

# Lectures on Chiral Perturbation Theory

- I. Foundations
- II. Lattice Applications
- III. Baryons
- IV. Convergence







## Chiral Perturbation Theory

IV. Convergence

• Non-analytic quark mass dependence implies asymptotic expansion (but obviously so: zero radius of convergence)

**Toy Model**  $F(x) = \int^{\infty}$ 0  $ds \frac{e^{-s}}{1}$ 1 + *sx*  $0 < x \ll 1$ 

No series expansion about  $x=0$ 

$$
F(x) = \int_0^\infty ds \, e^{-s} \left( \sum_{j=0}^\infty (-s \, x)^j \right) \stackrel{\perp}{=} \sum_{j=0}^\infty (-x)^j \left( \int_0^\infty ds \, s^j \, e^{-s} \right)
$$

Suggests approximation  $F_N(x) = \sum$ *N*  $i=0$  $(-)^j j! x^j$ 

$$
|F(x) - F_N(x)| = x^{N+1} \int \frac{s^{N+1}e^{-s} ds}{1 + s x} \le x^{N+1}(N+1)!
$$

Large N  $\approx \sqrt{2\pi N} (xN)^N e^{-N} \sim$  $\sqrt{2\pi}$ *x*  $e^{-\frac{1}{x}}$ Minimize *x* ∼ 1*/N*

**Falling Rocks!** If you proceed, be alert! Rocks may fall without warnin IF IN DOUBL STAY AWAY

• Non-analytic quark mass dependence implies asymptotic expansion (but obviously so: zero radius of convergence)



 $0 < x \ll 1$  No series expansion<br>about  $x=0$ about  $x=0$ 







• Non-analytic quark mass dependence implies asymptotic expansion (but obviously so: zero radius of convergence)





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**AWAPNING** 

**Falling Rocks!** 

If you proceed, be alert!

Rocks may fall without warning

causing serious injury or death

IF IN DOUBL STAY AWAY



Stay back from the edge

• Non-analytic quark mass dependence implies asymptotic expansion (but obviously so: zero radius of convergence)



Stav back from the edg

• Non-analytic quark mass dependence implies asymptotic expansion (but obviously so: zero radius of convergence)

**Chiral expansion** 

$$
m_{\pi}^{2}/\Lambda_{\chi}^{2} \sim 0.02
$$
 (may even be OK for larger pion masses)  

$$
m_{\pi}^{2}/m_{\rho}^{2} \sim 0.03
$$
  

$$
m_{\pi}^{2}/m_{\sigma}^{2} \sim 0.08
$$

**Heavy nucleon expansion** *M* ∼ 800 MeV *m*<sub>π</sub>/*M* ∼ 0.2

#### **Delta resonance contributions**  $m_\pi/\Delta \sim 0.5$

 $\Delta/\Lambda_\chi \sim 0.3$ 

- **AWARNING** 
	- **Hazardous Cliff** The ground may break off ut warning and you be seriously injured
	- Stav back from the edge
- Higher orders introduce more parameters (low-energy constants)
- Makes addressing convergence difficult without knowing the chiral limit values of these parameters



### Three-Flavor Chiral Limit



$$
\mathcal{L}_{\psi}=\sum_{i=1}^{3}\overline{\psi}_{i}\left(\rlap{\,/}\psi+m_{i}\right)\psi_{i}
$$

 $m_q/\Lambda_{\rm QCD} \sim 0.01$  $m_s/\Lambda_{\rm QCD} \sim 0.3$ 



#### **Ignore the warning signs**



Stay back from the edge.



