial_{\mu} \Sigma \partial_{\mu} \Sigma^{\dagger} \right) - \lambda \operatorname{Tr} \left(m \Sigma + m \Sigma^{\dagger} \right)$$

Chiral perturbation theory (constructed similarly to before)

 $\Sigma = e^{2i\phi/f} \qquad \Sigma \to L\Sigma R^{\dagger} \qquad \Sigma \to V\Sigma V^{\dagger} \qquad \phi \to V\phi V^{\dagger}$



Seven Gasser-Leutwyler coefficients, a few more when external fields are included

Exercises

In the strong isospin limit, there are two different quark masses but three meson masses of the pseudoscalar octet. Use the three-flavor chiral Lagrangian to derive

the constraint $\Delta_{\text{GMO}} = \frac{4}{3}m_K^2 - m_\eta^2 - \frac{1}{3}m_\pi^2 = 0$, which was originally found by Gell-Mann and Okubo. What happens away from the strong isospin limit?

Revisit electromagnetic mass corrections in three-flavor chiral perturbation theory. Find all leading and next-to-leading order electromagnetic mass operators. Ignoring the up and down quark masses, which octet masses are affected by leading and next-to-leading order operators?

Accounting for strong and electromagnetic isospin breaking to leading order, determine the mass spectrum of the meson octet, and devise a way to compute the quark mass ratios, m_u/m_d m_d/m_s , using the experimentally measured masses.

Three-Flavor Chiral Perturbation Theory



 η most worrisome $\sim 35\%$

introduces free parameter

 $m_\pi^2/\Lambda_\chi^2 \sim 0.02$ $m_K^2/\Lambda_\chi^2 \sim 0.23$ $m_\eta^2/\Lambda_\chi^2 \sim 0.27$

Pending numerical factors, $\mathcal{O}(p^6)$ contributions (which include two-loop diagrams) should be ~10%

Heavy Baryon Chiral Perturbation Theory



Lowest lying spin-half baryons form an octet of $\,SU(3)_V$

 $B \rightarrow VBV^{\dagger}$ S = -2 $B = \begin{pmatrix} \frac{1}{\sqrt{2}}\Sigma^{0} + \frac{1}{\sqrt{6}}\Lambda & \Sigma^{+} & p \\ \Sigma^{-} & -\frac{1}{\sqrt{2}}\Sigma^{0} + \frac{1}{\sqrt{6}}\Lambda & n \\ \Xi^{-} & \Xi^{0} & -\frac{2}{\sqrt{6}}\Lambda \end{pmatrix}$

Couple to the Goldstone modes via $\xi = \sqrt{\Sigma} = e^{i\phi/f}$

$$\xi \to L \xi U^{\dagger} = U \xi R^{\dagger}$$

Free to choose the chiral transformation of baryon octet of the form $B \to UBU^{\dagger}$ $\mathcal{A}_{\mu} \to U\mathcal{A}_{\mu}U^{\dagger}$ $\mathcal{V}_{\mu} \to U\mathcal{V}_{\mu}U^{\dagger} + U\partial_{\mu}U^{\dagger}$ $D_{\mu}B = \partial_{\mu}B + [\mathcal{V}_{\mu}, B]$

 $\mathcal{O}(p) \qquad \mathcal{L} = \operatorname{Tr}\left(\overline{B}iv \cdot DB\right) + 2D\operatorname{Tr}\left(\overline{B}\vec{S} \cdot \{\vec{A}, B\}\right) + 2F\operatorname{Tr}\left(\overline{B}\vec{S} \cdot [\vec{A}, B]\right)$ Phased away SU(3) chiral limit mass $M_B(m_q = m_s = 0)$

Heavy Baryon Chiral Perturbation Theory



Lowest lying spin three-half baryons form a decuplet of $SU(3)_V$

$$T_{ijk} \to V_i^{i'} V_j^{j'} V_k^{k'} T_{i'j'k'}$$

 $\Delta \equiv M_T - M_B = 270\,{\rm MeV}$

No question about inclusion for three flavors



 $\mathcal{O}(p) \qquad \mathcal{L} = \overline{T}_{\mu} \left(iv \cdot D + \Delta \right) T_{\mu} + 2H \overline{T}_{\mu} \vec{S} \cdot \vec{\mathcal{A}} T_{\mu} + 2C \left(\overline{T}_{\mu} A_{\mu} B + \overline{B} A_{\mu} T_{\mu} \right)$

