# NEUTRINOS IN NUCLEAR PHYSICS

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### ON THE MENU

- I have been asked to cover all of neutrino physics in 4 lectures, which is clearly impossible.
- Instead, you get a mix of:
  - Historical things
  - Pedagogical things
  - Breaking news
  - My own opinions about things

#### **L**1:

Neutrinos in  $\beta$  decay

Menu

- Reactor neutrinos
- Endpoint v mass searches

#### L2:

- Solar neutrinos
- Neutrino oscillations
- MSW effect

#### L3:

- Majorana v. Dirac
- Double beta decay

#### L4:

- Atmospheric neutrinos
- Accelerator neutrinos
- Gallium anomaly
- Sterile neutrinos, etc

## ABOUT ME...



#### • Career path:

- BA M.Sci at Cambridge
- PhD at MIT 2009-2015
- Postdoc at UTA 2015-2016
- Prof at UTA 2016-present.
- Main things I have worked on:
  - MicroBooNE (2009-2015)
    - SBN Accelerator v; worked on liquid argon TPC technology focused on optical systems and properties.
  - IceCube (2013-2023)
    - Atmospheric and Astro v; led high energy oscillation analyses including worlds best limits on sterile v, quantum gravity & NSI.
  - NEXT (2016-present)
    - Onubb search in xenon gas; developed single Ba<sup>2+</sup> tagging techniques and led US contributions to NEXT-White and NEXT-100.
  - Project 8 (2023-present)
    - Direct v mass search; working on magnetic evaporative cooling beamline for atomic tritium source.
  - Creative bits and pieces
    - I also like to explore new ideas in neutrino pheno and experiment concepts its fun to be creative!











#### My Group Website: https://nures.uta.edu/

### BETA DECAY AS ALCHEMY:



#### $p \rightarrow n+e^+ (\beta^+ \text{decay})$

#### $n \rightarrow p + e^{-} (\beta^{-} decay)$

Energy available in the decay is the mass difference between initial and final nuclei (n.b., final one may be in excited state)



If you measure the energy of the electron in beta decay you get a continuous energy distribution.



### A BOLD IDEA...

Apparent momentum non-conservation in beta decay led Pauli to propose the existence of an "undetectable" particle in a now famous letter:



Offener Brief an die Gruppe der Radioaktiven bei der Gauvereins-Tagung zu Tübingen.

Abschrift

Physikalisches Institut der Eidg. Technischen Hochschule Zurich

Zirich, 4. Des. 1930 Gloriastrasse

Liebe Radioaktive Damen und Herren,

Wie der Ueberbringer dieser Zeilen, den ich huldvollst ansuhören bitte, Ihnen des näheren auseinandersetzen wird, bin ich angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie des kontinuierlichen beta-Spektrums auf einen versweifelten Ausweg verfallen um den "Wechselsats" (1) der Statistik und den Energiesats su retten. Nämlich die Möglichkeit, es könnten elektrisch neutrale Teilahen, die ich Neutronen nennen will, in den Kernen existieren, welche den Spin 1/2 haben und das Ausschliessungsprinzip befolgen und ales von Lichtquanten ausserden noch dadurch unterscheiden, dass sie miest mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen aste von derselben Grossenordnung wie die Elektronenwasse sein und jedenfalls nicht grösser als 0,01 Protonenmasse.- Das kontinuierliche beta- Spektrum wäre dann verständlich unter der Annahme, dass beim beta-Zerfall mit dem Elektron jeweils noch ein Neutron emittiert Mird. derart, dass die Summe der Energien von Neutron und Elektron konstant ist.

Nun handelt es sich weiter darum, welche Kräfte auf die Neutronen wirken. Das wahrscheinlichste Modell für das Neutron scheint mir aus wellenwechanischen Gründen (näheres weiss der Ueberbringer dieser Zeilen) dieses zu sein, dass das ruhende Neutron ein magnetischer Dipol von einem gewissen Moment Atist. Die Experimente verLingen wohl, dass die ionisierende Wirkung eines solchen Neutrons nicht grösser sein kann, als die eines gamma-Strahls und darf dann At wohl nicht grösser sein als  $e \cdot (10^{-15} \text{ cm}).$ 

Ich traue mich vorlüufig aber nicht, etwas über diese Idee su publisieren und wende mich erst vertrauensvoll an Euch, liebe Radioaktive, mit der Frage, wie es um den experimentellen Nachweis eines zolchen Neutrons stände, wenn dieses ein ebensolches oder etwa Maal grösseres Durchdringungsverwögen besitsen würde, wie ein gewen-Strahl.