# Three-Flavor Chiral Limit



Symmetries and  
\ntheir breaking 
$$
\mathcal{L}_{\psi} = \sum_{i=1}^{3} \overline{\psi}_{i} \not{D} \psi_{i} + ... \qquad \langle \overline{\psi} \psi \rangle = \langle \overline{\psi}_{R} \psi_{L} \rangle + \langle \overline{\psi}_{L} \psi_{R} \rangle \neq 0
$$
  
\n
$$
U(1)_{V} \otimes SU(3)_{L} \otimes SU(3)_{R} \longrightarrow U(1)_{V} \otimes SU(3)_{V}
$$
\n
$$
\Sigma_{ij} \sim \langle \overline{\psi}_{jR} \psi_{iL} \rangle \qquad \Sigma_{ij}(x) = \delta_{ij} + ... \qquad SU(3)_{L} \otimes SU(3)_{R}/SU(3)_{V}
$$
\nGoldstone modes (embedded similarly to before)  
\n
$$
\Sigma = e^{2i\phi/f} \qquad \Sigma \to L\Sigma R^{\dagger} \qquad \Sigma \to V\Sigma V^{\dagger} \qquad \phi \to V \phi V^{\dagger}
$$

$$
\phi = \begin{pmatrix} \frac{1}{\sqrt{2}} \pi^0 + \frac{1}{\sqrt{6}} \eta & \pi^+ & K^+ \\ \pi^- & -\frac{1}{\sqrt{2}} \pi^0 + \frac{1}{\sqrt{6}} \eta & K^0 \\ K^- & \bar{K}^0 & -\frac{2}{\sqrt{6}} \eta \end{pmatrix}
$$

#### Three-Flavor Chiral Perturbation Theory

 $\mathbf{a}$ 

Explicit breaking

\n
$$
\overline{\psi}_L \, m \, \psi_R + \overline{\psi}_R \, m \, \psi_L \qquad m = \begin{pmatrix} m_q & & \\ & m_q & \\ & & m_s \end{pmatrix}
$$

 $U(1)_V \otimes SU(3)_L \otimes SU(3)_R \longrightarrow U(1)_V \otimes SU(3)_V$ 

$$
\mathcal{O}(p^2)
$$

$$
\mathcal{L}_{\chi} = \frac{f^2}{8} \text{Tr} \left( \partial_{\mu} \Sigma \partial_{\mu} \Sigma^{\dagger} \right) - \lambda \, \text{Tr} \left( m \Sigma + m \Sigma^{\dagger} \right)
$$

 $\Sigma = e^{2i\phi/f}$   $\Sigma \to L\Sigma R^{\dagger}$   $\Sigma \to V\Sigma V^{\dagger}$   $\phi \to V\phi V^{\dagger}$ Chiral perturbation theory (constructed similarly to before)



Seven Gasser-Leutwyler coefficients, a few more when external fields are included

## **Exercises**

In the strong isospin limit, there are two different quark masses but three meson masses of the pseudoscalar octet. Use the three-flavor chiral Lagrangian to derive

the constraint  $\Delta_{\rm GMO} = \frac{1}{3} m_K^2 - m_\eta^2 - \frac{1}{3} m_\pi^2 = 0$ , which was originally found by Gell-Mann and Okubo. What happens away from the strong isospin limit? 4 3  $m_K^2 - m_\eta^2 - \frac{1}{3}$ 3  $m_\pi^2=0$ 

Revisit electromagnetic mass corrections in three-flavor chiral perturbation theory. Find all leading and next-to-leading order electromagnetic mass operators. Ignoring the up and down quark masses, which octet masses are affected by leading and next-to-leading order operators?

Accounting for strong and electromagnetic isospin breaking to leading order, determine the mass spectrum of the meson octet, and devise a way to compute the quark mass ratios,  $\,m_u/m_d\quadm_d/m_s$  , using the experimentally measured masses.

#### Three-Flavor Chiral Perturbation Theory





 $m_K^2/\Lambda_\chi^2 \sim 0.23$  $m_\eta^2/\Lambda_\chi^2$ 

 $~\sim 0.27$  Pending numerical factors,  ${\cal O}(p^{\rm o})$  contributions (which include two-loop diagrams) should be ∼10% Pending numerical factors,  $\mathcal{O}(p^6)$  contributions