Quark Mass Dependence of the Octet Baryons

Now turn on explicit chiral symmetry breaking due to the quark masses

$$\Delta \mathcal{L} = \overline{\psi}_L s \,\psi_R + \overline{\psi}_R s^\dagger \psi_L \qquad s \to L \, s \, R^\dagger \qquad s = m + \dots$$

• Dress the scalar source with pions $\mathcal{M}_{\pm} = \frac{1}{2} \left(\xi s^{\dagger} \xi \pm \xi^{\dagger} s \xi^{\dagger} \right) \rightarrow U \mathcal{M}_{\pm} U^{\dagger}$

$$\mathcal{O}(p^2) \qquad \mathcal{L}_m = b_D \operatorname{Tr}\left(\overline{B}\{\mathcal{M}_+, B\}\right) + b_F \operatorname{Tr}\left(\overline{B}[\mathcal{M}_+, B]\right) + \sigma \operatorname{Tr}\left(\overline{B}B\right) \operatorname{Tr}\left(\mathcal{M}_+\right)$$

• Similar Gell-Mann Okubo constraint on octet baryon masses from tree-level

$$M_{\rm GMO} = M_{\Lambda} + \frac{1}{3}M_{\Sigma} - \frac{2}{3}M_N - \frac{2}{3}M_{\Xi} \stackrel{!}{=} 0$$
 $M_{\rm GMO}/\overline{M}_B \sim 1\%$

One-loop correction predicted in terms of axial couplings C, D, F

$$\underbrace{-}_{D,F} \underline{N}_{GMO} = \frac{4\pi}{3(4\pi f)^2} (D^2 - 3F^2) \left(\frac{4}{3}m_K^3 - m_\eta^3 - \frac{1}{3}m_\pi^3\right) + \text{Decuplet}$$

Anatomy of Decuplet Contribution

C C

 $p^{2\ell}$

poles

Intermediate-state angular momentum pion p-wave

$$\sim \frac{C^2}{f^2} \int d^4 p \, \frac{\vec{p}^2}{[ip_4 + \Delta] \left[(p_4)^2 + \vec{p}^2 + m_\pi^2 \right]} \qquad p_4 = \begin{cases} i\Delta \\ \pm iE_\pi \end{cases}$$

• Contour integration puts pion on shell

$$\sim \frac{C^2}{f^2} \int d\vec{p} \, \frac{\vec{p}^2}{E_\pi (E_\pi + \Delta)} \sim \frac{C^2}{f^2} \int_{m_\pi}^{\infty} dE_\pi \frac{(E_\pi^2 - m_\pi^2)^{3/2}}{E_\pi + \Delta}$$

two-body phase space near threshold
$$\sqrt{s-m_\pi^2}$$

 $E_{\pi} = \sqrt{\vec{p}^2 + m_{\pi}^2}$

• Divergences

$$\int^{\Lambda} dE \, E^2 \left(1 - \frac{\Delta}{E} + \frac{\Delta^2}{E^2} - \frac{\Delta^3}{E^3} + \dots \right) \left(1 - \frac{3}{2} \frac{m_{\pi}^2}{E^2} + \dots \right)$$

$$\begin{split} \Lambda^3 + \Delta \Lambda^2 + \Delta^2 \Lambda + \Delta^3 \log \Lambda \\ + m_\pi^2 \Lambda + \Delta m_\pi^2 \log \Lambda + \text{finite} \end{split}$$