Loh gebe su, dass mein Ausweg vielleicht von vornherein Wanig wahrscheinlich erscheinen wird, weil man die Neutronen, wenn sie existisren, wohl schon Erngst gesehen hätte. Aber nur wer wegt, gestamt und der Ernst der Situation bein kontinuierliche beta-Spektrum wird durch einen Ausspruch meines verehrten Vorgingers in Ante, Herrn Bebye, beleuchtet, der mir Märslich in Brüssel gesagt hat: "O, daran soll man am besten gar nicht denken, sowie an die neuen Steuern." Darus soll man jeden Weg zur Retung ernstlich diskutieren.-Also, liebe Radioaktive, prüfet, und richtet.- Leider kann ich nicht personlich in Tübingen erscheinen, da sch infolge eines in der Nacht vom 6. zur 7 Des. in Zurich stattfindenden Balles hier unskömmlich bin.- Mit vielen Grüssen an Euch, sowie an Herrn Back, Buer untertänigster Diener

ges. W. Pauli

Wolfgang Pauli, 1930

#### **Dear Radioactive Ladies and Gentlemen,**

As the bearer of these lines, to whom I graciously ask you to listen, will explain to you in more detail, because of the "wrong" statistics of the N- and Li-6 nuclei and the continuous beta spectrum, I have hit upon a desperate remedy to save the "exchange theorem" (1) of statistics and the law of conservation of energy. Namely, the possibility that in the nuclei there could exist electrically neutral particles, which I will call neutrons, that have spin 1/2 and obey the exclusion principle and that further differ from light quanta in that they do not travel with the velocity of light.

The mass of the neutrons should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton mass. - The continuous beta spectrum would then make sense with the assumption that in beta decay, in addition to the electron, a neutron is emitted such that the sum of the energies of neutron and electron is constant.

Now it is also a question of which forces act upon neutrons. For me, the most likely model for the neutron seems to be, for wave-mechanical reasons (the bearer of these lines knows more), that the neutron at rest is a magnetic dipole with a certain moment  $\mu$ . The experiments seem to require that the ionizing effect of such a neutron can not be bigger than the one of a gamma-ray, and then  $\mu$  is probably not allowed to be larger than e • (10-13cm).

But so far I do not dare to publish anything about this idea, and trustfully turn first to you, dear radioactive people, with the question of how likely it is to find experimental evidence for such a neutron if it would have the same or perhaps a 10 times larger ability to get through [material] than a gamma-ray.

I admit that my remedy may seem almost improbable because one probably would have seen those neutrons, if they exist, for a long time. But nothing ventured, nothing gained, and the seriousness of the situation, due to the continuous structure of the beta spectrum, is illuminated by a remark of my honored predecessor, Mr Debye, who told me recently in Bruxelles: "Oh, It's better not to think about this at all, like new taxes." Therefore one should seriously discuss every way of rescue.

Thus, dear radioactive people, scrutinize and judge. - Unfortunately, I cannot personally appear in Tubingen since I am indispensable here in Zurich because of a ball on the night from December 6 to 7. With my best regards to you, and also to Mr. Back, your humble servant

signed W. Pauli

## FERMI THEORY

Fundamental theory of beta decays was developed by Fermi, through analogy with electromagnetism:





**Enrico Fermi** 

(nb this is an era before Feynman diagrams... think instead about interacting currents)



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→ A corollary: if you know the rate of **beta decay**, you can know what the cross section for neutrinos to interact through **inverse beta decay**.

Hans Bethe and Rudolf Pierls did the calculation and found a crazy small cross section, concluding: "there is no practically possible way of detecting the neutrino."



### FIRST ATTEMPT TO DISCOVER THE NEUTRINO

 Bruno Pontecorvo suggested using neutrino capture on chlorine from a nuclear reactor, an experiment first attempted by Ray Davis:





Savannah river nuclear reactor – a copious source of neutrinos

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n Ray Davis



But it didn't work...





#### PERIODIC TABLE OF ELEMENTS



Go this way,

- Means adding more + to the nucleus
- Means making an e- to conserve charge
- Means absorbing a neutrino to conserve L

This process is only sensitive to neutrinos!