## Heavy Baryon Chiral Perturbation Theory



Lowest lying spin-half baryons form an octet of *SU*(3)*<sup>V</sup>*

 $B \to V B V^{\dagger}$  $B =$  $\sqrt{ }$  $\overline{\phantom{a}}$ √  $\frac{1}{\sqrt{2}}\Sigma^0 + \frac{1}{\sqrt{6}}\Lambda$   $\Sigma^+$  *p*  $\Sigma^ -\frac{1}{\sqrt{2}}\Sigma^0 + \frac{1}{\sqrt{6}}\Lambda$  *n*  $\Xi^ \Xi^0$   $-\frac{2}{\sqrt{6}}\Lambda$  $\setminus$  $\begin{array}{c} \hline \end{array}$ 

Couple to the Goldstone modes via  $\xi = \sqrt{\Sigma} = e^{i\phi/f}$ 

$$
\xi \to L\xi U^{\dagger} = U\xi R^{\dagger}
$$

Free to choose the chiral transformation of baryon octet of the form  $B \to UBU^{\dagger}$  $\mathcal{A}_{\mu} \to U \mathcal{A}_{\mu} U^{\dagger}$  $D_{\mu}B = \partial_{\mu}B + [\mathcal{V}_{\mu}, B]$  $\mathcal{V}_{\mu} \rightarrow U \mathcal{V}_{\mu} U^{\dagger} + U \partial_{\mu} U^{\dagger}$ 

 $\mathcal{L} = \text{Tr} \left( \overline{B} i v \cdot D B \right)$  $+ \ 2D \ {\rm Tr} \left(\overrightarrow{B} \vec{S} \cdot \{ \vec{A}, B \right)$ *}*  $\Big) + 2F\,\text{Tr}\left(\overrightarrow{B}\vec{S}\cdot[\vec{A},B]\right)$  $\overline{ }$ *O*(*p*) Phased away SU(3) chiral limit mass  $M_B(m_q = m_s = 0)$ 

## Heavy Baryon Chiral Perturbation Theory



Lowest lying spin three-half baryons form a decuplet of  $SU(3)_V$ 

$$
T_{ijk}\to {V_i{}^{i'}}{V_j{}^{j'}}{V_k}^{k'}T_{i'j'k'}
$$

 $\Delta \equiv M_T - M_B = 270$  MeV

No question about inclusion for three flavors



 $\mathcal{O}(p) \qquad \mathcal{L} = \overline{T}_{\mu} (iv \cdot D + \Delta) T_{\mu} + 2H \overline{T}_{\mu} \vec{S} \cdot \vec{\mathcal{A}} T_{\mu} + 2C (\overline{T}_{\mu} A_{\mu} B + \overline{B} A_{\mu} T_{\mu})$ 

### Quark Mass Dependence of the Octet Baryons

• Now turn on explicit chiral symmetry breaking due to the quark masses

$$
\Delta \mathcal{L} = \overline{\psi}_L s \psi_R + \overline{\psi}_R s^{\dagger} \psi_L \qquad s \to L s R^{\dagger} \qquad s = m + \dots
$$

• Dress the scalar source with pions  $\mathcal{M}_{\pm} =$ 1 2  $\left(\xi s^{\dagger} \, \xi \pm \xi^{\dagger} s \, \xi^{\dagger}\right) \rightarrow U \mathcal{M}_{\pm} U^{\dagger}$ 

$$
\mathcal{O}(p^2) \qquad \mathcal{L}_m = b_D \text{Tr} \left( \overline{B} \{ \mathcal{M}_+, B \} \right) + b_F \text{Tr} \left( \overline{B} [\mathcal{M}_+, B] \right) + \sigma \text{Tr} \left( \overline{B} B \right) \text{Tr} \left( \mathcal{M}_+ \right)
$$

• Similar Gell-Mann Okubo constraint on octet baryon masses from tree-level

$$
M_{\rm{GMO}} = M_{\Lambda} + \frac{1}{3}M_{\Sigma} - \frac{2}{3}M_{N} - \frac{2}{3}M_{\Xi} = 0
$$
 
$$
M_{\rm{GMO}}/\overline{M}_{B} \sim 1\%
$$

• One-loop correction predicted in terms of axial couplings C, D, F

$$
\frac{1}{D,F} \sum_{D,F} M_{\text{GMO}} = \frac{4\pi}{3(4\pi f)^2} (D^2 - 3F^2) \left(\frac{4}{3}m_K^3 - m_\eta^3 - \frac{1}{3}m_\pi^3\right) + \text{Decuplet}
$$