Renormalization condition $M_{N,\Sigma,\Lambda,\Xi}\big|_{m_a=0}=M_B$

Gell-Mann Okubo Relation to One-Loop

$$M_{\rm GMO} = \frac{4\pi}{3(4\pi f)^2} \left[\pi (D^2 - 3F^2) \Delta_{\rm GMO}(m_\phi^3) - \frac{1}{6} C^2 \Delta_{\rm GMO} \left(\mathcal{F}(m_\phi, \Delta, \mu) \right) \right]$$

$$\mathcal{F}(m,\delta,\mu) = (m^2 - \delta^2) \left[\sqrt{\delta^2 - m^2} \log \left(\frac{\delta - \sqrt{\delta^2 - m^2 + i\epsilon}}{\delta + \sqrt{\delta^2 - m^2 + i\epsilon}} \right) - \delta \log \frac{m^2}{\mu^2} \right] - \frac{1}{2} \delta m^2 \log \frac{m^2}{\mu^2} \qquad \text{Scale dependence?}$$
 Chiral limit?

M_{GMO}/M_B	Source	D	F	С		
0.79%	ChPT	0.61	0.40	1.2		
1.12%	Lattice QCD	0.72	0.45	1.6	Experiment	$M_{\rm GMO}/M_B \sim 1\%$
1.29%	SU(6)	3/4	1/2	3/2		

• BUT: One-loop chiral corrections to the individual masses are LARGE

$$\begin{split} &\delta M_N(\mu=\Lambda_\chi)/M_N=-39\% & \text{Heavy baryons} \\ &\delta M_\Lambda(\mu=\Lambda_\chi)/M_\Lambda=-67\% & \text{Expansion stranger with} & m_\pi/M_B\sim 0.1 \\ &\delta M_\Sigma(\mu=\Lambda_\chi)/M_\Sigma=-89\% & \text{increasing strangeness} & m_K/M_B\sim 0.5 \\ &\delta M_\Xi(\mu=\Lambda_\chi)/M_\Xi=-98\% & m_\eta/M_B\sim 0.5 \end{split}$$

Exercise:

Recall the relation between the nucleon sigma term and strangeness.

$$\left(\frac{m_s}{m_q} - 1\right)(1 - y)\sigma_N = \frac{m_s - m_q}{2M_N} \langle N(\vec{k})|\overline{u}u + \overline{d}d - 2\overline{s}s|N(\vec{k})\rangle$$

Using the baryon chiral Lagrangian at tree level, calculate the matrix element on the right-hand side and express in terms of the octet baryons masses. Finally estimate the size of the sigma term.

Confronting SU(3): the strange quark mass

 $SU(3)_L \otimes SU(3)_R$ $m_u, m_d \sim m_s \ll \Lambda_{\rm QCD}$

- Unless you're exceptionally lucky, the strange quark mass is probably too large for the success of SU(3) chiral expansion...
- One approach: integrate out the heavy strange quark mass to use an SU(2) theory $SU(2)_L\otimes SU(2)_R$ $m_u,m_d\ll m_s\sim\Lambda_{
 m QCD}$
- For the nucleon (and pion) this is just SU(2) chiral perturbation theory. Done

• For the nucleon, we treated it as a heavy external flavor source. Nothing stops us from having strangeness in such a source.

... SU(2) chiral perturbation theory for strange hadrons

Limited predictive power, but ideal for lattice applications

Integrating out the strange quark

• Use the kaon mass to exemplify

$$m_K^2 = \frac{4\lambda}{f^2} \left(m_q + m_s \right) + \ldots = \frac{1}{2} m_\pi^2 + M_K^2 + \ldots = M_K^2 \left(1 + \frac{m_\pi^2}{2M_K^2} \right) + \ldots$$

 $M_K\equiv m_K\Big|_{m_q=0}$ Estimate using SU(3) and pion, kaon masses $M_K=0.486(5)\,{
m GeV}$ $m_{K^0}=0.497\,{
m GeV}$

• Consider the SU(3) expansion of the Sigma baryon mass, schematically

$$M_{\Sigma} = M_B + am_K^2 + bm_K^3 + \dots$$

Expand out the strange quark contribution

$$M_{\Sigma} = M_B + a' M_K^2 + a'' m_{\pi}^2 + b' M_K^3 + b'' M_K m_{\pi}^2 + b''' \frac{1}{M_K} m_{\pi}^4 + \dots$$