Chlorine neutrino reaction as a path to neutrino detection

• And reactors only make antineutrinos!



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The more +ve charge a nucleus has, the more "neutron glue" you need to hold it together.





(20)



Those products then need to  $\beta$ - decay to get back to stability.

And that makes **antineutrinos**.

n+2351



80 -

60 -

40 -

30

Proton #



### HE'LL BE BACK SOON $\rightarrow$





### IN THE MEAN TIME... HOW ABOUT WE USE A DETECTOR THAT CAN SEE **ANTINEUTRINOS?**

*Neutrinos can be detected via Inverse beta decay in liquid scintillator* 





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*Neutrinos can be detected via Inverse beta decay in liquid scintillator* 

Liquid scintillator is a bunch of this kind of crap that emits light when charged particles go through it  $\rightarrow$ 



### IN THE MEAN TIME... HOW ABOUT WE USE A DETECTOR THAT CAN SEE **ANTINEUTRINOS?**

V.

*Neutrinos can be detected via Inverse beta decay in liquid scintillator* 

You get a handy "double pulse" signature from an inverse beta decay event:

- 1. Positron scintillates and then
- 2. Neutron thermalizes and captures

n

### THE NEUTRINO DISCOVERED BY **PROJECT POLTERGEIST**

Detected 1955 with reactor antineutrinos and organic scintillator.

Plus cameras that took photos of oscilloscopes.









Apart from using more complex mixtures of scintillating stuff, this is still basically exactly how all reactor neutrino experiments in all of history have worked...

















### WE HAVE BEEN DETECTING

### ANTINEUTRINOS FROM BETA DECAYS IN NUCLEAR REACTORS

### FOR 65 YEARS.

We must be basically perfect at it by now, right?



## BEST OSCILLATION MEASUREMENT EVER:

### We have used reactor antineutrinos to measure oscillation parameter $\, heta_{13}$



 $\sin^2 2\theta_{13} = 0.092 \pm 0.016(\text{stat}) \pm 0.005(\text{syst})$ 

Daya Bay Phys.Rev.Lett. 108 (2012) 171803



#### And is the best measured neutrino oscillation parameter ever.



(more on this when we talk about neutrino oscillations later)

**Evidence against:** 

### ALL IS NOT WELL IF WE MEASURE THE FLUXES



- There is a general tendency for world data on neutrino rates to be low compared to flux predictions.
- A tangled story, mostly related to evolving theory: Used to be fine, then it was much worse, now its still an significant disagreement but less than before...
- This discrepancy is called the **Reactor Antineutrino Anomaly (RAA)**

### NEW PHYSICS AT REACTORS

- Some have interpreted the RAA as evidence for new physics in neutrino oscillations.
- The RAA has generally been among the weaker of the neutrino anomalies, since the calculations of the fluxes are both:
- l.very involved and
- 2. have a history of significantly changes between iterations.



Isotope	Origin	$\sigma_{ m fis}$ (barn)	Fission fraction	Energy per fission (MeV/fission)	IBD yield $(10^{-43} \text{ cm}^2/\text{fission})$
<sup>235</sup> U	Enrichment	531	0.58	202.36	6.69
<sup>238</sup> U	Natural abundance	_	0.08	205.99	10.10
<sup>239</sup> Pu	$^{238}$ U( <i>n</i> , $\gamma$ ) <sup>239</sup> U( $\beta^{-}$ ) <sup>239</sup> Np( $\beta^{-}$ ) <sup>239</sup> Pu	750	0.29	211.12	4.36
<sup>241</sup> Pu	$^{239}\mathrm{Pu}(n,\gamma)^{240}\mathrm{Pu}(n,\gamma)^{241}\mathrm{Pu}$	1010	0.05	214.26	6.05

- Neutrino flux depends on state of the reactor fuel.
- <sup>235</sup>U is the main fissile isotope.
- As reactor operates, other fissile materials build up, with their own fission patterns →
- Each fission creates ~200MeV of energy and a chain of decays yielding approx. 6 antineutrinos.







## **RESOLVING THE RAA?**

Daya Bay has observed that the strength of the RAA depends on the ratio of fissile isotopes during burnup.

- Consistent with <sup>235</sup>U neutrinos being underpredicted

- Not consistent with new neutrino oscillation physics.