# Anatomy of Decuplet Contribution  $\overline{C}$

poles

• Intermediate-state angular momentum pion p-wave  $p^{2\ell}$ 

$$
\sim \frac{C^2}{f^2} \int d^4 p \, \frac{\vec{p}^2}{[ip_4 + \Delta] \left[ (p_4)^2 + \vec{p}^2 + m_\pi^2 \right]} \qquad p_4 = \begin{cases} i\Delta \\ \pm iE_\pi \end{cases}
$$

• Contour integration puts pion on shell

$$
\sim \frac{C^2}{f^2} \int d\vec{p} \frac{\vec{p}^2}{E_{\pi}(E_{\pi} + \Delta)} \sim \frac{C^2}{f^2} \int_{m_{\pi}}^{\infty} dE_{\pi} \frac{(E_{\pi}^2 - m_{\pi}^2)^{3/2}}{E_{\pi} + \Delta}
$$

 $E_{\pi} + \Delta$  *near threshold*  $\sqrt{s - m_{\pi}^2}$ two-body phase space near threshold

 $E_\pi = \sqrt{\vec{p}^{\,2} + m_\pi^2}$ 

• Divergences

$$
\int^{\Lambda} dE E^2 \left( 1 - \frac{\Delta}{E} + \frac{\Delta^2}{E^2} - \frac{\Delta^3}{E^3} + \cdots \right) \left( 1 - \frac{3}{2} \frac{m_{\pi}^2}{E^2} + \cdots \right)
$$

 $\Lambda^3 + \Delta \Lambda^2 + \Delta^2 \Lambda + \Delta^3 \log \Lambda$  $+m_{\pi}^{2}\Lambda + \Delta m_{\pi}^{2}\log \Lambda + \text{finite}$ 

Renormalization condition  $M_{N,\Sigma,\Lambda,\Xi}$  $\big|_{m_q=0} = M_B$ 

#### Gell-Mann Okubo Relation to One-Loop

$$
M_{\rm{GMO}} = \frac{4\pi}{3(4\pi f)^2} \left[ \pi (D^2 - 3F^2) \Delta_{\rm{GMO}}(m_\phi^3) - \frac{1}{6} C^2 \Delta_{\rm{GMO}} \left( \mathcal{F}(m_\phi, \Delta, \mu) \right) \right]
$$

$$
\mathcal{F}(m,\delta,\mu) = (m^2 - \delta^2) \left[ \sqrt{\delta^2 - m^2} \log \left( \frac{\delta - \sqrt{\delta^2 - m^2 + i\epsilon}}{\delta + \sqrt{\delta^2 - m^2 + i\epsilon}} \right) - \delta \log \frac{m^2}{\mu^2} \right] - \frac{1}{2} \delta m^2 \log \frac{m^2}{\mu^2}
$$
 Scale dependence?



• BUT: One-loop chiral corrections to the individual masses are LARGE

 $\delta M_N(\mu = \Lambda_\chi)/M_N = -39\%$  $\delta M$ <sub>Λ</sub>( $\mu = \Lambda_{\chi}$ )/ $M_{\Lambda} = -67\%$  $\delta M_{\Sigma}(\mu = \Lambda_{\chi})/M_{\Sigma} = -89\%$  $\delta M_{\Xi}(\mu = \Lambda_{\chi})/M_{\Xi} = -98\%$  $m_\pi/M_B \sim 0.1$  $m_K/M_B$  ∼ 0*.*5  $m_n/M_B \sim 0.5$ Heavy baryons Expansion stranger with increasing strangeness

# Exercise:

Recall the relation between the nucleon sigma term and strangeness.

$$
\left(\frac{m_s}{m_q}-1\right)(1-y)\sigma_N=\frac{m_s-m_q}{2M_N}\langle N(\vec{k})|\overline{u}u+\overline{d}d-2\overline{s}s|N(\vec{k})\rangle
$$

Using the baryon chiral Lagrangian at tree level, calculate the matrix element on the right-hand side and express in terms of the octet baryons masses. Finally estimate the size of the sigma term.