Reorganize into SU(2) chiral limit expansion

$$M_{\Sigma} = M_{\Sigma}^{(2)} + \sigma_{\pi\Sigma} m_{\pi}^2 + Am_{\pi}^3 + Bm_{\pi}^4 \left(\log m_{\pi}^2 + C\right) + \dots$$

E.g. SU(2) Chiral Perturbation Theory for Hyperons

	<i>SU</i> (3)	$SU(2)_{S=0}$	$SU(2)_{S=1}$	$SU(2)_{S=2}$	$SU(2)_{S=3}$
Expansion	$p m_{\pi} m_K m_{\eta} \Delta$	$p \ m_{\pi} \ \Delta_{\Delta N}$	$p m_{\pi} \Delta_{\Sigma\Lambda} \Delta_{\Sigma^*\Sigma}$	$p m_{\pi} \Delta_{\Xi^*\Xi}$	$p m_{\pi}$
Multiplets	8B 10T	$2N 4\Delta$	1Λ 3Σ 3Σ *	2E 2E*	1Ω
Couplings	DFCH	βα βων βωδ	<i>8 Δ</i> ΣΣ <i>8 Δ</i> Σ [*] <i>8 Σ</i> Σ [*] <i>8</i> Σ [*] <i>Σ</i> [*]	<i>8</i> == <i>8</i> ==*	

 $\mathcal{O}(p^3)$

SU(3) expansion at physical pion mass

 $\delta M_N(\mu = \Lambda_{\chi})/M_N = -39\%$ $\delta M_{\Lambda}(\mu = \Lambda_{\chi})/M_{\Lambda} = -67\%$ $\delta M_{\Sigma}(\mu = \Lambda_{\chi})/M_{\Sigma} = -89\%$ $\delta M_{\Xi}(\mu = \Lambda_{\chi})/M_{\Xi} = -98\%$



SU(2) chiral expansion

Final Exercises:

Use SU(2) chiral perturbation theory to construct a low-energy theory of kaons and the eta.

Find a process involving strange baryons for which a description in terms of SU(2) chiral perturbation theory certainly must fail.

- Heavy baryons necessary for power counting, but static limit is often severe
- Can treat recoil corrections in perturbation theory, but cannot exactly capture analytic structure (poles & cuts will have approximately the correct locations)
- Heavy baryon approximation can create *unphysical* singularities

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Scalar form factor of the Nucleon
$$\langle N(\vec{p}') | m_q(\overline{u}u + \overline{d}d) | N(\vec{p}) \rangle = \overline{u}(\vec{p}')\sigma(t) u(\vec{p})$$

$$\sigma(t) - \sigma(0) = \frac{3\pi g_A^2 m_\pi}{4(4\pi f)^2} \left[(t - 2m_\pi^2) \left[\frac{1}{2\sqrt{\tau}} \log \frac{1 + \sqrt{\tau}}{1 - \sqrt{\tau}} - \log \left(1 + \frac{m_\pi}{2M_N\sqrt{1 - \tau}} \right) \right] + 2m_\pi^2 \left[1 - \log \left(1 + \frac{m_\pi}{2M_N} \right) \right]$$
Fully relativistic calculation $\tau = \frac{t}{4m_\pi^2}$ threshold parameter
Analytic properties allow for dispersive representation $\sigma(t) - \sigma(0) = \frac{t}{\pi} \int_{4m_\pi^2}^{\infty} dt' \frac{\Im \mathfrak{m} \sigma(t')}{t'(t' - t)}$

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 m_{π}

Concluding Remarks

- Chiral perturbation theory provides the tool to account for light quark mass dependence of low-energy QCD observables.
- Perturbative expansion limited by size of physical quark masses: strange quark, non-relativistic baryon approximation, *etc*.
- Prior to lattice QCD, chiral perturbation theory was the only way to do precision low-energy QCD phenomenology.
- Lattice methods are testing the rigor of the chiral expansion, and currently the two in conjunction are essential.