### **RESEARCH REACTORS**

Research reactors are mostly <sup>235</sup>U, vs commercial power reactors which mix up the isotopes.

STEREO confirms underprediction in the <sup>235</sup>U neutrino yields.





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**STEREO confirms underprediction in the** <sup>235</sup>**U neutrino yields.** 

### Prospect data seem to be low but maybe not quite low enough...



 $\rightarrow$  Seems rather likely that <sup>235</sup>U neutrino flux model is the issue.





If you wanted your PhD to be easy, you should have worked on exoplanets.





#### <u>Smelly planet 'reeks of</u> <u>rotten eggs'</u>

Most of HD 189733 b's atmosphere is hydrogen sulphide, which is also emitted during farts.

14 hrs ago Science & Environment



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Article | Published: 08 July 2024

### Hydrogen sulfide and metal-enriched atmosphere for a Jupiter-mass exoplanet

Guangwei Fu <sup>⊠</sup>, Luis Welbanks, Drake Deming, Julie Inglis, Michael Zhang, Joshua Lothringer, Jegug Ih, Julianne I. Moses, Everett Schlawin, Heather A. Knutson, Gregory Henry, Thomas Greene, David K. Sing, Arjun B. Savel, Eliza M.-R. Kempton, Dana R. Louie, Michael Line & Matt Nixon

Nature (2024) | Cite this article

#### (BBC news and Nature, literally last week)

## EXPERIMENTS WITH A SINGLE ISOTOPE

- The reason reactor spectra are so hard to predict is that they derive from an enormously complex chain of nuclear reactions.
- Those reactions stem from fission to nearly every possible element and decay chains ~6 deep from there.
- If we do experiments with just a single beta emitting isotope, that should be easy, right?





### EXPERIMENTS WITH A SINGLE ISOTOPE



FIG. 6: Comparison of the measured  $^{99}$ Tc spectrum with different theoretical curves. CVC is either ignored or included for different estimates of the Coulomb displacement energy  $\Delta E_C$ . An inset shows the low-energy part of the spectrum.

### EXPERIMENTS WITH A SINGLE ISOTOPE



## THE BETA ENDPOINT

- The the end-point, shape is dictated primarily by phase space arguments, *not* by nasty nuclear physics.
- And it is a particularly interesting part of the beta spectrum for neutrino physics...



 The size of the mass of the neutrino changes the shape and position of the endpoint, in measurable way.

The size of the deviation is governed by this effective parameter

$$m_{\nu, e\!f\!f}^2 = \sum_i^3 |U_{ei}|^2 m_i^2$$

#### • LETS DERIVE IT!





### MEASURING THE BETA END POINT

- Experimentally, we need to measure a shape deviation that occurs in the last ~leV of the spectrum.
- The lower the Q value we use, the larger the fraction of decay events that will be.
- To have any hope at all, use a very low Q value isotope:





### HOW TO BUILD AN EXPERIMENT...

- Still need to reject all except 10<sup>-12</sup> of the beta electrons to look at the ones we care about...
- Use some kind of "filter" to only accept the high energy electrons, perhaps?



## HOW TO CATCH ONLY THE FAST ONES...

 Apply an electric field against the electrons to sap their energy away... then only the higher energy ones can pass?







## HOW TO CATCH ONLY THE FAST ONES...

 Apply an electric field against the electrons to sap their energy away... then only the higher energy ones can pass?



 The problem is they come out in all directions... need to turn them all to face the same way first, somehow.





How can you turn them all to face the same way without giving or taking any energy?

## MAC-E FILTERING

### $\underline{M}$ agnetic $\underline{A}$ diabatic $\underline{C}$ ollimation combined with an $\underline{E}$ lectrostatic Filter







A MAC-E filter adiabatically rotates the electron momentum directions and then applies the potential filter.