# Confronting SU(3): the strange quark mass

 $SU(3)_L \otimes SU(3)_R$  *m<sub>u</sub>*, *m<sub>d</sub>* ~ *m<sub>s</sub>*  $\ll \Lambda$ <sub>QCD</sub>

- Unless you're exceptionally lucky, the strange quark mass is probably too large for the success of SU(3) chiral expansion...
- One approach: integrate out the heavy strange quark mass to use an SU(2) theory  $m_u, m_d \ll m_s \sim \Lambda_{\rm QCD}$  $SU(2)_L \otimes SU(2)_R$
- For the nucleon (and pion) this is just SU(2) chiral perturbation theory. **Done**

• For the nucleon, we treated it as a heavy external flavor source. Nothing stops us from having strangeness in such a source.

... SU(2) chiral perturbation theory for strange hadrons

Limited predictive power, but ideal for lattice applications

#### Integrating out the strange quark

• Use the kaon mass to exemplify

$$
m_K^2 = \frac{4\lambda}{f^2} (m_q + m_s) + \ldots = \frac{1}{2} m_\pi^2 + M_K^2 + \ldots = M_K^2 \left( 1 + \frac{m_\pi^2}{2M_K^2} \right) + \ldots
$$

 $\left.M_K \equiv m_K \right|_{m=-0}$  Estimate using SU(3) and pion, kaon masses  $M_K = 0.486(5)$  GeV  $m_{K^0} = 0.497$  GeV ! !  $\mid m_q=0$ 

• Consider the SU(3) expansion of the Sigma baryon mass, schematically

$$
M_{\Sigma}=M_B+am_K^2+bm_K^3+\ldots
$$

Expand out the strange quark contribution

$$
M_{\Sigma} = M_B + a'M_K^2 + a''m_{\pi}^2 + b'M_K^3 + b''M_Km_{\pi}^2 + b''' \frac{1}{M_K}m_{\pi}^4 + \dots
$$

Reorganize into SU(2) chiral limit expansion

$$
M_{\Sigma} = M_{\Sigma}^{(2)} + \sigma_{\pi\Sigma} m_{\pi}^2 + A m_{\pi}^3 + B m_{\pi}^4 (\log m_{\pi}^2 + C) + \dots
$$

## E.g. SU(2) Chiral Perturbation Theory for Hyperons



 $O(p^3)$ 

#### SU(3) expansion at physical pion mass

 $\delta M_N(\mu = \Lambda_\chi)/M_N = -39\%$  $\delta M$ <sub>Λ</sub>( $\mu =$  Λ<sub>χ</sub>)/ $M$ <sub>Λ</sub> = -67%  $\delta M_{\Sigma}(\mu = \Lambda_{\chi})/M_{\Sigma} = -89\%$  $\delta M_{\Xi}(\mu = \Lambda_{\chi})/M_{\Xi} = -98\%$ 



# Final Exercises:

Use SU(2) chiral perturbation theory to construct a low-energy theory of kaons and the eta.

Find a process involving strange baryons for which a description in terms of SU(2) chiral perturbation theory certainly must fail.

- Heavy baryons necessary for power counting, but static limit is often severe
- Can treat recoil corrections in perturbation theory, but cannot exactly capture analytic structure (poles & cuts will have approximately the correct locations)
- Heavy baryon approximation can create *unphysical* singularities

 $\mathbf{Scalar}$  form factor of the Nucleon  $\left\langle N(\vec{p}')\left|m_q(\overline{u}u+\overline{d}d)\right|N(\vec{p}\right)\right\rangle=\overline{u}(\vec{p}')\sigma(t)\,u(\vec{p}\,)$ + + +  $\sigma(t=2m_{\pi}^2)-\sigma(t=0)=\frac{3\pi g_A^2m_{\pi}^3}{2(4\pi f)^2}+\mathcal{O}(m_{\pi}^4)$  HBChPT result at Cheng-Dashen point

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$$
\sigma(t) - \sigma(0) = \frac{3\pi g_A^2 m_\pi}{4(4\pi f)^2} \left[ (t - 2m_\pi^2) \left[ \frac{1}{2\sqrt{\tau}} \log \frac{1 + \sqrt{\tau}}{1 - \sqrt{\tau}} - \log \left( 1 + \frac{m_\pi}{2M_N\sqrt{1 - \tau}} \right) \right] + 2m_\pi^2 \left[ 1 - \log \left( 1 + \frac{m_\pi}{2M_N} \right) \right]
$$
  
\nFully relativistic calculation  $\tau = \frac{t}{4m_\pi^2}$  threshold parameter  
\nAnalytic properties allow for  $\sigma(t) - \sigma(0) = \frac{t}{\pi} \int_{4m_\pi^2}^{\infty} dt' \frac{3\mathfrak{m} \sigma(t')}{t'(t'-t)}$   
\ndispersive representation

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Scalar form factor of the Nucleon 
$$
\langle N(\vec{p}') | m_q(\overline{u}u + \overline{d}d) | N(\vec{p}) \rangle = \overline{u}(\vec{p}')\sigma(t) u(\vec{p})
$$
  
\n
$$
+ \frac{1}{\sqrt{2\pi}} + \frac{1}{\sqrt{
$$

Cheng-Dashen point

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$$
  
\n
$$
+ \sum_{\sigma(t) - \sigma(0)} + \sum_{\substack{4(4\pi f)^2}} + \sum_{\substack{4(4\pi f)^2}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 2\sqrt{\tau}}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 10 \text{arg}(\pi \to 0)}} + \sum_{\substack{4(4\pi f)^2 \\ 2\sqrt{\tau}}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 2\sqrt{\tau}}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 2\sqrt{\tau}}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 10 \text{arg}(\pi \to 0)}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 2\sqrt{\tau}}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 2\sqrt{\tau}}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 2\sqrt{\tau}}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 10 \text{arg}(\pi \to 0)}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 2\sqrt{\tau}}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 10 \text{arg}(\pi \to 0)}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 2\sqrt{\tau}}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 10 \text{arg}(\pi \to 0)}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 10 \text{arg}(\pi \to 0)}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 10 \text{arg}(\pi \to 0)}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 10 \text{arg}(\pi \to 0)}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 2\sqrt{\tau}}} + \sum_{\substack{5 \text{arg}(\pi \to 0) \\ 10 \text{arg}(\pi \to 0)}} + \sum_{\substack{5 \text{arg}(\pi \to
$$

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$$
  
\n
$$
+ \sum_{\sigma(t) - \sigma(0)} + \sum_{\substack{3\pi g_A^2 m_\pi \\ 4(4\pi f)^2}} + \sum_{\substack{2\pi \\ 2\sqrt{\tau}}} + \sum_{\substack{1 \\ 2\sqrt{\tau}}} + \sum_{\substack{2\pi \\ 2\pi \\ 2\pi}} + \sum_{\substack{m_\pi \\ 2\pi \\ m_\pi}} + \sum_{\substack{m_\pi \\ 2\pi \\ 2\pi \\ 2\pi}} + \sum_{\substack{m_\pi \\ 2\pi \\ 2\pi \\ 2
$$

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\n
$$
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$$
\nFully relativistic calculation

\n
$$
\tau = \frac{t}{4m_\pi^2}
$$
\nTwo pion branch appears

\nNew small parameter near threshold

\n
$$
\frac{M_N}{m} \sqrt{1 - \tau}
$$
\nnecessitates summation of

\n
$$
\frac{m_\pi}{M_N}
$$

 $m_{\pi}$ 

 $M_N$ 

# Concluding Remarks

- Chiral perturbation theory provides the tool to account for light quark mass dependence of low-energy QCD observables.
- Perturbative expansion limited by size of physical quark masses: strange quark, non-relativistic baryon approximation, *etc*.
- Prior to lattice QCD, chiral perturbation theory was the only way to do precision low-energy QCD phenomenology.
- Lattice methods are testing the rigor of the chiral expansion, and currently the two in conjunction are essential.