### A frightfully clever way of adiabatically rotating all the electrons to face the same way using E and B fields



### KATRIN MAC-E FILTER



### **KATRIN** experiment at KIT





Volker Hannen, NuMass 2024

### Windowless Gaseous Tritium Source

Universität



- beam tube
- guiding field
- Temperature
- T<sub>2</sub> purity
- column density
- luminosity

- Ø = 9 cm , L = 10 m 2.5 T
- 80 K ± 0.01 K
- 95% ± 0.1 %
  - 5·10<sup>17</sup> T<sub>2</sub>/cm<sup>2</sup>
  - 1.7·10<sup>11</sup> Bq



- T<sub>2</sub> flow rate
- $5 \cdot 10^{19}$  molecules/s (40 g of T<sub>2</sub> / day)





#### count rate (s<sup>-1</sup>) 1 m $\mathsf{A}_{\mathsf{Sig}}$ 0.1 R<sub>Bg</sub>₿ spectrum 0.01 ampl. A<sub>sig</sub> rel. difference 0.00 background 0.01 rate **R**<sub>bg</sub> 0 meV m., = -- m, = 350 meV 0.02 meas. time (d) toy run 200 100 0

#### Direct shape measurement of integrated β spectrum

retarding energy qU - E<sub>o</sub> in eV

-30 -25 -20 -10 -15 0 -5 5 Four fit parameters: 10 spectrum endpoint **E**<sub>0</sub> squared mass m<sup>2</sup> ~10<sup>-8</sup> of all  $\beta$ -decays in scan region ~40 eV below endpoint Eur. Phys. J. C 79 (2019) 204 -30 -25 -20 -15 -10 -5 0 retarding energy  $qU - E_0$  in eV





#### **KATRIN new limit as of Neutrino2024:**





### NEXT GEN: DO ANOTHER ONE 10X AS BIG?



### ANOTHER WAY TO FILTER...





1) An electron in a magnetic field will radiate at

$$f_{\gamma} = \frac{f_c}{\gamma} = \frac{eB}{2\pi} \frac{1}{m_e + \frac{1}{c^2}E_{\beta}}$$

2) And a radiofrequency cavity naturally filters out a band around the resonant frequency...



Cyclotron radiation emission spectroscopy in an RF cavity → Project 8



## **ELECTRON TRACKS IN PROJECT 8**



- Trap tritium inside the cavity and let it decay there.
- Measure response in frequency space near the end point.
- Electron tracks slope up because of cyclotron losses
- And they step because of random inelastic scatters.



#### From Neutrino2024:



## ATOMIC AND MOLECULAR TRITIUM

To extend further in sensitivity, another challenge faces tritium experiments.

When T2 molecule decays, it makes a THe molecule, which has vibrational excited states.

The excitation absorbs a random amount of energy, effectively smearing the endpoint.









## COOLING T

- A major ongoing research area in Project 8 (including in my own group, and at IU!)
- Can't access any tritium lines with powerful enough lasers for laser cooling.
- Also can't let it touch any surfaces or it will recombine to T2.
- Proposed solution is magnetic evaporative cooling from multipole magnetic guides →



## HOLMIUM ELECTRON CAPTURE

- <sup>163</sup>Ho undergoes electron capture decay with a very low Q-value (2.5 keV).
- The neutrino leaves, and the rest of the energy comes out through various de-excitation processes.
- For holmium electron capture decay, the neutrino mass can in principle be measured through calorimetry.





## MICROCALORIMETRY

<sup>163</sup>Ho accelerated and implanted into absorber  $\rightarrow$ 



 $\leftarrow$  Then heat depositions read out via precise thermometry:

Holmes: Transition Edge Sensors

Echo: Magnetic Micro-calorimeters





#### From Neutrino2024:

### HOLMES high statistics measurement: spectral shape



experimental EC spectrum deviates from all theoretical predictions

#### → **phenomenological description** of the EC spectrum

- shake-up peaks and shake-off spectra
- strongly asymmetric Lorentzians (Fano-like interference?) needed for assessing sensitivity of future <sup>163</sup>Ho experiments
   end-point region is smooth and featureless
- A. De Rújula et al., J. High Energ. Phys., 2016 (2016) 15
- A. Faessler et al., Phys. Rev. C, 95 (2017) 045502
- M. Brass et al.t, New J. Phys., 22 (2020) 093018
- R. G. H. Robertson, Phys. Rev. C, 91 (2015) 035504



m<sub>β</sub>

sensitivity?

### SUMMARY

- Neutrinos were invented as a "desperate remedy" to understand the energy spectrum of beta decay.
- They were then detected via beta decays from fission products in reactors.
- The end-point of beta decay is a powerful tool to try to measure the absolute value of the neutrino mass.
- We have much work to do, both experimentally and theoretically, to understand the ~130 year old beta decay process.

